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PRISMA-7 scale translation and adaptation to spoken Portuguese in Europe

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Tradução e adaptação da escala PRISMA-7 para o Português falado na Europa

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Abstract

<u>Introduction:</u> Frailty is an age-associated biological syndrome and a predictor of multimorbidity outcome whose early recognition allows the identification of those older patients at risk. The PRISMA-7 scale allows the identification of frail elderly people.

<u>Methods:</u> Cross-cultural adaptation by translation of the PRISMA-7 scale into European Portuguese, debriefing and back-translation to English. Application for intra-observer reliability assessment and validation by simultaneous and concurrent application of the Katz scale.

<u>Results:</u> The Cronbach's alpha coefficient was of 0.420 and 0.409 after retest. Spearman's Rank Order Correlation of 0.969 in the retest operation in a purposive sample of 64 elderly people (35 female). More than 3 affirmative answers were found for older ones (p<0.001), higher number of self-reported drugs (p=0.001) and for lower self-reported years of education (p=0.001), in the validation of the translated PRISMA-7 scale, in a purposive sample of 127 elderly people, 72 (56.7%) female. No differences were found between gender (p=0.414) and for number of self-reported diseases (p=0.258). A Spearman correlation of ρ =0.477 (p<0.001) between the total of the two scales was found.

<u>Discussion</u>: This comprehensive tool enables health care providers to discuss and architect more effective and efficient measures for these patients' care, regardless of gender, sociodemographic factors, number of self-reported drugs and diseases.

<u>Conclusion:</u> PRISMA-7 scale is now recommended to identify frail elderly in the Portuguese community.

Keywords: Frailty, frail elderly, disease, general practice.

1. Introduction

The ageing population poses new challenges for health and social care services [1-6]. The increase in life expectancy is inversely associated with healthy life expectancy, implying longer lifespan with disability and, therefore, increased use of health care, multi-morbidity and frailty [2,7]. The group of frail older people is increasing due to ageing and increase in life expectancy [6]. A high percentage of emergency patients are frail older patients and they are the fastest growing group in primary care [6,8,9].

Frailty is an age-associated biological syndrome with multisystem downdysregulation, reduced physiological reserves [7-11] and capacity to maintain homeostasis [1,12-17], loss of cognitive function [18], functional decline [5,6], increased risk of being institutionalized [19] and vulnerability to stressors [9-11,13-15,20], risk of falls, disability, dependence [4,18], activity limitations, imminent death, hospitalization, prolonged recovery and relapse [1,8,16,19,21-25]. Frail older patients present a long clinical course of disease with intermittent episodes of decline and experience a lack of coping strategies when dealing with change and disruptions [2,19]. Very old patients evaluate their health problems based on impact [26]. Interactions between physiological changes due to ageing, polypharmacy, multimorbidity and functional impairment are often complex [21].

Frailty is more prevalent in developing countries and is associated with sociodemographic variables, such as gender and age [14]. The incidence of frailty increases with age, lower education and income, poor health, multimorbidity, disability and is higher in women and in Afro-Americans [11].

A high percentage of frail older patients is treated in specialized acute care units suffering from multimorbidity and recurrent acute illnesses [5,6,8,21]. After discharge, older patients have a higher risk of mortality and approximately one-third of older patients experience a loss of independence in self-care activities, including personal and instrumental activities of daily living, which are related with poor nutrition, insufficient continence and care and low mobility during hospitalization [18,21]. Functional decline is associated with worst outcomes of health-related quality of life, less living at home, more re-hospitalizations and higher health costs, which result in the use of more expensive and intensive services and higher mortality [18,21,27].

Frailty is a predictor and an outcome of multimorbidity, needing to be early diagnosed [1,23]. Functional status assessment in geriatric practice is important for early diagnosis as it has implications in prognosis, as well as in optimizing care, planning interventions and preventing progression of frailty [17,18,23,28].

Several frailty instruments evaluate weakness, slowness, low physical activity, unintentional weight loss, accumulation of deficits and exhaustion, which are aspects of the clinical phenotype of frailty that should be diagnosed with no delay [8-10,13,14,16,21-24,27].

Comprehensive geriatric assessment, treatment and rehabilitation is associated with decreased mortality, less functional decline at discharge, reduced care needs and a higher probability of living at home [3,5,8,13,21]. So and to prevent hospitalization adequately, we need to study ambulatory older people with an adequate instrument to prevent delay of functional decline [29].

The Program on Research for Integrating Services for the Maintenance of Autonomy (PRISMA) was developed in Canada in 2005 [29]. The PRISMA model includes the PRISMA-7 questionnaire, validated to screen for frailty, associated to a management system, which allows services to be adapted to clients' needs [29,30]. PRISMA-7 identifies risk factors for functional decline through seven dichotomous items [29,31]. In the Canadian study, PRISMA-7 had 78% of sensitivity and 75% of specificity with a cut-off point of three or more positive answers for identification of functional decline in older patients and 61% of sensitivity and 91% of specificity with a cut-off point of four or more positive answers [30]. Due to the good performance of PRISMA-7 in comparison with other frailty assessment instruments, the Royal College of General Practitioners and the British Geriatrics Society recommend PRISMA-7 for frailty identification [29,31].

The Katz scale is the index of independence in daily life activities developed by Sidney Katz and is one of the mostly used instruments in geriatric evaluation [32]. The scale measures hierarchically related activities of daily living and allows the evaluation of independence in the execution of six daily life functions [32]. The Katz scale allows monitoring of the evolution of disability through ageing, as well as of the prognosis, intervention planning and evaluation of the effectiveness of performed treatments [32].

Daily life activities are influenced by one's culture and determined behavior, cultural norms and values making cross-cultural validation of scales important in research [28].

This study aims to make the cross-cultural adaptation and validation of the PRISMA-7 scale, assessing its psychometric properties concurrently with another well-known health related scale, the Katz scale.

2. Methods

The study's first phase was the cross-cultural adaptation and semantic equivalence PRISMA-7 scale so it was translated from English [30] to European Portuguese. The original English scale consists of seven dichotomous questions, since the intended answer is a yes or no response, as showed in Table I.

 Table I: PRISMA-7's original English version [30].

Questions		Answer	
QUESTIONS	Yes	No	
P1: Are you older than 85 years?			
P2: Are you male?			
P3: In general, do you have any health problems that require you to limit your			
activities?			
P4: Do you need someone to help you regularly?			
P5: In general, do you have any health problems that require you to stay at home?			
P6: If you need help, can you count on someone close to you?			
P7: Do you regularly use a cane, a walker, or a wheelchair to move about?			

The translation was made by two people, fluent in technical English language whose mother tongue is Portuguese. This translation was then retranslated into English by a bilingual Portuguese/English teacher, who did not have any information about the concepts and objectives of the questionnaire. The purpose of the retranslation was to verify the popular use of the source language and to correct any ambiguous meanings of the original questionnaire. Then a debriefing was made, by three Medical Doctors, to verify the accordance of the Portuguese wording to the English sentences. Table II shows the PRISMA-7's final version.

 Table II: PRISMA-7's European Portuguese final version.

Questãos	Resp	Resposta	
	Sim	Não	
P1: Tem mais de 85 anos?			
P2: É do sexo masculino?			
P3: Em geral, tem algum problema de saúde que o/a obrigue a diminuir ou limitar			
as suas atividades?			
P4: Precisa da ajuda de alguém regularmente?			
P5: Em geral, tem algum problema de saúde que o/a obrigue a ficar em casa?			
P6: Se precisar de ajuda, tem alguém próximo para o/a ajudar?			
P7: Utiliza regularmente bengala, andarilho ou cadeira de rodas?			

Subsequently, in the second phase of the study, the Portuguese version of the PRISMA-7 scale was applied in two different moments to evaluate the scale's intra-observer reliability (test-retest). An epidemiological scale was simultaneously applied in the first moment. Both scales were self-administered, with the guarantee of anonymity and confidentiality, after informed consent to persons older than 64 years, of whom 35 were female, selected from the ambulatory care in the island of São Miguel, Azores, and in Portugal central mainland in the city of Coimbra. For test-retest reliability analysis,

Chronbach's alpha coefficient was used and Spearman's Rank Order Correlation to calculate the strength of the relationship between the results obtained in the two different moments. The Mann-Whitney U Test was used to test differences between the results obtained in the two different moments and the Chi-square test for Independence was used to explore the relationship between sociodemographic variables [33]. We also performed a study on the level of literacy and the perceptibility of the scale.

The study's third phase aimed to validate the PRISMA-7 scale with the Katz scale. The questionnaire was applied to 127 older people in the same health units. Simultaneously an epidemiological scale was also self-administered, guaranteeing anonymity and confidentiality after informed consent. For results' analysis the Mann-Whitney U Test was used to test for differences between sociodemographic variables and the number of affirmative answers in the PRISMA-7 scale, Pearson's correlation was used to explore the strength of the relationship between PRISMA-7 and the Katz scale and the Chi-square test for Independence was used to explore the relationship between sociodemographic variables. A p<0.001 value was used to address statistical difference [33] and a cut-off value of three affirmative answers was defined for study use. The presence of three or more affirmative answers will allow the diagnosis of frailty.

3. Results

The study's first, cross-cultural adaptation and semantic equivalence were successfully achieved.

Regarding the study's second phase, Table III shows the sociodemographic characteristics of the purposive phase 2 sample of 64 older people, of whom 35 (54.7%) were female. Women were older than men, reported more simultaneous diseases and medications and had less years of education. These last three items showed statistical difference.

			Ger	Gender	
			Male	Female	Total
	65 75 voore	Count	15	12	27
	05-75 years	Gender %	51.7%	34.3%	42.2%
Age	76.95 10000	Count	3	3	6
p=0.127	70-05 years	Gender %	10.3%	8.6%	9.4%
	Equal or greater	Count	11	20	31
	than 86 years	Gender %	37.9%	57.1%	48.4%
	Up to 2	Count	21	11	32
	diseases	Gender %	72.4%	31.4%	50.0%
Number of	2 to 4 diagona	Count	6	11	17
self-reported	3 to 4 diseases	Gender %	20.7%	31.4%	26.6%
diseases	1 to 6 diagona	Count	1	8	9
p<0.001	4 to o uiseases	Gender %	3.4%	22.9%	14.1%
	Greater than 6	Count	1	5	6
	diseases	Gender %	3.4%	14.3%	9.4%
	Up to 2 drugs	Count	8	1	9
		Gender %	27.6%	2.9%	14.1%
Number of	3 to 4 drugs	Count	9	6	15
self-reported		Gender %	31.0%	17.1%	23.4%
drugs	4 to 6 drugs	Count	6	12	18
p<0.001	4 to 0 urugs	Gender %	20.7%	34.3%	28.1%
	Greater than 6	Count	6	16	22
	drugs	Gender %	20.7%	45.7%	34.4%
	Less than 4	Count	4	19	23
	years	Gender %	13.8%	54.3%	35.9%
Number of	1 voars	Count	20	14	34
self-reported	4 years	Gender %	69.0%	40.0%	53.1%
education p<0.001	Zvoare	Count	5	1	6
	7 years	Gender %	17.2%	2.9%	9.4%
	Greater than 7	Count	0	1	1
	years	Gender %	0.0%	2.9%	1.6%
Total		Count	29	35	64
		Gender %	100.0%	100.0%	100.0%

Table III: Sociodemographic statistics for the study's second phase.

Table IV shows the assessment of internal consistency and the strength of the relationship between the results obtained in the two different moments. Cronbach's alpha coefficient presented a test value of 0.420 and a retest value of 0.409 and Spearman's Rank Order Correlation (rho) presented a test value of 1.000 and a retest value of 0.969.

Test reliability statistics		Retest reliability statistics		Spearman's rho in test-re-test	
Cronbach's alfa coefficient	Number of items	Cronbach's alfa coefficient	Number of items	Test	Retest
0.420	7	0.409	7	1.000	0.969**

Table IV: Test and retest reliability statistics for the study's second phase.

Table V shows the assessment of internal consistency for each item applied in the two different moments based on Cronbach's alpha coefficient, scale mean and variation and corrected item total correlation. All items had 64 answers, except for items 1 and 3, which had 63 answers. Item 6 had the lowest scale mean in the test and the retest (1.0938 and 1.1094, respectively). Item 7 had the highest mean in the test and the retest (1.6563 and 1.6406, respectively). Items 1, 3 and 5 had the lowest difference in the scale variation between test and retest. Item 6 had the highest difference in the scale variation in test and retest. Cronbach's alpha coefficient varied between 1.000 and 0.906 (items 1 and 4, respectively). Perceptibility by the Flesher instrument was 75.11, meaning the scale was relatively easy to understand.

O al a ser i t				Reliability statistics		
Item Scale item c	Scale mean if item deleted	ean if Scale variance leted if item deleted	total correlation	Cronbach's alfa coefficient	Number of items	
P1	1.5156	0.254	1.000	1.000	2	
P1.1	1.5156	0.254	1.000	1.000	۷	
P2	1.3906	0.242	0.937	0.069	2	
P2.1	1.4219	0.248	0.937	0.966	۷	
P3	1.2698	0.200	0.919	0.059	2	
P3.1	1.2698	0.200	0.919	0.956	۷	
P4	1.4531	0.252	0.906	0.051	2	
P4.1	1.4688	0.253	0.906	0.951	2	
P5	1.5000	0.254	0.969	0.094	2	
P5.1	1.4844	0.254	0.969	0.964	2	
P6	1.0938	0.086	0.918	0.056	2	
P6.1	1.1094	0.099	0.918	0.956	2	
P7	1.6563	0.229	0.966	0.092	2	
P7.1	1.6406	0.234	0.966	0.983	2	

Table V: Items statistics for the study's second phase.

For the study's third phase, Table VI shows the socio-demographic characteristics of the purposive sample. We found no differences by gender for the studies variables.

			Ger	Gender	
			Male	Female	Total
	CE ZE vooro	Count	27	30	57
	65-75 years	Gender %	49.1%	41.7%	44.9%
Age	76.95 10000	Count	16	22	38
p=0.360	70-05 years	Gender %	29.1%	30.6%	29.9%
	Equal or greater	Count	12	20	32
	than 86 years	Gender %	21.8%	27.8%	25.2%
	Lin to 2 diagona	Count	24	24	48
	Op to 2 diseases	Gender %	43.6%	33.3%	37.8%
Number of	2 to 4 diagona	Count	19	25	44
self-reported	3 to 4 diseases	Gender %	34.5%	34.7%	34.6%
diseases	4 to 6 diagona	Count	6	15	21
p=0.192	4 to 6 diseases	Gender %	10.9%	20.8%	16.5%
	Greater than 6	Count	6	8	14
	diseases	Gender %	10.9%	11.1%	11%
	Up to 2 drugs	Count	10	12	22
		Gender %	18.2%	16.7%	17.3%
Number of	3 to 4 drugs	Count	13	15	28
self-reported		Gender %	23.6%	20.8%	22.0%
drugs	A to 6 drugs	Count	14	24	38
p=0.946	4 10 0 01095	Gender %	25.5%	33.3%	29.9%
	Greater than 6	Count	18	21	39
	drugs	Gender %	32.7%	29.2%	30.7%
	Less than 4	Count	7	31	38
	years	Gender %	12.7%	43.1%	29.9%
Number of	1 voore	Count	31	26	57
self-reported years of education p=0.004	4 years	Gender %	56.4%	36.1%	44.9%
	Zvoare	Count	7	2	9
	7 years	Gender %	12.7%	2.8%	7.1%
	Greater than 7	Count	10	13	23
	years	Gender %	18.2%	18.1%	18.1%
	Tetel		55	72	127
Iotai		Gender %	100.0%	100.0%	100.0%

 Table VI:
 Sociodemographic statistics for the study's third phase.

Table VII shows Katz's and PRISMA-7's statistics, class "independence" being the most frequent (n=75, 59.1%) for the Katz Index. For PRISMA-7, 46 (36.2%) of the respondents had three or more positive answers.

Katz classes	Frequency	Valid percentage
Total dependence	5	3.9%
Severe dependence	4	3.1%
Moderate dependence	12	9.4%
Slight dependence	31	24.4%
Independence	75	59.1%
PRISMA-7		
3 or more affirmative answers	46	36.2%

Table VII: Katz statistics for the study's third phase.

Table VIII shows the distribution of the results on the Katz scale according to the three affirmative answers cut-off on the PRISMA-7 frailty scale. We found a statistically significant association between the level of dependence and the diagnosis of frailty (p<0.001). We also found a Spearman correlation of ρ =0.477 (p<0.001) between the total of the two scales.

			PRISM		
		3 or more affirmative answers	Less than 3 affirmative answers	Total	
	Total	Count	5	0	5
	dependence	Percentage	10.9%	0.0%	3.9%
	Severe	Count	4	0	4
	dependence	Percentage	8.7%	0.0%	3.1%
K at 7	Moderate dependence	Count	8	4	12
raiz		Percentage	17.4%	4.9%	9.4%
	Slight	Count	16	15	31
	dependence	Percentage	34.8%	18.5%	24.4%
	Indonondonoo	Count	13	62	75
	independence	Percentage	28.3%	76.5%	59.1%
Total Count Percentag		Count	46	81	127
		Percentage	100.0%	100.0%	100.0%

Table VIII: PRISMA-7 and Katz statistics for the study's third phase.

(*)p<0.001

Table IX shows PRISMA-7 classes and sociodemographic statistics for the study's third phase. There were more individuals with less than 3 affirmative answers (81, 63.8%). In the group of the frail individuals (3 or more affirmative answers on the PRISMA-7 scale), we found more women (54.3%, ns), more people from the oldest age group (52.2%, p<0.001), more self-reported multimorbidity, with 4 or more diseases (34.8%, ns), more self-reported multimorbidity, ns), and less education, 4 or less years (86.9%, ns).

			PRISM	PRISMA-7 (*)	
			3 or more affirmative answers	Less than 3 affirmative answers	Total
	Molo	Count	21	34	55
Gender	Male	Percentage	45.7%	42.0%	43.3%
p=0.414	Famala	Count	25	47	72
	Female	Percentage	54.3%	58.0%	56.7%
	GE ZE Maara	Count	11	46	57
	bo-ro years	Percentage	23.9%	56.8%	44.9%
Age	76.95 10000	Count	11	27	38
p<0.001	70-05 years	Percentage	23.9%	33.3%	29.9%
	Equal or greater	Count	24	8	32
	than 86 years	Percentage	52.2%	9.9%	25.2%
	Lin to 2 diagona	Count	15	33	48
	Op to 2 diseases	Percentage	32.6%	40.7%	37.8%
Number of	2 to 4 dispassos	Count	15	29	44
diseases	5 10 4 UISEASES	Percentage	32.6%	35.8%	34.6%
p=0.258	4 to 6 diseases Greater than 6	Count	11	10	21
		Percentage	23.9%	12.3%	16.5%
		Count	5	9	14
	diseases	Percentage	10.9%	11.1%	11.0%
	Up to 2 drugs	Count	3	19	22
		Percentage	6.5%	23.5%	17.3%
Number of	3 to 4 drugs	Count	9	19	28
self-reported	5 to 4 drugs	Percentage	19.6%	23.5%	22.0%
drugs	4 to 6 drugs Greater than 6	Count	12	26	38
p=0.001		Percentage	26.1%	32.1%	29.9%
		Count	22	17	39
	drugs	Percentage	47.8%	21.0%	30.7%
	Loss than 4 years	Count	22	16	38
	Less than 4 years	Percentage	47.8%	19.8%	29.9%
Number of	1.voare	Count	18	39	57
self-reported	4 years	Percentage	39.1%	48.1%	44.9%
education p=0.001	7 voors	Count	2	7	9
		Percentage	4.3%	8.6%	7.1%
	Greater than 7	Count	4	19	23
	years	Percentage	8.7%	23.5%	18.1%
Total		Count	46	81	127
ισται		Percentage	100.0%	100.0%	100.0%

 Table IX: PRISMA-7 classes and sociodemographic statistics for the study's third phase.

4. Discussion

According to prior studies for cross-cultural adaptation and validation, the internal consistency assessed by Cronbach's alpha coefficient showed a borderline value, probably because of the length of the questionnaire. It should be highlighted that this scale is more of an objective matrix registry than a scale measuring intrinsic variable subjective values about someone's opinion on a particular subject.

In the evaluation of the internal consistency of the test, Sanguer *et al.* [29] obtained a Cronbach's alpha coefficient value of 0.619, which is higher than that obtained in this study (Cronbach's alpha coefficient of 0.420). This difference may be due to differences in sample size and intrinsic characteristics. The study of Sanguer *et al.* [29] does not present the value of the Cronbach's alpha coefficient for the retest.

This is a valid European Portuguese version of the PRISMA-7 scale which is easy to understand.

In the second phase of the study, the Cronbach's alpha coefficient denotes internal consistency and reliability and the Spearman's Rank Order Correlation indicates a positive association between the results obtained in the test and retest of the translation of the PRISMA-7 scale.

In the third phase of the study, most of the older people interviewed were aged between 65 and 75 years and had 4 years or less of education, therefore a younger population with probable many years to attend primary care health services than older ones. The high number of self-reported drugs taken daily reflected the health status of the older people that access primary health care services.

The identification of frail older with the PRISMA-7 scale was, significantly, in accordance with the classification of functionality of the Katz scale, since for the dependency classifications on the Katz scale there was a higher number of individuals with 3 or more affirmative answers on the PRISMA-7 scale and, simultaneously, for the independence classification on Katz scale there was a higher number of individuals with less than 3 affirmative answers on the PRISMA-7 scale.

In both genders there were similar percentages of 3 or more affirmative responses indicating that the PRISMA-7 scale allows the identification of frail older people regardless of gender.

The PRISMA-7 scale was sensitive to the sociodemographic factors: an increase in age and in the number of self-reported drugs taken daily was associated with an increase of the number of individuals with 3 or more affirmative answers; a decrease in the number of self-reported diseases was associated with a decrease of the number of individuals with 3 or

more affirmative answers; and an increase in the number of years of education was associated with a decrease of the number of individuals with 3 or more affirmative answers.

As in the study of Sanguer *et al.* [29], the sample of this study had a diversity of sociodemographic characteristics, allowing the evaluation of its applicability in different age groups.

For the PRISMA-7 class of 3 or more affirmative answers, Sanguer *et al.* [29] obtained a higher percentage of individuals in the age group of 60 to 74 years, while in the present study the age group with the highest percentage was the equal or higher than 86 years group. This may be due to differences in cultural characteristics and the time lapse between the two studies. For the educational level and gender, both papers present higher percentage of individuals of the female gender and in the group with less than 4 years of education. These results are in accordance with the risk of greater frailty in these groups.

The results indicate that the PRISMA-7 scale has sensitivity to detect people at risk of frailty between the ages of 65 and 85, so it can be used to identify people at risk of frailty early in this age group, widening the target population for which it is intended. In this way, it will allow the timely implementation of health services with the aim of preventing the emergence of fragility syndrome, contributing to the improvement of the quality of life and increased independence, which consequently will contribute to the reduction of consumption and expenditures of health services.

The PRISMA-7 scale, being specific for the identification of the fragility syndrome, may be an important tool for the follow-up, prognosis and evaluation of the effectiveness of the treatments provided.

In the presence of fragility syndrome, it would be pertinent to verify if the PRISMA-7 scale would also be a useful tool to evaluate caregivers' perception of the person's frailty. Knowledge of caregivers' perceptions could contribute to the early identification of the need for community service support.

5. Conclusions

The results of this study suggest the adequacy of the validation process and the effectiveness in the early identification of frail older patients. The PRISMA-7 version for European Portuguese is a simple, easy to apply and reliable tool for discovering and implementing preventive and rehabilitation health services for old frail people. Therefore, it is recommended as a tool to identify frail older in the community.

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