

MESTRADO INTEGRADO EM MEDICINA - TRABALHO FINAL

RAFAEL SOUSA FERRO

Fertility preservation in patients with haematological malignancies

ARTIGO CIENTÍFICO ORIGINAL

ÁREA CIENTÍFICA DE MEDICINA DA REPRODUÇÃO

Trabalho realizado sob a orientação de:

PROFESSORA DOUTORA TERESA ALMEIDA SANTOS

MESTRE ANA SOFIA PAIS

ABRIL/2020

Fertility preservation in patients with haematological malignancies

Rafael Ferro¹, Ana Sofia Pais^{1,2}, Ana Paula Sousa^{2,3}, Teresa Almeida Santos^{1,2}

¹Faculty of Medicine, University of Coimbra, Coimbra, Portugal

²Reproductive Medicine Unit, Centro Hospitalar e Universitário de Coimbra, Coimbra, Portugal

³Biology of Reproduction and Stem Cells group, Center for Neuroscience and Cell Biology (CNC), University of Coimbra, Coimbra, Portugal

Contact: rafael21ferro@gmail.com

Parte deste trabalho foi apresentada em encontros científicos realizados em Portugal e nos Estados Unidos da América:

1. Sob a forma de Poster no Congresso Anual do Oncofertility Consortium, realizado de 11 a 13 de novembro de 2019 em Chicago, Illinois.

Referência:

Rafael Ferro, Ana Sofia Pais, Ana Paula Sousa, Teresa Almeida Santos (2019). Fertility preservation with hematologic malignancies. Poster presented at the 2019 Oncofertility Consortium Agenda. November 11th to 13th, 2019.

2. Sob a forma de comunicação oral no congresso anual da Sociedade Portuguesa de Hematologia, realizado no dia 15 de novembro de 2019.

Referência:

Rafael Ferro, Ana Sofia Pais, Ana Paula Sousa, Teresa Almeida Santos (2019). Preservação da fertilidade em doentes com neoplasias hematológicas. Comunicação oral no congresso anual da sociedade portuguesa de hematologia, decorrido de 14 a 16 de novembro de 2019.

Index

Abbreviations	4
Resumo	5
Abstract	6
Introduction	7
Methods	8
Study design	8
Statistical analysis	8
Results	9
Characteristics of the participants	9
Clinical factors that might predict fertility preservation decision	11
Influence of demographic factors on ovarian reserve	11
Impact of haematological diagnosis on the ovarian reserve	11
Impact of cancer treatments on ovarian reserve	12
Impact of chemotherapy regimen on ovarian reserve	13
Impact of each chemotherapeutic agent on ovarian reserve	13
Influence of radiotherapy and haematopoietic stem cell transplantation on ovarian reserve	15
The occurrence of pregnancy according to demographic factors and ovarian reserve after treatment	15
Discussion	16
Limitations	18
Conclusion	19
Acknowledgment	20
References	21
Annexes	23
Annals of Hematology Submission guidelines	23

Abbreviations

ABVD - Doxorubicin, bleomycin, vinblastine, dacarbazine

AMH - Anti-Müllerian hormone

BEACOPP - Bleomycin, etoposide, doxorubicin, cyclophosphamide, vincristine, procarbazine, prednisone

BMI - Body mass index

COPADM - Cyclophosphamide, vincristine, prednisone, doxorubicin, methotrexate

DA-EPOCH-R - Dose-adjusted etoposide, prednisone, vincristine, cyclophosphamide, doxorubicin, rituximab

DGGG - German Society of Gynaecology and Obstetrics

DGRM - German Society of Reproductive Medicine

DGU - German Society of Urology

ESHAP - Etoposide, methylprednisolone, cisplatin, cytarabine

Linker - Daunorubicin, vincristine, prednisone, L-asparaginase

FSH - Follicle-stimulating hormone

HSCT - Haematopoietic stem cell transplantation

Hyper-CVAD - Cyclophosphamide, vincristine, doxorubicin, dexamethasone

m-BACOD - Methrotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine, dexamethasone

OCs - Oral contraceptives

R-CHOP - Cyclophosphamide, doxorubicin, vincristine, prednisone, rituximab

SD - Standard deviation

Resumo

Introdução: A incidência de neoplasias hematológicas tem vindo a aumentar em mulheres de idade fértil. As taxas de sobrevivência acompanham este aumento, sendo fundamental avaliar o impacto dos tratamentos na futura qualidade de vida das doentes. A possível perda de fertilidade causada pelos tratamentos oncológicos é uma das principais preocupações para estas mulheres.

Objetivo: Avaliar o impacto de cada tratamento na reserva ovárica e quais foram as técnicas de preservação da fertilidade mais utilizadas pelas pacientes com neoplasias hematológicas.

Métodos: Foi realizado um estudo retrospetivo com 61 doentes diagnosticadas com neoplasia hematológica acompanhadas num centro de preservação da fertilidade de janeiro de 2008 até junho de 2019.

Resultados: As mulheres mais jovens, nulíparas e solteiras foram as que mais recorreram às técnicas de preservação da fertilidade. Os tratamentos oncológicos provocaram uma diminuição da reserva ovárica, demonstrada por um aumento dos níveis de FSH e pela diminuição dos níveis de AMH. Ao avaliar que tratamentos tiveram maior impacto nos níveis de AMH, constatamos que o regime BEACOOP, os agentes, vincristina, etoposido, procarbazina, prednisona e o transplante de medula óssea foram os principais responsáveis pela sua diminuição. Relativamente à gravidez pós tratamento oncológico, das onze mulheres que engravidaram, dez fizeram-no de forma espontânea, com a grande maioria a ocorrer pelo menos dois anos após o diagnóstico.

Conclusão: Mais estudos são necessários para avaliar o impacto de cada agente quimioterápico na reserva ovárica, assim como a relação entre os níveis de AMH e a ocorrência de gravidez a curto, médio e longo prazo.

Palavras-chave: Preservação da fertilidade, neoplasias hematológicas, reserva ovárica, mulheres em idade reprodutiva, estudo retrospetivo.

Abstract

Introduction: The incidence of haematological malignancies is increasing in women of childbearing age. Survival rates accompany this increase, making it essential to assess the impact of treatments on patients' future quality of life. The potential loss of fertility is a key concern of young women treated for cancer.

Aim: Evaluate the impact of each treatment on ovarian reserve and what were the fertility preservation techniques used by patients with hematologic malignancies.

Methods: A retrospective study was made after data collection of 61 patients diagnosed with haematological malignancies followed in a fertility preservation centre from January 2008 to June 2019.

Results: The youngest, nulliparous and single women were those who most resorted to fertility preservation techniques. Cancer treatments caused a decrease in ovarian reserve, demonstrated by an increase in FSH levels and a decrease in AMH levels. When assessing which treatments have the greatest impact on AMH levels, we found that BEACOPP regimen, the agents vincristine, etoposide, procarbazine, prednisone and the haematopoietic stem cell transplantation were the main responsible for its decrease. Regarding pregnancy after oncological treatments, of the eleven women who became pregnant, ten did so spontaneously, with the vast majority occurring at least two years after diagnosis.

Conclusion: Further studies are needed to assess the impact of each chemotherapeutic agent on the ovarian reserve, as well as the relationship between AMH levels and the occurrence of short, medium and long term pregnancies.

Keywords: Fertility preservation, haematological malignancies, ovarian reserve, women of reproductive age, retrospective study.

Introduction

Hematologic malignancies represent about 17% of cancers in women of childbearing age (15-44 years old) [1]. The incidence has increased in recent decades. Five-year survival rates follow the same rising trajectory, being above 80% for lymphomas and 60% for leukaemias [2]. This increase is due not only to earlier diagnosis but also to improved treatments, such as radiotherapy, chemotherapy and bone marrow transplantation. Despite their development in recent years, these treatments may have a negative impact on ovarian reserve, increasing the risk of infertility and premature ovarian insufficiency. According to the literature, the main gonadotoxic treatments are alkylating agents in chemotherapy, radiotherapy with abdominal or pelvic irradiation and bone marrow transplantation. The gonadotoxic effect of these treatments depends on the patient's age, basal ovarian reserve and the administered dose. [3] Therefore, fertility preservation becomes a key point to ensure the future quality of life of these women.

Fertility preservation can be accomplished through various techniques. These include oocytes, embryos and ovarian tissue cryopreservation. Oocytes cryopreservation requires ovulatory induction that takes 2 weeks. This is done using clomiphene citrate, gonadotropins or aromatase inhibitors [4]. Ovarian tissue cryopreservation is a surgical technique, no longer considered experimental, that allows preserving fertility and restoring endocrine function after ovarian tissue transplantation [5,6,7]. Embryos cryopreservation has been decreasing in its use because it raises ethical issues and does not ensure reproductive autonomy.

The influence of pre-treatment ovarian reserve and patient age on post-treatment ovarian reserve recovery is unclear [8]. The aim of our study was to evaluate the impact of each treatment on ovarian reserve and the fertility preservation techniques used by patients with hematologic malignancies.

Methods

Study design

A retrospective study was made after data collection of 61 patients diagnosed with haematological malignancies referred to a fertility preservation centre from January 2008 to June 2019. Exclusion criteria were age over 40 years old, surgical history of bilateral oophorectomy and premature ovarian insufficiency. Electronic clinical files have been reviewed for personal and family history of gynaecological and oncological diseases. The type of treatment and doses administered, as well as staging at initial diagnosis were also analysed. Ovarian reserve was retrospectively assessed through plasma levels of follicle-stimulating hormone (FSH) and anti-Müllerian hormone (AMH) measured at the first appointment and in the follow-up visit, after oncological treatments.

Since the study involved anonymous data extraction from electronic medical records, patients' consent was not required.

Statistical analysis

Statistical analysis was performed using SPSS Statistics, Version 25.0 (IBM Corp., Armonk NY USA). For quantitative variables, the mean, standard deviation, median, maximum and minimum were calculated. Qualitative variables were expressed as numbers and percentage. For these variables, the statistical test used was Fisher's exact test. For continuous variables, the Kolmogorov-Smirnov test was used if N > 10 and the Shapiro-Wilk test if N < 10. In these tests, if $p \le 0.05$ the nonparametric test was performed and if p > 0.05 the t-test for paired samples (when comparing FSH and AMH values from the same individuals before and after treatment) or the t-test for independent samples (assessing the impact of treatment on FSH and AMH levels) were used. All tests were 2 tailed and the level of significance was set at $p \le 0.05$.

Results

Characteristics of the participants

In our study, 61 patients with hematologic malignancies who attended fertility preservation consultation were included. The average age in our sample was 25.97 ± 6.03 [15-36] years old. Of the studied patients, the mean age at menarche was 12.41 ± 1.74 [6-18] years old, 80.3% (n=49) were nulliparous, 52.5% used oral contraception before starting treatment and the mean body mass index (BMI) was 22.54 ± 3.38 [17.80-33.43] kg/m².

Most patients were diagnosed with Hodgkin's lymphoma (n=35, 57.4%) or non-Hodgkin's lymphoma (n=20, 32.9%) followed by acute lymphoblastic leukaemia (n=3, 4.9%) and others (n=3, 4.9%), which includes promyelocytic leukaemia and myelodysplastic syndrome.

The Ann Arbor stage at initial diagnosis was mainly stage II in 21 patients (34.4%), followed by stage IV in 9 patients (14.7%) and stage I and III in 2 patients each (3.3%). In 44.3% (n=27) of the patients, it was not possible to determine the patient's stage due to a lack of information.

In our population, the most used chemotherapy regimen was the ABVD (doxorubicin, bleomycin, vinblastine, dacarbazine) (n=19, 31.1%), followed by R-CHOP (cyclophosphamide, doxorubicin, vincristine, prednisone, rituximab) (n=10, 16.4%) and BEACOPP regimen (n=8, 13.1%) (bleomycin, etoposide, doxorubicin, cyclophosphamide, vincristine, procarbazine, prednisone). The remaining patients (n=21, 34.4%) used other regimens such as m-BACOD (methotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine, dexamethasone), Hyper-CVAD (cyclophosphamide, vincristine, doxorubicin, dexamethasone), ESHAP (etoposide, methylprednisolone, cytarabine, cisplatin), Linker (daunorubicin, vincristine, prednisone, Lasparaginase), DA-EPOCH-R (dose-adjusted etoposide, prednisone, vincristine, cyclophosphamide, doxorubicin, rituximab) and COPADM (cyclophosphamide, vincristine, prednisone, doxorubicin, methotrexate). In addition, among the patients who underwent chemotherapy, 19 (31.1%) also had radiotherapy and 10 (16.4%) bone marrow transplantation.

Most patients chose to preserve fertility (n=35, 57.4%), with oocyte cryopreservation being the most used method (n=18, 29.5%), followed by ovarian tissue cryopreservation (n=14, 23%), embryo and oocyte simultaneously (n=2, 3.3%) and embryo cryopreservation (n=1, 1.6%). For those who did not preserve fertility, the main reason for not doing so was personal choice (n=9, 13.1%) followed by absence of ovarian response (n=3, 4.9%), oncological treatment already started (n=3, 4.9%) and diagnosis of other pathologies (Wilson's disease and ovarian injury) in 2 cases (3.3%).

Moreover, pregnancy after treatment occurred in 11 cases and 10 of them were spontaneous. Among the 4 women who resorted to cryopreserved material, only 1 did become pregnant.

Patients' characteristics are summarized in Table I.

Table I. Patients Characteristics

Age at initial diagnostic, mean ± standard deviation (SD) [range] (years old)	ige (%)
deviation (SD) [range] (years old)	
20-24 10 16.4 25-30 37.7	
20-24 10 16.4 25-30 37.7	
25.30 37.7 31.36 16 26.2	
31-36 16 26.2	
Age of menarche, mean ± SD [range] (years old) 12.41 ± 1.74 [6-18] Oral contraception 32 52.5 BMI, mean ± SD [range] (kg/m2) 22.54 ± 3.38 [17.80-33.43] 14.5-19.9 13 21.3 20-24.9 32 52.4 52.4 25-29.9 12 19.7 ≥ 30 2 33 33 33 33 34 49 35 57.4 8 74 8 74 8 74 8 74 8 9 14 9 14 9 14 9 14 9 14 9 14 9 14 9 14 <td></td>	
old) Sold) Sold) Sold	
Oral contraception 32 52.5 BMI, mean ± SD [range] (kg/m2) 22.54 ± 3.38 [17.80-33.43] 14.5-19.9 13 21.3 20-24.9 32 52.4 25-29.9 12 19.7 ≥ 30 2 3.3 Missing data 2 3.3 Hematologic malignancies Hodgkin lymphoma 35 57.4 Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Others*¹ 3 4.9 Ann Arbor stage at initial diagnosis 1 2 3.3 II 21 34.4 III 2 3.3 IV 9 14.7 Missing data 27 44.3 Chemotherapy regimens ABVD 19 31.1 R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
13	
20-24.9 32 52.4 25-29.9 12 19.7 ≥ 30 2 3.3 Missing data 2 3.3 Hematologic malignancies Hodgkin lymphoma 35 57.4 Non-Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Ann Arbor stage at initial diagnosis I	
20-24.9 32 52.4 25-29.9 12 19.7 ≥ 30 2 3.3 Missing data 2 3.3 Hematologic malignancies Hodgkin lymphoma 35 57.4 Non-Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Ann Arbor stage at initial diagnosis I	
25-29.9 12 19.7 3.3 3.3 Missing data 2 3.3 3.3 Missing data 2 3.3 3.3 Missing data 2 3.3 3.3 Mematologic malignancies Hodgkin lymphoma 35 57.4 32.9 Acute lymphoblastic leukaemia 3 4.9 4.9 Missing data 4.9 4.9 Missing data 2 3.3 4.9 Missing data 2 3.3 3.3 II 2 3.3 3.3 IV 9 14.7 44.3 Missing data 27 31.1 Missing data 27 31.1 Missing data 27 34.4 Missing data 27 34.4 Missing data 3 4.9 Missing data Missing data Missing data Mi	
≥ 30 2 3.3 Missing data 2 3.3 Hematologic malignancies 57.4 Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Others*1 3 4.9 Ann Arbor stage at initial diagnosis 2 3.3 II 21 34.4 III 2 3.3 IV 9 14.7 Missing data 27 44.3 Chemotherapy regimens ABVD 19 31.1 R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation 10 16.4 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Hematologic malignancies Hodgkin lymphoma 35 57.4 Non-Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Ann Arbor stage at initial diagnosis I 2 3.3 II 21 34.4 III 2 3.3 IV 9 14.7 Missing data 27 44.3 Absence of ovarian response 3 34.4 III 10 10 10 10 10 10 1	
Hodgkin lymphoma 35 57.4 Non-Hodgkin lymphoma 20 32.9 Acute lymphoblastic leukaemia 3 4.9 Others*1 3 4.9 Ann Arbor stage at initial diagnosis	
Non-Hodgkin lymphoma	
Acute lymphoblastic leukaemia 3	
Others*1 3 4.9 Ann Arbor stage at initial diagnosis 3 3 II 2 3.3 III 2 3.3 IV 9 14.7 Missing data 27 44.3 Chemotherapy regimens 31.1 ABVD 19 31.1 R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation 10 16.4 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Ann Arbor stage at initial diagnosis 2 3.3 II 21 34.4 III 2 3.3 IV 9 14.7 Missing data 27 44.3 Chemotherapy regimens ABVD 19 31.1 R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
I	
II	
III	
IV 9 14.7 44.3	
Missing data 27 44.3 Chemotherapy regimens 19 31.1 ABVD 19 16.4 BEACOPP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Chemotherapy regimens ABVD 19 31.1 R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
ABVD R-CHOP BEACOPP 8 013.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not Personal choice Personal choice For having already started treatments Absence of ovarian response 3 19 31.1 16.4 16.4 10 16.4 10 16.4 10 16.4 10 10 10 10 10 10 10 10 10 10 10 10 10	
R-CHOP 10 16.4 BEACOPP 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
BEACOPP Others *2 8 13.1 Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Others *2 21 34.4 Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Radiotherapy 19 31.1 Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Hematopoietic stem cell transplantation 10 16.4 Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Fertility preservation Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Did not 26 42.6 Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Personal choice 8 13.1 For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
For having already started treatments 3 4.9 Absence of ovarian response 3 4.9	
Absence of ovarian response 3 4.9	
Other pathology 2 3.3	
Missing data 10 16.4	
Oocyte cryopreservation 18 29.5	
Ovarian tissue cryopreservation 14 23	
Embryo and oocyte simultaneously 2 3.3	
Embryo cryopreservation 1 1.6	
Pregnancy after treatment 11 18.0	
Spontaneous pregnancy 10 16.4	
Used cryopreserved material 4 6.6	

^{*1:} promyelocytic leukaemia and myelodysplastic syndrome and one missing
*2 m-BACOD, Hyper-CVAD, ESHAP, Linker, DA-EPOCH-R and COPADM (missing data 19.7%, n=12)
N: patients' number.
The missing data are explained by the retrospective nature of the study.

Clinical factors that might predict fertility preservation decision

We found that age influenced the decision of whether or not to preserve fertility (p = 0.048). Women who chose not to preserve fertility were significantly older than women who preserved fertility (27.730 \pm 5.855 [15-36] vs 24.660 \pm 5.896 [16-35] years old).

It was also analysed if the stage at initial diagnosis of each patient influenced their option for preserving fertility. There was no relationship between the staging of the disease and the decision to preserve fertility (p > 0.05 for all stages).

When analysing women who preserved fertility according to parity, we found a positive correlation with nulliparity. Of the 48 nulliparous, 33 chose to preserve fertility. None of the women who had already a child chose to preserve fertility (p < 0.001).

Regarding the marital status of each patient, we found a relationship between being single and preserving fertility (p = 0.021). Of the 34 single women, 25 chose to preserve fertility.

Influence of demographic factors on ovarian reserve

The age of diagnosis did not have a negative impact on FSH and AMH levels after treatment. Only women aged between 25 and 30 years had a significant decrease in AMH (2.279 \pm 1.775 [0.250-4.900] vs 0.479 \pm 0.811 [0.010-0.510] ng/mL, p = 0.033) and an increase in FSH (5.239 \pm 3.489 [3.200-24.000] vs 53.263 \pm 51.329 [0.200-122.000] mUI/mL, p = 0.038) when assessing levels before and after treatment.

Likewise, BMI did not seem to influence the ovarian reserve after treatment.

Finally, the use of oral contraceptives (OCs) during chemotherapy did not demonstrate a protective effect on the ovarian reserve, according the levels of FSH (p = 0.138) and AMH (p = 0.064).

Impact of haematological diagnosis on the ovarian reserve

In our sample, haematological diagnosis (Hodgkin's or non-Hodgkin's lymphoma) did not negatively influence the ovarian reserve before treatment.

There were no differences neither in FSH nor in AMH levels before treatment in patients diagnosed with Hodgkin's and non-Hodgkin's lymphoma (p > 0.05 in both). Therefore, the diagnosis alone had no impact on the ovarian reserve.

Impact of cancer treatments on ovarian reserve

FSH and AMH levels were assessed in the fertility preservation consultation before starting the treatment and later, in the follow-up visit, about two years after the first appointment. When comparing FSH measurements before $(9.47 \pm 22.87 \ [0.30 - 156] \ mUI/mL)$ and after cancer treatment $(37.41 \pm 48.22 \ [0.10\text{-}148] \ mUI/mL)$, we found a significant increase in FSH levels (p = 0.02; Figure 1.A.). In turn, when we performed the same analysis for AMH levels, it revealed a significant decrease in these levels $(0.52 \pm 0.06 \ [0.01\text{-}3.70] \ vs \ 2.19 \pm 1.89 \ [0.06\text{-}7.70] \ ng/mL$, p < 0.001; Figure 1.B.).

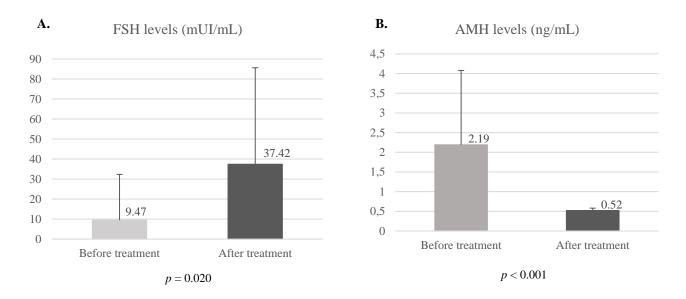


Figure 1. Analysis of the impact of oncological treatments for hematologic malignancies in ovarian reserve. A - FSH levels before and after cancer treatments. B - AMH levels before and after cancer treatments.

In patients who had already initiated cancer treatments at the fertility preservation consultation (n=10, 16.4%), the comparison levels of FSH and AMH with the pre-treatment levels of the remaining patients. We found that patients who had already initiated cancer treatments had lower levels of AMH (0.944 \pm 0.907 [0.060-2.500] vs 2.447 \pm 1.948 [0.080-7.700] ng/mL, p=0.055), but no differences were evident in FSH (p=0.759).

Impact of chemotherapy regimen on ovarian reserve

To assess the impact of each chemotherapy regimen on the ovarian reserve, we compared FSH and AMH levels after treatment in patients submitted to the three most used regimens and in patients that were not submitted to none of those.

In our study, 7 patients (11.5%) have been treated with the BEACOOP regimen. We noticed that AMH levels of patients treated with the BEACOOP regimen were lower than those from the patients submitted to other treatments (0.034 \pm 0.025 [0.010-0.060] vs 0.881 \pm 1.129 [0.010-3.700] ng/mL, p < 0,001; Figure 2). However, there are no differences when comparing FSH levels in patients undergoing this chemotherapy regimen.

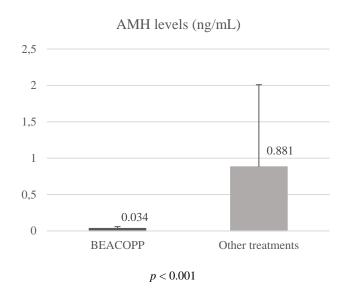


Figure 2. AMH levels in patients undergoing the BEACOOP regimen compared to other treatments.

In the ABVD regimen, we also observed that there were no statistically significant differences in FSH levels (p = 0.124). However, a tendency for lower AMH levels was found (1.573 \pm 1.385 [0.060-3.700] vs 0.342 \pm 0.672 [0.010-3.00] ng/mL, p = 0.058).

FSH and AMH levels in women treated with R-CHOP regimen, FSH and AMH levels were similar to those of women undergoing other treatments (p = 1.000 and p = 0.820, respectively).

Impact of each chemotherapeutic agent on ovarian reserve

We analysed the effect of each chemotherapeutic agent, comparing the levels of FSH and AMH before and after cancer treatment.

Vincristine negatively affects the levels of AMH (after 0.341 ± 0.690 [0.010-3.000] vs before 1.266 ± 1.348 [0.010-3.700] ng/mL, p = 0.037), but not those of FSH (p = 0.124). Also etoposide (0.034 ± 0.025 [0.010-0.060] vs 0.839 ± 1.117 [0.010-3.700] ng/mL, p = 0.002) and procarbazine (0.034 ± 0.025 [0.010-0.060] vs 0.839 ± 1.117 [0.010-3.700] ng/mL, p = 0.002) had a negative impact on AMH levels. However, these agents did not have a detrimental effect on FSH levels (p = 0.241 for both).

Furthermore, prednisone had a negative impact on the ovarian reserve, translated by the effect on FSH levels (53.240 \pm 55.353 [0.200-148.00] vs 6.880 \pm 9.824 [0.100-34.000] mUI/mL, p = 0.041) and AMH levels (0.311 \pm 0.714 [0.010-3.000] vs 1.143 \pm 1.248 [0.010-3.700] ng/mL, p = 0.013).

Vinblastine and dacarbazine showed a tendency towards less impact on AMH levels (1.573 \pm 1.385 [0.060-3.700] vs 0.362 \pm 0.659 [0.010-3.000] ng/mL, p = 0.056). No impact was seen in FSH levels (p = 0.124).

On the contrary, doxorubicin (p = 0.160; p = 0.590), bleomycin (p = 0.637; p = 0.856), cyclophosphamide (p = 0.388; p = 0.072) and rituximab (p = 0.669; p = 0.408) did not have an effect on ovarian reserve, since no significant alterations on the levels of FSH and AMH were found.

Influence of radiotherapy and haematopoietic stem cell transplantation on ovarian reserve

In patients submitted to radiotherapy, there was no decrease in ovarian reserve (p > 0.05). However, patients who underwent haematopoietic stem cell transplantation (HSCT), a statistically significant reduction in ovarian reserve was found (Figure 3). In these patients, the levels of FSH are higher (77.367 \pm 59.464 [3.200-135.000] vs 28.846 \pm 41.906 [0.100-148.000] mUI/mL, p = 0.023) and the AMH levels are lower (0.633 \pm 0.980 [0.010-3.700] ng/mL vs 0.039 \pm 0.027 [0.010-0.060] ng/mL, p = 0.015).

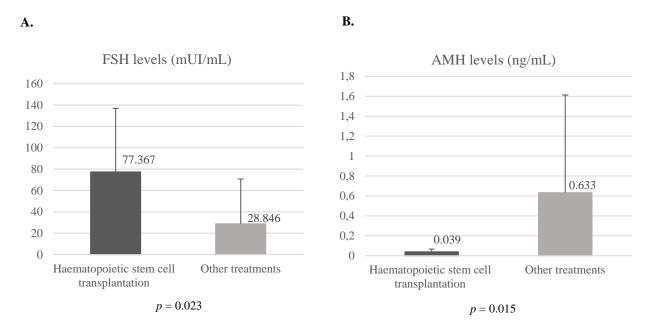


Figure 3. Ovarian reserve assessment in patients undergoing haematopoietic stem cell transplantation compared to patients undergoing other treatments, according FSH (A) and AMH (B) level analysis.

The occurrence of pregnancy according to demographic factors and ovarian reserve after treatment

Of the 11 pregnancies, only one occurred in the period between the end of the treatment and the follow-up consultation. The remaining 10 women became pregnant after the follow-up consultation, that is, most pregnancies occurred at least 2 years after diagnosis.

When we compare the occurrence of pregnancy with age, we found that the patients that got pregnant were significantly older (28.820 ± 4.895 [22-36] vs 24.640 ± 6.031 [15-36] years old, p = 0.038).

BMI was not correlated with pregnancy in our study (p = 0.456).

In our study, we found no relationship between women who became pregnant after the end of treatment and the post-treatment levels of FSH (p = 0.592) and AMH (p = 0.535).

Discussion

The impact of each cancer treatment *per si* on the ovarian reserve is still unknown. Some treatments seem to affect the ovarian reserve more, but we do not know how long it takes to recover. Fertility preservation is increasingly a reality for women diagnosed with cancer, therefore, it is essential to analyse which factors influence the decision to preserve fertility in order to guarantee adequate advice to each patient regarding their fertility in the present and in the future.

In our study, in patients diagnosed with Hodgkin's or non-Hodgkin's lymphoma the ovarian reserve was according to the expected for the age prior to starting treatments. Thus, our results are in line with the results obtained by Paradisi *et al.* [9], who demonstrated no differences between Hodgkin's and non-Hodgkin's lymphoma for AMH levels pre-treatment. These results can be explained by the young age of the sample, however, the small sample size and the lack of studies do not allow us to draw this conclusion.

In our sample, age influenced the decision to preserve fertility. Younger, nulliparous and single women tend to undergo fertility preservation techniques more often than older women. This can be explained by the fact that older women already have children or do not wish to become pregnant again. The potential loss of fertility is a key concern of young women treated for cancer [10] so referral to fertility preservation consultations should be done in an appropriate period of time, allowing the patient to choose whether or not to preserve fertility.

The role of OCs in preserving fertility is still controversial. A randomized trial that was prematurely ended by Behringer *et al.* [11] concluded that OCs had no protective effect on gonadal function in patients treated with BEACOPP-escalated for Hodgkin lymphoma. The same authors conducted a retrospective study [12], in which they concluded that women who took OCs during treatments had a lower risk of amenorrhea. In our study sample, OCs did not demonstrate a protective effect on the ovarian reserve. To clarify the effect of OCs on ovarian reserve, further studies are needed.

According to the literature, cancer treatments, such as chemotherapy, radiotherapy and HSCT, have a negative effect on ovarian reserve [13-23]. In our study, treatments caused a decrease in ovarian reserve, as evidenced by the increase in FSH levels and the decrease in AMH levels. In patients who had already started cancer treatment at the first fertility preservation consultation we found that there was a tendency towards a decrease in AMH levels, reinforcing the deleterious effect of treatments on the ovarian reserve in the short and medium-term.

According to the guidelines of the German Society of Gynaecology and Obstetrics (DGGG), coordinated with the German Society of Urology (DGU) and the German Society of Reproductive Medicine (DGRM) [18], ovarian toxicity depends on the type of chemotherapy regimen used, the number of cycles performed and the patient's age. In our study, the BEACOPP regimen demonstrated a negative impact on AMH levels after treatment. Among the patients undergoing this regimen, 4 were between 25-35 years old and 3 were under 25 years old. The same guidelines [18], differentiate the impact of the BEACOPP regimen considering the patient's age. For ages over 35 years old, 6 to 8 cycles have a high risk of causing amenorrhea. In turn, the risk decreases to intermediate, if the patients are between 25-35 years old and low if they are less than 25 years old. Although in our study amenorrhea was not evaluated in these patients, the AMH levels decreased after treatment with this regimen, in accordance with the negative effect of the BEACOPP regimen in these age groups. It is important to consider that 1 patient underwent 4 cycles, 3 patients underwent 6 cycles and in the remaining 3, we were unable to ascertain the number of cycles performed.

Regarding the ABVD regimen, the most recent data indicate that it has a low risk of causing amenorrhea [18]. AMH has a tendency towards higher levels after the treatment when compared to the other treatments. Only 7 patients undergoing this regimen had FSH and LH, consequently the small sample size may skew the results.

Relatively to the R-CHOP regimen, the risk of amenorrhea is intermediate, if the patient is > 35 years old and low if the patient is < 35 years old [18]. This negative impact of the R-CHOP regimen on AMH levels was evidenced by De Bruin et al. [24]. However, in our study, we did not obtain statistically significant changes in the levels of FSH and AMH in patients undergoing R-CHOP regimen.

We analysed the impact of each chemotherapeutic agent on the ovarian reserve. In this field, changes in FSH and AMH levels may be due to the simultaneous use of other drugs, since each agent is included in one or more chemotherapy regimens. According to the literature, alkylating agents have a high risk of gonadal toxicity and amenorrhea [18,19]. In our study population, cyclophosphamide did not have a negative impact on the ovarian reserve. This result can be explained by the small number of patients in our sample, so, in this field, the results should be interpreted with caution.

Contrarily, patients undergoing vincristine experienced a significant decrease in AMH levels which is contradicted by other studies that defined vincristine as an agent with very little or no risk of causing amenorrhea [18,25].

In addition, patients treated with etoposide agent also experienced a decrease in AMH levels. Swerdlow *et al.* [26] found an increased risk of premature ovarian insufficiency in patients undergoing this agent. It should be noted that there are no studies on the effect of etoposide alone on AMH levels, so more studies are needed to confirm its impact on ovarian reserve.

Regarding procarbazine, several studies report a detrimental effect on ovarian reserve, increasing the likelihood of ovarian dysfunction [19,27]. In our sample, the results are in line with other studies, showing a decrease in AMH levels in patients undergoing this regimen.

According to Trull *et al.* [3], vinblastine has a low risk of gonadal toxicity. Our results showed that there was a tendency for levels of AMH to decrease in patients who underwent this chemotherapeutic agent. However, further studies are needed to clarify the impact of vinblastine on ovarian reserve. We also showed a tendency towards a decrease in the levels of AMH in patients submitted to dacarbazine. These results are in line with other studies that have shown that dacarbazine has a high risk of gonadal toxicity [3,27].

There is insufficient data in the literature about the secondary effects of prednisone on the ovarian reserve. Jenny *et al.* [28] found an increase in time to pregnancy in patients taking a daily dose > 7.5mg of prednisone. In an experimental study in rats [29], methylprednisolone had a negative effect on ovarian reserve. In our study, prednisone had a negative impact on ovarian reserve, as shown by an increase in FSH levels and a decrease in AMH levels.

In our study, patients undergoing HSCT had a decrease in ovarian reserve, demonstrated by an increase in FSH levels and a decrease in AMH levels. These results are in agreement with the meta-analysis by Gerstl *et al.* [30], who evaluated 14 studies and showed that there is a lower rate of pregnancy in patients undergoing HSCT. It should be noted that, in our sample, we were not able to differentiate patients who had allogeneic or autologous HSCT. This data is relevant, since the impact on the ovarian reserve seems to be lower in patients undergoing autologous HSCT [30].

Regarding radiotherapy, the current literature is unanimous in terms of its effect on the ovarian reserve, depending on the patient's age, dose and location of irradiation, it has different risks of causing ovarian failure [18,19,31]. In our study population, we did not see changes in FSH and AMH levels after treatment. Most patients underwent cervical and mediastinal irradiation however we were unable to clarify how many underwent total body irradiation. Thus, we cannot conclude whether radiotherapy did not have a negative impact on the ovarian reserve due to the location of the irradiation (cervical and mediastinal) or whether due to recovery over the last 2 years. We also do not know the total amount dose that was administered, so the results in this field should be viewed with some reservations.

Hamy AS *et al.* [32] demonstrated that AMH levels do not have a positive correlation with short-term fertility in women after cancer treatment. Although the concentration of AMH is not related to the occurrence of short-term pregnancy [5], it can help to predict the age of menopause and thus calculate the opportunity to achieve pregnancy in the longer term [33]. In our study, we also found no relationship between women who became pregnant after the end of treatment and the post-treatment levels AMH.

Limitations

There are limitations that need to be addressed when interpreting reproductive outcomes. The best parameter to assess fertility is pregnancy, however the occurrence of this depends on the patients' own will, so we can have patients with normal fertility, but who do not want to become pregnant, thus conditioning the main parameter of fertility assessment. The radiation dose and the use of total body irradiation in radiotherapy were not considered, two important factors to be able to correctly assess the impact of this treatment on the ovarian reserve. In HSCT, we were unable to differentiate which patients were submitted to allogeneic or autologous HSCT, failing to assess the impact of each of these types of HSCT on ovarian reserve. The retrospective design of the study is the main limitation since it does not allow us to fill in the missing data. The sample size and the small number of patients who performed each treatment also contributes to the bias of the results.

Conclusion

In our centre, more than 50 % of the patients referred to consultations chose to preserve fertility. The main reason for not preserving fertility was personal choice. However, about 1 in 6 patients had started oncological treatment before fertility preservation consultation. Patients of childbearing potential should be referred to a fertility preservation centre at an appropriate time, giving them the option to choose to preserve their fertility or not.

Regarding oncological treatment, the ABVD regimen was found to have less impact on AMH levels compared to the other treatments. A significant decrease in ovarian reserve was observed after cancer treatment, namely after BEACOPP regimen and HSCT.

Vincristine, etoposide, procarbazine and prednisone caused a decrease in AMH levels.

Further studies are needed to assess the impact of each chemotherapeutic agent on the ovarian reserve, as well as the relationship between AMH levels and the occurrence of short, medium and long term pregnancies. That will allow to better inform patients on the effect on their fertility and the chances of getting pregnant in the future.

Acknowledgment

Agradeço aos meus pais por todo o apoio ao longo desta caminhada, sem eles teria sido impossível chegar aqui.

À Carolina por todo o carinho, mesmo nos momentos mais difíceis, esteve sempre lá para me apoiar e me tornar uma pessoa melhor perante as adversidades da vida.

Aos meus amigos de Coimbra, Paulo, João e Gonçalo pelos bons momentos e pelas memórias construídas, recordá-las-ei para sempre com saudade.

À Professora Doutora Teresa Almeida Santos que impulsionou desde o início este trabalho e foi uma peça fundamental para a sua realização.

À Dra. Ana Sofia Pais pelo acompanhamento incansável ao longo de todo o trabalho e pela paciência disponibilizada.

References

- 1. Landis SH, Murray T, Boldern S, Wingo PA (1999). Cancer statistics. CA Cancer J Clin 49(1): 8–3. DOI: 10.3322/canjclin.49.1.8
- 2. Howlader N, Noone AM, Krapcho M, et al (2016). SEER 8 Surveillance, Epidemiology and End Results) Cancer Statistics Review, 1975-2013, National Cancer Institute 2016.
- 3. César Díaz García, Dolors Manau Trullás (2018). Recomendaciones sobre la preservación de la fertilidade en enfermidades hematológicas. Grupo de Interés de Preservacion de la Fertilidad (GIPF).
- 4. Teresa Almeida Santos (2018), Temas de Medicina da Reprodução Reprodução Medicamente Assistida, Sociedade Portuguesa de Medicina da Reprodução.
- 5. Committee P, Society A (2019). Fertility preservation in patients undergoing gonadotoxic therapy or gonadectomy: a committee opinion. Fertil Steril [Internet]. 2019;112(6):1022–33. DOI: 10.1016/j.fertnstert.2019.09.013
- 6. Donnez J, Dolmans MM (2014). Transplantation of ovarian tissue. Best Pract Res Clin Obstet Gynaecol. 2014 Nov;28((8)):1188–97. DOI: 10.1016/j.bpobgyn.2014.09.003
- 7. Dolmans MM (2018). Recent advances in fertility preservation and counseling for female cancer patients. Expert Rev Anticancer Ther. 2018 Feb;18((2)):115–20. DOI: 10.1080/14737140.2018.1415758
- 8. Anderson RA, Remedios R, Kirkwood AA et al (2018). Determinants of ovarian function after response-adapted therapy in patients with advanced Hodgkin's lymphoma (RATHL): a secondary analysis of a randomised phase 3 trial. Lancet Oncol. 2018 Oct;19(10):1328-1337. doi: 10.1016/S1470-2045(18)30500-X. Epub 2018 Sep 13.
- 9. Paradisi R, Vicenti R, Sc B, Macciocca M, Ph D, Seracchioli R (2016). High cytokine expression and reduced ovarian reserve in patients with Hodgkin lymphoma or non-Hodgkin lymphoma. Fertil Steril. 2016 Oct;106(5):1176-1182. DOI: 10.1016/j.fertnstert.2016.06.035
- 10. Peate M, Meiser B, Hickey M, Friedlander M (2009). The fertility-related concerns, needs and preferences of younger women with breast cancer: A systematic review. Breast Cancer Res Treat. 2009;116(2):215–23. DOI: 10.1007/s10549-009-0401-6
- 11. Behringer K, Wildt L, Mueller H, et al. (2010). German Hodgkin Study Group. No protection of the ovarian follicle pool with the use of GnRH analogues or oral contraceptives in young women treated with escalated BEACOPP for advanced-stage Hodgkin lymphoma. Final results of a phase II trial from the German Hodgkin Study Group. Ann Oncol. 2010 Oct; 21(10): 2052–60. DOI: 10.1093/annonc/mdq066
- 12. Behringer K, Breuer K, Reineke T, et al (2005). German Hodgkin's Lymphoma Study Group. Secondary amenorrhea after Hodgkin's lymphoma is influenced by age at treatment, stage of disease, chemotherapy regimen, and the use of oral contraceptives during therapy: a report from the German Hodgkin's Lymphoma Study Group. J Clin Oncol. 2005 Oct; 23(30): 7555–64. DOI: 10.1200/JCO.2005.08.138
- 13. Spears N, Lopes F, Stefansdottir A et al (2019). Ovarian damage from chemotherapy and current approaches to its protection. Hum Reprod Update. 2019;25(6):673–93. DOI: 10.1093/humupd/dmz027
- 14. Chow EJ, Stratton KL, Leisenring WM et al (2016). Pregnancy after chemotherapy in male and female survivors of childhood cancer treated between 1970 and 1999: A report from the Childhood Cancer Survivor Study cohort. Lancet Oncol. 2016;17(5):567–76. DOI: 10.1016/S1470-2045(16)00086-3
- 15. Van Dorp W, Haupt R, Anderson RA et al (2018). Reproductive function and outcomes in female survivors of childhood, adolescent, and young adult cancer: a review. J Clin Oncol 2018;36:2169–2180. DOI: 10.1200/JCO.2017.76.3441
- 16. Bedoschi G, Navarro PA, Oktay K (2016). Chemotherapy-induced damage to ovary: mechanisms and clinical impact. Future Oncol 2016;12: 2333–2344. DOI: 10.2217/fon-2016-0176
- 17. Bedoschi GM, Navarro PA, Oktay KH (2019). Novel insights into the pathophysiology of chemotherapy-induced damage to the ovary. Panminerva Med 2019;61:68–75. DOI: 10.23736/S0031-0808.18.03494-8
- 18. Dittrich R, Kliesch S, Schüring A et al (2018). Fertility Preservation for Patients with Malignant DiseaseGuideline of the DGGG, DGU and DGRM (S2k-Level, AWMF Registry No. 015/082, November 2017) Recommendations and Statements for Girls and Women. Geburtshilfe Frauenheilkd. 2018;78(6):567–84. DOI: 10.1055/a-0611-5549
- 19. Trudgen K, Ayensu-Coker L (2014). Fertility preservation and reproductive health in the pediatric, adolescent, and young adult female cancer patient. Curr Opin Obstet Gynecol. 2014;26(5):372–80. DOI: 10.1097/GCO.000000000000107
- 20. Wo JY, Viswanathan AN (2009). Impact of Radiotherapy on Fertility, Pregnancy, and Neonatal Outcomes in Female Cancer Patients. Int J Radiat Oncol Biol Phys. 2009;73(5):1304–12. DOI: 10.1016/j.ijrobp.2008.12.016

- 21. Sanders JE, Hawley J, Levy W et al (1996). Pregnancies following high-dose cyclophosphamide with or without high-dose busulfan or total-body irradiation and bone marrow transplantation. Blood 1996 April 1;87:3045-52.
- 22. Wallace WH, Shalet SM, Crowne EC, Morris-Jones PH, Gattamaneni HR (1989). Ovarian failure following abdominal irradiation in childhood: natural history and prognosis. Clin Oncol (R coll Radiol). 1989;1(2):75-9. DOI: 10.1016/s0936-6555(89)80039-1
- 23. Wallace WH, Thomson AB, Kelsey TW (2003). The radiosensitivity of the human oocyte. Hum Reprod. 2003;18(1):117–21. DOI: 10.1093/humrep/deg016
- 24. De Bruin ML, Huisbrink J, Hauptmann M et al (2008). Treatment-related risk factors for premature menopause following Hodgkin lymphoma. Blood 2008; 111: 101-108. DOI: 10.1182/blood-2007-05-090225
- 25. Lee SJ, Schover LR, Partridge AH et al (2006). American Society of Clinical Oncology recommendations on fertility preservation in cancer patients. J Clin Oncol. 2006;24(18):2917–31. DOI: 10.1200/JCO.2006.06.5888
- 26. Swerdlow AJ, Cooke R, Bates A et al (2014). Risk of premature menopause after treatment for Hodgkin's lymphoma. J Natl Cancer Inst. 2014;106(9). DOI: 10.1093/jnci/dju207
- 27. Cancer A. ACOG Committee Opinion No. 747: Gynecologic Issues in Children and Adolescent Cancer Patients and Survivors. Obstet Gynecol. 2018;132(2):e67–77.
- 28. Brouwer J, Hazes JMW, Laven JSE, Dolhain RJEM (2015). Fertility in women with rheumatoid arthritis: influence of disease activity and medication. Ann Rheum Dis. 2015 Oct;74(10):1836-41. DOI: 10.1136/annrheumdis-2014-205383
- 29. Osmana MA, Usul H, Yulu E, Kesim M, Karahan SC (2013). Hormonal and histological changes in the ovaries with high-doses of methylprednisolone administration for acute spinal cord injury: An experimental study. J Obstet Gynaecol. 2013; Aug;33(6):585-90. DOI: 10.3109/01443615.2013.789833
- 30. Gerstl B, Sullivan E, Koch J et al (2019). Reproductive outcomes following a stem cell transplant for a haematological malignancy in female cancer survivors: a systematic review and meta-analysis. Support Care Cancer. 2019;27(12):4451–60. DOI: 10.1007/s00520-019-05020-8
- 31. Rodriguez-Wallberg KA, Oktay K (2014). Fertility preservation during cancer treatment: Clinical guidelines. Cancer Manag Res. 2014;6(1):105–17. DOI: 10.2147/CMAR.S32380
- 32. Hamy AS, Porcher R, Eskenazi S et al (2016). Anti-Müllerian hormone in breast cancer patients treated with chemotherapy: A retrospective evaluation of subsequent pregnancies. Reprod Biomed Online [Internet]. 2016;32(3):299–307. DOI: 10.1016/j.rbmo.2015.12.008
- 33. Depmann M, Faddy MJ, Van Der Schouw YT et al (2015). The relationship between variation in size of the primordial follicle pool and age at natural menopause. J Clin Endocrinol Metab. 2015;100(6):E845–51. DOI: 10.1210/jc.2015-1298

Attached are the submission guidelines of the Annals of Hematology, since this article was written in accordance with these guidelines, with a view to its submission for publication in this scientific journal.

Annexes

Annals of Hematology | Submission guidelines

https://www.springer.com/journal/277/submission-guidelines

Instructions for Authors

General Information:

Please note that the journal does not offer pre-evaluation. Therefore please directly submit your manuscript to Editorial Manager at the link below. The Editor will then contact you.

It is the Corresponding Author's responsibility to ensure that he/she has the correct authors' names, affiliations, addresses and author sequence when the final corrected proofs are submitted. Please keep in mind that corrections are no longer possible after online first publication. All additional corrections need the approval of the Editor-in-Chief(s) and would result in the publication of an erratum that will be hyperlinked to the article.

Types of papers

Annals of Hematology welcomes Review Articles, Original Articles, and Letters to the Editor.

Letters to the Editor: Comments on published papers or reports of illustrative cases are welcome, but should not exceed 500 words, 10 references, and 1 figure/table. They should contain an illustrative title, but no abstract or subheadings.

Manuscript Submission

Manuscript Submission

Submission of a manuscript implies: that the work described has not been published before; that it is not under consideration for publication anywhere else; that its publication has been approved by all co-authors, if any, as well as by the responsible authorities – tacitly or explicitly – at the institute where the work has been carried out. The publisher will not be held legally responsible should there be any claims for compensation.

Permissions

Authors wishing to include figures, tables, or text passages that have already been published elsewhere are required to obtain permission from the copyright owner(s) for both the print and online format and to include evidence that such permission has been granted when submitting their papers. Any material received without such evidence will be assumed to originate from the authors.

Online Submission

Please follow the hyperlink "Submit online" on the right and upload all of your manuscript files following the instructions given on the screen.

Please ensure you provide all relevant editable source files. Failing to submit these source files might cause unnecessary delays in the review and production process.

Title page

Title Page

Please use this template title page for providing the following information.

The title page should include:

- The name(s) of the author(s)
- A concise and informative title
- The affiliation(s) of the author(s), i.e. institution, (department), city, (state), country
- A clear indication and an active e-mail address of the corresponding author
- If available, the 16-digit ORCID of the author(s)

If address information is provided with the affiliation(s) it will also be published.

For authors that are (temporarily) unaffiliated we will only capture their city and country of residence, not their email address unless specifically requested.

Abstract

Please provide an abstract of 150 to 250 words. The abstract should not contain any undefined abbreviations or unspecified references.

For life science journals only (when applicable)

Trial registration number and date of registration

Trial registration number, date of registration followed by "retrospectively registered"

Keywords

Please provide 4 to 6 keywords which can be used for indexing purposes.

Declarations

All manuscripts must contain the following sections under the heading 'Declarations'.

If any of the sections are not relevant to your manuscript, please include the heading and write 'Not applicable' for that section.

To be used for non-life science journals

Funding (information that explains whether and by whom the research was supported)

Conflicts of interest/Competing interests (include appropriate disclosures)

Availability of data and material (data transparency)

Code availability (software application or custom code)

Authors' contributions (optional: please review the submission guidelines from the journal whether statements are mandatory)

To be used for life science journals + articles with biological applications

Funding (information that explains whether and by whom the research was supported)

Conflicts of interest/Competing interests (include appropriate disclosures)

Ethics approval (include appropriate approvals or waivers)

Consent to participate (include appropriate statements)

Consent for publication (include appropriate statements)

Availability of data and material (data transparency)

Code availability (software application or custom code)

Authors' contributions (optional: please review the submission guidelines from the journal whether statements are mandatory)

Text

Text Formatting

Manuscripts should be submitted in Word.

- Use a normal, plain font (e.g., 10-point Times Roman) for text.
- Use italics for emphasis.
- Use the automatic page numbering function to number the pages.
- Do not use field functions.
- Use tab stops or other commands for indents, not the space bar.
- Use the table function, not spreadsheets, to make tables.
- Use the equation editor or MathType for equations.
- Save your file in docx format (Word 2007 or higher) or doc format (older Word versions).

Manuscripts with mathematical content can also be submitted in LaTeX.

Headings

Please use no more than three levels of displayed headings.

Abbreviations

Abbreviations should be defined at first mention and used consistently thereafter.

Footnotes

Footnotes can be used to give additional information, which may include the citation of a reference included in the reference list. They should not consist solely of a reference citation, and they should never include the bibliographic details of a reference. They should also not contain any figures or tables.

Footnotes to the text are numbered consecutively; those to tables should be indicated by superscript lower-case letters (or asterisks for significance values and other statistical data). Footnotes to the title or the authors of the article are not given reference symbols.

Always use footnotes instead of endnotes.

Acknowledgments

Acknowledgments of people, grants, funds, etc. should be placed in a separate section on the title page. The names of funding organizations should be written in full.

Scientific style

- Please always use internationally accepted signs and symbols for units (SI units).
- Nomenclature: Insofar as possible, authors should use systematic names similar to those used by Chemical Abstract Service or IUPAC.
- Genus and species names should be in italics.
- Generic names of drugs and pesticides are preferred; if trade names are used, the generic name should be given at first mention.
- Please use the standard mathematical notation for formulae, symbols, etc.:Italic for single letters that denote mathematical constants, variables, and unknown quantities Roman/upright for numerals, operators, and punctuation, and commonly defined functions or abbreviations, e.g., cos, det, e or exp, lim, log, max, min, sin, tan, d (for derivative) Bold for vectors, tensors, and matrices.

References

Citation

Reference citations in the text should be identified by numbers in square brackets. Some examples:

- 1. Negotiation research spans many disciplines [3].
- 2. This result was later contradicted by Becker and Seligman [5].
- 3. This effect has been widely studied [1-3, 7].

Reference list

The list of references should only include works that are cited in the text and that have been published or accepted for publication. Personal communications and unpublished works should only be mentioned in the text. Do not use footnotes or endnotes as a substitute for a reference list.

The entries in the list should be numbered consecutively.

Journal article

Gamelin FX, Baquet G, Berthoin S, Thevenet D, Nourry C, Nottin S, Bosquet L (2009) Effect of high intensity intermittent training on heart rate variability in prepubescent children. Eur J Appl Physiol 105:731-738. https://doi.org/10.1007/s00421-008-0955-8

Ideally, the names of all authors should be provided, but the usage of "et al" in long author lists will also be accepted:

Smith J, Jones M Jr, Houghton L et al (1999) Future of health insurance. N Engl J Med 965:325–329

 Article by DOI Slifka MK, Whitton JL (2000) Clinical implications of dysregulated cytokine production. J Mol Med. https://doi.org/10.1007/s001090000086 Book

South J, Blass B (2001) The future of modern genomics. Blackwell, London

Book chapter

Brown B, Aaron M (2001) The politics of nature. In: Smith J (ed) The rise of modern genomics, 3rd edn. Wiley, New York, pp 230-257

• Online document

Cartwright J (2007) Big stars have weather too. IOP Publishing PhysicsWeb. http://physicsweb.org/articles/news/11/6/16/1. Accessed 26 June 2007

Dissertation

Trent JW (1975) Experimental acute renal failure. Dissertation, University of California

Always use the standard abbreviation of a journal's name according to the ISSN List of Title Word Abbreviations

If you are unsure, please use the full journal title.

For authors using EndNote, Springer provides an output style that supports the formatting of in-text citations and reference list.

Authors preparing their manuscript in LaTeX can use the bibtex file spbasic.bst which is included in Springer's LaTeX macro package.

Tables

- All tables are to be numbered using Arabic numerals.
- Tables should always be cited in text in consecutive numerical order.
- For each table, please supply a table caption (title) explaining the components of the table.
- Identify any previously published material by giving the original source in the form of a reference at the end of the table caption.
- Footnotes to tables should be indicated by superscript lower-case letters (or asterisks for significance values and other statistical data) and included beneath the table body.

Artwork and Illustrations Guidelines

Electronic Figure Submission

- Supply all figures electronically.
- Indicate what graphics program was used to create the artwork.
- For vector graphics, the preferred format is EPS; for halftones, please use TIFF format. MSOffice files are also acceptable.
- Vector graphics containing fonts must have the fonts embedded in the files.
- Name your figure files with "Fig" and the figure number, e.g., Fig1.eps.

Line Art

- Definition: Black and white graphic with no shading.
- Do not use faint lines and/or lettering and check that all lines and lettering within the figures are legible at final size.
- All lines should be at least 0.1 mm (0.3 pt) wide.
- Scanned line drawings and line drawings in bitmap format should have a minimum resolution of 1200 dpi.
- Vector graphics containing fonts must have the fonts embedded in the files.

Halftone Art

- Definition: Photographs, drawings, or paintings with fine shading, etc.
- If any magnification is used in the photographs, indicate this by using scale bars within the figures themselves.
- Halftones should have a minimum resolution of 300 dpi.

Combination Art

- Definition: a combination of halftone and line art, e.g., halftones containing line drawing, extensive lettering, color diagrams, etc.
- Combination artwork should have a minimum resolution of 600 dpi.

Color Art

- Color art is free of charge for online publication.
- If black and white will be shown in the print version, make sure that the main information will still be visible. Many colors are not distinguishable from one another when converted to black and white. A simple way to check this is to make a xerographic copy to see if the necessary distinctions between the different colors are still apparent.
- If the figures will be printed in black and white, do not refer to color in the captions.
- Color illustrations should be submitted as RGB (8 bits per channel).

Figure Lettering

- To add lettering, it is best to use Helvetica or Arial (sans serif fonts).
- Keep lettering consistently sized throughout your final-sized artwork, usually about 2–3 mm (8–12 pt).
- Variance of type size within an illustration should be minimal, e.g., do not use 8-pt type on an axis and 20- pt type for the axis label.
- Avoid effects such as shading, outline letters, etc.
- Do not include titles or captions within your illustrations.

Figure Numbering

- All figures are to be numbered using Arabic numerals.
- Figures should always be cited in text in consecutive numerical order.
- Figure parts should be denoted by lowercase letters (a, b, c, etc.).

• If an appendix appears in your article and it contains one or more figures, continue the consecutive numbering of the main text. Do not number the appendix figures, "A1, A2, A3, etc." Figures in online appendices (Electronic Supplementary Material) should, however, be numbered separately.

Figure Captions

- Each figure should have a concise caption describing accurately what the figure depicts. Include the captions in the text file of the manuscript, not in the figure file.
- Figure captions begin with the term Fig. in bold type, followed by the figure number, also in bold type.
- No punctuation is to be included after the number, nor is any punctuation to be placed at the end of the caption.
- Identify all elements found in the figure in the figure caption; and use boxes, circles, etc., as coordinate points in graphs.
- Identify previously published material by giving the original source in the form of a reference citation at the end of the figure caption.

Figure Placement and Size

- Figures should be submitted separately from the text, if possible.
- When preparing your figures, size figures to fit in the column width.
- For large-sized journals the figures should be 84 mm (for double-column text areas), or 174 mm (for single-column text areas) wide and not higher than 234 mm.
- For small-sized journals, the figures should be 119 mm wide and not higher than 195 mm.

Permissions

If you include figures that have already been published elsewhere, you must obtain permission from the copyright owner(s) for both the print and online format. Please be aware that some publishers do not grant electronic rights for free and that Springer will not be able to refund any costs that may have occurred to receive these permissions. In such cases, material from other sources should be used.

Accessibility

In order to give people of all abilities and disabilities access to the content of your figures, please make sure that

- All figures have descriptive captions (blind users could then use a text-to-speech software or a text-toBraille hardware)
- Patterns are used instead of or in addition to colors for conveying information (colorblind users would then be able to distinguish the visual elements)
- Any figure lettering has a contrast ratio of at least 4.5:1

Electronic Supplementary Material

Springer accepts electronic multimedia files (animations, movies, audio, etc.) and other supplementary files to be published online along with an article or a book chapter. This feature can add dimension to the author's article, as certain information cannot be printed or is more convenient in electronic form. Before submitting research datasets as electronic supplementary material, authors should read the journal's Research data policy. We encourage research data to be archived in data repositories wherever possible.

Submission

- Supply all supplementary material in standard file formats.
- Please include in each file the following information: article title, journal name, author names; affiliation and e-mail address of the corresponding author.
- To accommodate user downloads, please keep in mind that larger-sized files may require very long download times and that some users may experience other problems during downloading.

Audio, Video, and Animations

Aspect ratio: 16:9 or 4:3
Maximum file size: 25 GB
Minimum video duration: 1 sec

• Supported file formats: avi, wmv, mp4, mov, m2p, mp2, mpg, mpeg, flv, mxf, mts, m4v, 3gp

Text and Presentations

- Submit your material in PDF format; .doc or .ppt files are not suitable for long-term viability.
- A collection of figures may also be combined in a PDF file.

Spreadsheets

• Spreadsheets should be submitted as .csv or .xlsx files (MS Excel).

Specialized Formats

• Specialized format such as .pdb (chemical), .wrl (VRML), .nb (Mathematica notebook), and .tex can also be supplied.

Collecting Multiple Files

• It is possible to collect multiple files in a .zip or .gz file.

Numbering

- If supplying any supplementary material, the text must make specific mention of the material as a citation, similar to that of figures and tables.
- Refer to the supplementary files as "Online Resource", e.g., "... as shown in the animation (Online Resource 3)", "... additional data are given in Online Resource 4".
- Name the files consecutively, e.g. "ESM 3.mpg", "ESM 4.pdf".

Captions

• For each supplementary material, please supply a concise caption describing the content of the file.

Processing of supplementary files

• Electronic supplementary material will be published as received from the author without any conversion, editing, or reformatting.

Accessibility

In order to give people of all abilities and disabilities access to the content of your supplementary files, please make sure that

- The manuscript contains a descriptive caption for each supplementary material.
- Video files do not contain anything that flashes more than three times per second (so that users prone to seizures caused by such effects are not put at risk).

Ethical Responsibilities of Authors

This journal is committed to upholding the integrity of the scientific record. As a member of the Committee on Publication Ethics (COPE) the journal will follow the COPE guidelines on how to deal with potential acts of misconduct.

Authors should refrain from misrepresenting research results which could damage the trust in the journal, the professionalism of scientific authorship, and ultimately the entire scientific endeavour. Maintaining integrity of the research and its presentation is helped by following the rules of good scientific practice, which include*:

- The manuscript should not be submitted to more than one journal for simultaneous consideration.
- The submitted work should be original and should not have been published elsewhere in any form or language (partially or in full), unless the new work concerns an expansion of previous work. (Please provide transparency on the re-use of material to avoid the concerns about text-recycling ('selfplagiarism').
- A single study should not be split up into several parts to increase the quantity of submissions and submitted to various journals or to one journal over time (i.e. 'salami-slicing/publishing').
- Concurrent or secondary publication is sometimes justifiable, provided certain conditions are met. Examples include: translations or a manuscript that is intended for a different group of readers.
- Results should be presented clearly, honestly, and without fabrication, falsification or inappropriate data manipulation (including image based manipulation). Authors should adhere to discipline-specific rules for acquiring, selecting and processing data.
- No data, text, or theories by others are presented as if they were the author's own ('plagiarism'). Proper
 acknowledgements to other works must be given (this includes material that is closely copied (near
 verbatim), summarized and/or paraphrased), quotation marks (to indicate words taken from another
 source) are used for verbatim copying of material, and permissions secured for material that is
 copyrighted.

Important note: the journal may use software to screen for plagiarism

- Authors should make sure they have permissions for the use of software, questionnaires/(web) surveys and scales in their studies (if appropriate).
- Authors should avoid untrue statements about an entity (who can be an individual person or a company)
 or descriptions of their behavior or actions that could potentially be seen as personal attacks or
 allegations about that person.
- Research that may be misapplied to pose a threat to public health or national security should be clearly identified in the manuscript (e.g. dual use of research). Examples include creation of harmful consequences of biological agents or toxins, disruption of immunity of vaccines, unusual hazards in the use of chemicals, weaponization of research/technology (amongst others).
- Authors are strongly advised to ensure the author group, the Corresponding Author, and the order of
 authors are all correct at submission. Adding and/or deleting authors during the revision stages is
 generally not permitted, but in some cases may be warranted. Reasons for changes in authorship should
 be explained in detail. Please note that changes to authorship cannot be made after acceptance of a
 manuscript.

*All of the above are guidelines and authors need to make sure to respect third parties rights such as copyright and/or moral rights.

Upon request authors should be prepared to send relevant documentation or data in order to verify the validity of the results presented. This could be in the form of raw data, samples, records, etc. Sensitive information in the form of confidential or proprietary data is excluded.

If there is suspicion of misbehavior or alleged fraud the Journal and/or Publisher will carry out an investigation following COPE guidelines. If, after investigation, there are valid concerns, the author(s) concerned will be contacted under their given e-mail address and given an opportunity to address the issue. Depending on the situation, this may result in the Journal's and/or Publisher's implementation of the following measures, including, but not limited to:

- If the manuscript is still under consideration, it may be rejected and returned to the author.
- If the article has already been published online, depending on the nature and severity of the infraction:
 - an erratum/correction may be placed with the article
 - an expression of concern may be placed with the article
 - or in severe cases retraction of the article may occur

The reason will be given in the published erratum/correction, expression of concern or retraction note. Please note that retraction means that the article is maintained on the platform, watermarked "retracted" and the explanation for the retraction is provided in a note linked to the watermarked article.

- The author's institution may be informed.
- A notice of suspected transgression of ethical standards in the peer review system may be included as part of the author's and article's bibliographic record.

Fundamental errors

Authors have an obligation to correct mistakes once they discover a significant error or inaccuracy in their published article. The author(s) is/are requested to contact the journal and explain in what sense the error is impacting the article. A decision on how to correct the literature will depend on the nature of the error. This may be a correction or retraction. The retraction note should provide transparency which parts of the article are impacted by the error.

Suggesting / excluding reviewers

Authors are welcome to suggest suitable reviewers and/or request the exclusion of certain individuals when they submit their manuscripts. When suggesting reviewers, authors should make sure they are totally independent and not connected to the work in any way. It is strongly recommended to suggest a mix of reviewers from different countries and different institutions. When suggesting reviewers, the Corresponding Author must provide an institutional email address for each suggested reviewer, or, if this is not possible to include other means of verifying the identity such as a link to a personal homepage, a link to the publication record or a researcher or author ID in the submission letter. Please note that the Journal may not use the suggestions, but suggestions are appreciated and may help facilitate the peer review process.

Authorship principles

These guidelines describe authorship principles and good authorship practices to which prospective authors should adhere to.

Authorship clarified

The Journal and Publisher assume all authors agreed with the content and that all gave explicit consent to submit and that they obtained consent from the responsible authorities at the institute/organization where the work has been carried out, before the work is submitted.

The Publisher does not prescribe the kinds of contributions that warrant authorship. It is recommended that authors adhere to the guidelines for authorship that are applicable in their specific research field. In absence of specific guidelines it is recommended to adhere to the following guidelines*:

All authors whose names appear on the submission

- 1) made substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data; or the creation of new software used in the work;
- 2) drafted the work or revised it critically for important intellectual content;
- 3) approved the version to be published; and
- 4) agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

* Based on/adapted from:

ICMJE, Defining the Role of Authors and Contributors,

Transparency in authors' contributions and responsibilities to promote integrity in scientific publication, McNutt at all, PNAS February 27, 2018

Disclosures and declarations

All authors are requested to include information regarding sources of funding, financial or non-financial interests, study-specific approval by the appropriate ethics committee for research involving humans and/or animals, informed consent if the research involved human participants, and a statement on welfare of animals if the research involved animals (as appropriate).

The decision whether such information should be included is not only dependent on the scope of the journal, but also the scope of the article. Work submitted for publication may have implications for public health or general welfare and in those cases it is the responsibility of all authors to include the appropriate disclosures and declarations.

Data transparency

All authors are requested to make sure that all data and materials as well as software application or custom code support their published claims and comply with field standards. Please note that journals may have individual policies on (sharing) research data in concordance with disciplinary norms and expectations. Please check the Instructions for Authors of the Journal that you are submitting to for specific instructions.

Role of the Corresponding Author

One author is assigned as Corresponding Author and acts on behalf of all co-authors and ensures that questions related to the accuracy or integrity of any part of the work are appropriately addressed.

The Corresponding Author is responsible for the following requirements:

- ensuring that all listed authors have approved the manuscript before submission, including the names and order of authors;
- managing all communication between the Journal and all co-authors, before and after publication;*
- providing transparency on re-use of material and mention any unpublished material (for example manuscripts in press) included in the manuscript in a cover letter to the Editor;
- making sure disclosures, declarations and transparency on data statements from all authors are included in the manuscript as appropriate (see above).

Author contributions

Please check the Instructions for Authors of the Journal that you are submitting to for specific instructions regarding contribution statements.

In absence of specific instructions and in research fields where it is possible to describe discrete efforts, the Publisher recommends authors to include contribution statements in the work that specifies the contribution of every author in order to promote transparency. These contributions should be listed at the separate title page.

Examples of such statement(s) are shown below:

• Free text:

All authors contributed to the study conception and design. Material preparation, data collection and analysis were performed by [full name], [full name] and [full name]. The first draft of the manuscript was written by [full name] and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

• Conceptualization: [full name], ...; Methodology: [full name], ...; Formal analysis and investigation: [full name], ...; Writing - original draft preparation: [full name, ...]; Writing - review and editing: [full name], ...; Funding acquisition: [full name], ...; Resources: [full name], ...; Supervision: [full name],

For review articles where discrete statements are less applicable a statement should be included who had the idea for the article, who performed the literature search and data analysis, and who drafted and/or critically revised the work.

For articles that are based primarily on the student's dissertation or thesis, it is recommended that the student is usually listed as principal author:

A Graduate Student's Guide to Determining Authorship Credit and Authorship Order, APA Science Student Council 2006

^{*} The requirement of managing all communication between the journal and all co-authors during submission and proofing may be delegated to a Contact or Submitting Author. In this case please make sure the Corresponding Author is clearly indicated in the manuscript.

Affiliation

The primary affiliation for each author should be the institution where the majority of their work was done. If an author has subsequently moved, the current address may additionally be stated. Addresses will not be updated or changed after publication of the article.

Changes to authorship

Authors are strongly advised to ensure the correct author group, the Corresponding Author, and the order of authors at submission. Changes of authorship by adding or deleting authors, and/or changes in Corresponding Author, and/or changes in the sequence of authors are not accepted after acceptance of a manuscript.

 Please note that author names will be published exactly as they appear on the accepted submission!

Please make sure that the names of all authors are present and correctly spelled, and that addresses and affiliations are current.

Adding and/or deleting authors at revision stage are generally not permitted, but in some cases it may be warranted. Reasons for these changes in authorship should be explained. Approval of the change during revision is at the discretion of the Editor-in-Chief. Please note that journals may have individual policies on adding and/or deleting authors during revision stage.

Author identification

Authors are recommended to use their ORCID ID when submitting an article for consideration or acquire an ORCID ID via the submission process.

Deceased or incapacitated authors

For cases in which a co-author dies or is incapacitated during the writing, submission, or peer-review process, and the co-authors feel it is appropriate to include the author, co-authors should obtain approval from a (legal) representative which could be a direct relative.

Authorship issues or disputes

In the case of an authorship dispute during peer review or after acceptance and publication, the Journal will not be in a position to investigate or adjudicate. Authors will be asked to resolve the dispute themselves. If they are unable the Journal reserves the right to withdraw a manuscript from the editorial process or in case of a published paper raise the issue with the authors' institution(s) and abide by its guidelines.

Confidentiality

Authors should treat all communication with the Journal as confidential which includes correspondence with direct representatives from the Journal such as Editors-in-Chief and/or Handling Editors and reviewers' reports unless explicit consent has been received to share information.

Compliance with Ethical Standards

To ensure objectivity and transparency in research and to ensure that accepted principles of ethical and professional conduct have been followed, authors should include information regarding sources of funding, potential conflicts of interest (financial or non-financial), informed consent if the research involved human participants, and a statement on welfare of animals if the research involved animals.

Authors should include the following statements (if applicable) in a separate section entitled "Compliance with Ethical Standards" when submitting a paper:

- Disclosure of potential conflicts of interest
- Research involving Human Participants and/or Animals
- Informed consent

Please note that standards could vary slightly per journal dependent on their peer review policies (i.e. single or double blind peer review) as well as per journal subject discipline. Before submitting your article check the instructions following this section carefully.

The corresponding author should be prepared to collect documentation of compliance with ethical standards and send if requested during peer review or after publication.

The Editors reserve the right to reject manuscripts that do not comply with the above-mentioned guidelines. The author will be held responsible for false statements or failure to fulfill the above-mentioned guidelines.

Disclosure of potential conflicts of interest

Authors must disclose all relationships or interests that could have direct or potential influence or impart bias on the work. Although an author may not feel there is any conflict, disclosure of relationships and interests provides a more complete and transparent process, leading to an accurate and objective assessment of the work. Awareness of a real or perceived conflicts of interest is a perspective to which the readers are entitled. This is not meant to imply that a financial relationship with an organization that sponsored the research or compensation received for consultancy work is inappropriate. Examples of potential conflicts of interests that are directly or indirectly related to the research may include but are not limited to the following:

- Research grants from funding agencies (please give the research funder and the grant number)
- Honoraria for speaking at symposia
- Financial support for attending symposia
- Financial support for educational programs
- Employment or consultation
- Support from a project sponsor
- Position on advisory board or board of directors or other type of management relationships
- Multiple affiliations
- Financial relationships, for example equity ownership or investment interest
- Intellectual property rights (e.g. patents, copyrights and royalties from such rights)
- Holdings of spouse and/or children that may have financial interest in the work

In addition, interests that go beyond financial interests and compensation (non-financial interests) that may be important to readers should be disclosed. These may include but are not limited to personal relationships or competing interests directly or indirectly tied to this research, or professional interests or personal beliefs that may influence your research.

The corresponding author collects the conflict of interest disclosure forms from all authors. In author collaborations where formal agreements for representation allow it, it is sufficient for the corresponding author to sign the disclosure form on behalf of all authors.

The corresponding author will include a summary statement in the text of the manuscript in a separate section before the reference list, that reflects what is recorded in the potential conflict of interest disclosure form(s).

See below examples of disclosures:

Funding: This study was funded by X (grant number X).

Conflict of Interest: Author A has received research grants from Company A. Author B has received a speaker honorarium from Company X and owns stock in Company Y. Author C is a member of committee Z.

If no conflict exists, the authors should state:

Conflict of Interest: The authors declare that they have no conflict of interest.

Research involving human participants, their data or biological material

Ethics approval

When reporting a study that involved human participants, their data or biological material, authors should include a statement that confirms that the study was approved (or granted exemption) by the appropriate institutional and/or national research ethics committee (including the name of the ethics committee) and certify that the study was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. If doubt exists whether the research was conducted in accordance with the 1964 Helsinki Declaration or comparable standards, the authors must explain the reasons for their approach, and demonstrate that an independent ethics committee or institutional review board explicitly approved the doubtful aspects of the study. If a study was granted exemption from requiring ethics approval, this should also be detailed in the manuscript (including the reasons for the exemption).

Retrospective ethics approval

If a study has not been granted ethics committee approval prior to commencing, retrospective ethics approval usually cannot be obtained and it may not be possible to consider the manuscript for peer review. The decision on whether to proceed to peer review in such cases is at the Editor's discretion

Ethics approval for retrospective studies

Although retrospective studies are conducted on already available data or biological material (for which formal consent may not be needed or is difficult to obtain) ethics approval may be required dependent on the law and the national ethical guidelines of a country. Authors should check with their institution to make sure they are complying with the specific requirements of their country.

Ethics approval for case studies

Case reports require ethics approval. Most institutions will have specific policies on this subject. Authors should check with their institution to make sure they are complying with the specific requirements of their institution and seek ethics approval where needed. Authors should be aware to secure informed consent from the individual (or parent or guardian if the participant is a minor or incapable) See also section on Informed Consent.

Cell lines

If human cells are used, authors must declare in the manuscript: what cell lines were used by describing the source of the cell line, including when and from where it was obtained, whether the cell line has recently been authenticated and by what method. If cells were bought from a life science company the following need to be given in the manuscript: name of company (that provided the cells), cell type, number of cell line, and batch of cells.

It is recommended that authors check the NCBI database for misidentification and contamination of human cell lines. This step will alert authors to possible problems with the cell line and may save considerable time and effort.

Further information is available from the International Cell Line Authentication Committee (ICLAC).

Authors should include a statement that confirms that an institutional or independent ethics committee (including the name of the ethics committee) approved the study and that informed consent was obtained from the donor or next of kin.

Research Resource Identifiers (RRID)

Research Resource Identifiers (RRID) are persistent unique identifiers (effectively similar to a DOI) for research resources. This journal encourages authors to adopt RRIDs when reporting key biological resources (antibodies, cell lines, model organisms and tools) in their manuscripts.

Examples:

Organism: Filip1tm1a(KOMP)Wtsi RRID:MMRRC_055641-UCD

Cell Line: RST307 cell line RRID:CVCL_C321

Antibody: Luciferase antibody DSHB Cat# LUC-3, RRID:AB_2722109

Plasmid: mRuby3 plasmid RRID:Addgene_104005

Software: ImageJ Version 1.2.4 RRID:SCR_003070

RRIDs are provided by the Resource Identification Portal. Many commonly used research resources already have designated RRIDs. The portal also provides authors links so that they can quickly register a new resource and obtain an RRID.

Clinical Trial Registration

The World Health Organization (WHO) definition of a clinical trial is "any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes". The WHO defines health interventions as "A health intervention is an act performed for, with or on behalf of a person or population whose purpose is to assess, improve, maintain, promote or modify health, functioning or health conditions" and a health-related outcome is generally defined as a change in the health of a person or population as a result of an intervention.

To ensure the integrity of the reporting of patient-centered trials, authors must register prospective clinical trials (phase II to IV trials) in suitable publicly available repositories. For example www.clinicaltrials.gov or any of the primary registries that participate in the WHO International Clinical Trials Registry Platform.

The trial registration number (TRN) and date of registration should be included as the last line of the manuscript abstract.

For clinical trials that have not been registered prospectively, authors are encouraged to register retrospectively to ensure the complete publication of all results. The trial registration number (TRN), date of registration and the words 'retrospectively registered' should be included as the last line of the manuscript abstract.

Purely observational trials will not require registration.

Standards of reporting

Springer Nature advocates complete and transparent reporting of biomedical and biological research and research with biological applications. Authors are recommended to adhere to the minimum reporting guidelines hosted by the EQUATOR Network when preparing their manuscript.

Exact requirements may vary depending on the journal; please refer to the journal's Instructions for Authors.

Checklists are available for a number of study designs, including:

Randomised trials (CONSORT) and Study protocols (SPIRIT).

Observational studies (STROBE).

Systematic reviews and meta-analyses (PRISMA) and protocols (Prisma-P).

Diagnostic/prognostic studies (STARD) and (TRIPOD).

Case reports (CARE).

Clinical practice guidelines (AGREE) and (RIGHT).

Qualitative research (SRQR) and (COREQ).

Animal pre-clinical studies (ARRIVE).

Quality improvement studies (SQUIRE).

Economic evaluations (CHEERS).

Summary of requirements

The above should be summarized in a statement and included on a title page that is separate from the manuscript with a section entitled "Declarations" when submitting a paper. Having all statements in one place allows for a consistent and unified review of the information by the Editor-in-Chief and/or peer reviewers and may speed up the handling of the paper. Declarations include Funding, Conflicts of interest/competing interests, Ethics approval, Consent, Data and/or Code availability and Authors' contribution statements. Please use the following template title page for providing the statements.

Once and if the paper is accepted for publication, the production department will put the respective statements in a distinctly identified section clearly visible for readers.

Please see the various examples of wording below and revise/customize the sample statements according to your own needs.

• Provide "Ethics approval" as a heading (see template)

Examples of ethics approval obtained:

- All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. The study was approved by the Bioethics Committee of the Medical University of A (No. ...).
- This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of University B (Date.../No. ...).
- Approval was obtained from the ethics committee of University C. The procedures used in this study adhere to the tenets of the Declaration of Helsinki.
- The questionnaire and methodology for this study was approved by the Human Research Ethics committee of the University of C (Ethics approval number: ...).
- Ethical approval was waived by the local Ethics Committee of University A in view of the retrospective nature of the study and all the procedures being performed were part of the routine care.

- This research study was conducted retrospectively from data obtained for clinical purposes. We
 consulted extensively with the IRB of XYZ who determined that our study did not need ethical
 approval. An IRB official waiver of ethical approval was granted from the IRB of XYZ.
- This retrospective chart review study involving human participants was in accordance with the ethical standards of the institutional and national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. The Human Investigation Committee (IRB) of University B approved this study.

Examples no ethical approval required/exemption granted:

- This is an observational study. The XYZ Research Ethics Committee has confirmed that no ethical approval is required.
- The data reproduced from Article X utilized human tissue that was procured via our Biobank AB, which provides de-identified samples. This study was reviewed and deemed exempt by our XYZ Institutional Review Board. The BioBank protocols are in accordance with the ethical standards of our institution and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.
- If any of the sections are not relevant to your manuscript, please include the heading and write 'Not applicable' for that section.
- Authors are responsible for correctness of the statements provided in the manuscript. See also
 Authorship Principles. The Editor-in-Chief reserves the right to reject submissions that do not meet the
 guidelines described in this section.

Informed consent

All individuals have individual rights that are not to be infringed. Individual participants in studies have, for example, the right to decide what happens to the (identifiable) personal data gathered, to what they have said during a study or an interview, as well as to any photograph that was taken. This is especially true concerning images of vulnerable people (e.g. minors, patients, refugees, etc) or the use of images in sensitive contexts. In many instances authors will need to secure written consent before including images.

Identifying details (names, dates of birth, identity numbers, biometrical characteristics (such as facial features, fingerprint, writing style, voice pattern, DNA or other distinguishing characteristic) and other information) of the participants that were studied should not be published in written descriptions, photographs, and genetic profiles unless the information is essential for scholarly purposes and the participant (or parent or guardian if the participant is incapable) gave written informed consent for publication. Complete anonymity is difficult to achieve in some cases. Detailed descriptions of individual participants, whether of their whole bodies or of body sections, may lead to disclosure of their identity. Under certain circumstances consent is not required as long as information is anonymized and the submission does not include images that may identify the person.

Informed consent for publication should be obtained if there is any doubt. For example, masking the eye region in photographs of participants is inadequate protection of anonymity. If identifying characteristics are altered to protect anonymity, such as in genetic profiles, authors should provide assurance that alterations do not distort scientific meaning.

Exceptions where it is not necessary to obtain consent:

- Images such as x rays, laparoscopic images, ultrasound images, brain scans, pathology slides unless
 there is a concern about identifying information in which case, authors should ensure that consent is
 obtained.
- Reuse of images: If images are being reused from prior publications, the Publisher will assume that the
 prior publication obtained the relevant information regarding consent. Authors should provide the
 appropriate attribution for republished images.

Regardless of whether material is collected from living or dead patients, they (family or guardian if the deceased has not made a pre-mortem decision) must have given prior written consent. The aspect of confidentiality as well as any wishes from the deceased should be respected.

Data protection, confidentiality and privacy

When biological material is donated for or data is generated as part of a research project authors should ensure, as part of the informed consent procedure, that the participants are made what kind of (personal) data will be processed, how it will be used and for what purpose. In case of data acquired via a biobank/biorepository, it is possible they apply a broad consent which allows research participants to consent to a broad range of uses of their data and samples which is regarded by research ethics committees as specific enough to be considered "informed". However, authors should always check the specific biobank/biorepository policies or any other type of data provider policies (in case of non-bio research) to be sure that this is the case.

Consent to Participate

For all research involving human subjects, freely-given, informed consent to participate in the study must be obtained from participants (or their parent or legal guardian in the case of children under 16) and a statement to this effect should appear in the manuscript. In the case of articles describing human transplantation studies, authors must include a statement declaring that no organs/tissues were obtained from prisoners and must also name the institution(s)/clinic(s)/department(s) via which organs/tissues were obtained. For manuscripts reporting studies involving vulnerable groups where there is the potential for coercion or where consent may not have been fully informed, extra care will be taken by the editor and may be referred to the Springer Nature Research Integrity Group.

Consent to Publish

Individuals may consent to participate in a study, but object to having their data published in a journal article. Authors should make sure to also seek consent from individuals to publish their data prior to submitting their paper to a journal. This is in particular applicable to case studies.

Summary of requirements

The above should be summarized in a statement and included on a title page that is separate from the manuscript with a section entitled "Declarations" when submitting a paper. Having all statements in one place allows for a consistent and unified review of the information by the Editor-in-Chief and/or peer reviewers and may speed up the handling of the paper. Declarations include Funding, Conflicts of interest/competing interests, Ethics approval, Consent, Data and/or Code availability and Authors' contribution statements. Please use the template Title Page for providing the statements.

Once and if the paper is accepted for publication, the production department will put the respective statements in a distinctly identified section clearly visible for readers.

Please see the various examples of wording below and revise/customize the sample statements according to your own needs.

Provide "Consent to participate" as a heading

Sample statements consent to participate:

Informed consent was obtained from all individual participants included in the study

Informed consent was obtained from legal guardians.

Written informed consent was obtained from the parents.

Verbal informed consent was obtained prior to the interview

The patient has consented to the submission of the case report for submission to the journal.

Provide "Consent to publish" as a heading

The authors affirm that human research participants provided informed consent for publication of the images in Figure(s) 1a, 1b and 1c.

The participant has consented to the submission of the case report to the journal.

Patients signed informed consent regarding publishing their data and photographs.

Sample statements if identifying information about participants is available in the article:

Additional informed consent was obtained from all individual participants for whom identifying information is included in this article.

Additional informed consent was obtained from all individual participants for whom identifying information is included in this article.

If any of the sections are not relevant to your manuscript, please include the heading and write 'Not applicable' for that section.

Authors are responsible for correctness of the statements provided in the manuscript. See also Authorship Principles. The Editor-in-Chief reserves the right to reject submissions that do not meet the guidelines described in this section.

Images will be removed from publication if authors have not obtained informed consent or the paper may be removed and replaced with a notice explaining the reason for removal.

English Language Editing

For editors and reviewers to accurately assess the work presented in your manuscript you need to ensure the English language is of sufficient quality to be understood. If you need help with writing in English you should consider:

- Asking a colleague who is a native English speaker to review your manuscript for clarity.
- Visiting the English language tutorial which covers the common mistakes when writing in English.
- Using a professional language editing service where editors will improve the English to ensure that your
 meaning is clear and identify problems that require your review. Two such services are provided by our
 affiliates Nature Research Editing Service and American Journal Experts. Springer authors are entitled
 to a 10% discount on their first submission to either of these services.

Please note that the use of a language editing service is not a requirement for publication in this journal and does not imply or guarantee that the article will be selected for peer review or accepted.

If your manuscript is accepted it will be checked by our copyeditors for spelling and formal style before publication.

Research Data Policy

A submission to the journal implies that materials described in the manuscript, including all relevant raw data, will be freely available to any researcher wishing to use them for non-commercial purposes, without breaching participant confidentiality.

The journal strongly encourages that all datasets on which the conclusions of the paper rely should be available to readers. We encourage authors to ensure that their datasets are either deposited in publicly available repositories (where available and appropriate) or presented in the main manuscript or additional supporting files whenever possible. Please see Springer Nature's information on recommended repositories.

General repositories - for all types of research data - such as figshare and Dryad may be used where appropriate.

Datasets that are assigned digital object identifiers (DOIs) by a data repository may be cited in the reference list. Data citations should include the minimum information recommended by DataCite: authors, title, publisher (repository name), identifier.

Where a widely established research community expectation for data archiving in public repositories exists, submission to a community-endorsed, public repository is mandatory. Persistent identifiers (such as DOIs and accession numbers) for relevant datasets must be provided in the paper.

Data availability

The journal encourages authors to provide a statement of Data availability in their article. Data availability statements should include information on where data supporting the results reported in the article can be found, including, where applicable, hyperlinks to publicly archived datasets analysed or generated during the study. Data availability statements can also indicate whether data are available on request from the authors and where no data are available, if appropriate.

Data Availability statements can take one of the following forms (or a combination of more than one if required for multiple datasets):

- 1. The datasets generated during and/or analysed during the current study are available in the [NAME] repository, [PERSISTENT WEB LINK TO DATASETS]
- The datasets generated during and/or analysed during the current study are not publicly available due [REASON WHY DATA ARE NOT PUBLIC] but are available from the corresponding author on reasonable request.
- 3. The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.
- 4. Data sharing not applicable to this article as no datasets were generated or analysed during the current study
- 5. All data generated or analysed during this study are included in this published article [and its supplementary information files].

More examples of template data availability statements, which include examples of openly available and restricted access datasets.

Springer Nature provides a research data policy support service for authors and editors, which can be contacted at researchdata@springernature.com.

This service provides advice on research data policy compliance and on finding research data repositories. It is independent of journal, book and conference proceedings editorial offices and does not advise on specific manuscripts.

After Acceptance

Upon acceptance of your article you will receive a link to the special Author Query Application at Springer's web page where you can sign the Copyright Transfer Statement online and indicate whether you wish to order OpenChoice and offprints.

Once the Author Query Application has been completed, your article will be processed and you will receive the proofs.

Copyright transfer

Authors will be asked to transfer copyright of the article to the Publisher (or grant the Publisher exclusive publication and dissemination rights). This will ensure the widest possible protection and dissemination of information under copyright laws.

Offprints

Offprints can be ordered by the corresponding author.

Color illustrations

Publication of color illustrations is free of charge.

Proof reading

The purpose of the proof is to check for typesetting or conversion errors and the completeness and accuracy of the text, tables and figures. Substantial changes in content, e.g., new results, corrected values, title and authorship, are not allowed without the approval of the Editor.

After online publication, further changes can only be made in the form of an Erratum, which will be hyperlinked to the article.

Online First

The article will be published online after receipt of the corrected proofs. This is the official first publication citable with the DOI. After release of the printed version, the paper can also be cited by issue and page numbers.