

1 **Photoinduced reactivity in a dispiro-1,2,4-trioxolane: adamantane ring**
2 **expansion and first direct observation of the long-lived triplet diradical**
3 **intermediates**

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12
13 **ABSTRACT**

14
15 The dispiro-1,2,4-trioxolane **1**, an ozonide with efficient and broad antiparasitic activity, was
16 synthesized and investigated using matrix isolation FTIR and EPR spectroscopies together
17 with both B3LYP/6-311++G(3df,3dp) and M06-2X/6-311++G(3df,3dp) theoretical
18 methods. Irradiations ($\lambda \geq 290$ nm) of the matrix isolated **1** (Ar or N₂) afforded exclusively
19 4-oxahomoadamantan-5-one **4** and 1,4-cyclohexanedione **5**. These results suggested that the
20 reaction proceeded *via* a dioxygen-centered diradical intermediate, formed upon homolytic
21 cleavage of the labile peroxide bond, which regioselectively isomerized to form the more
22 stable (secondary carbon-centered)/oxygen-centered diradical. *In situ* EPR measurements
23 during the photolysis of **1** deposited in a MeTHF-matrix led to the detection of signals
24 corresponding to two triplet species, one of which was short-lived while the other proved to
25 be persistent at 10 K. These observations strongly support the proposed mechanism for the
26 photogeneration of **4** and **5**, which involves intramolecular rearrangement of the intermediate
27 diradical species **2** to afford the triplet diradical **3**.

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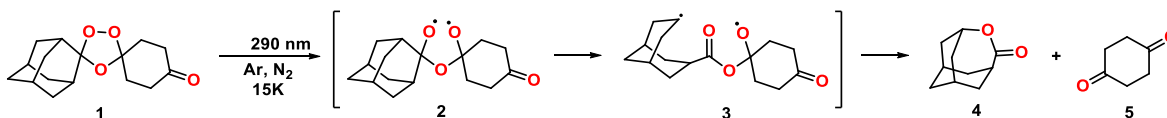
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33 **INTRODUCTION**

34 Organic dispiro-endoperoxides, in particular dispiro 1,2,4-trioxanes and 1,2,4-
 35 trioxolanes (ozonides), have been attracting much attention since the discovery of artemisinin
 36 more than 40 years ago¹⁻³, which provided a completely new antimalarial structural prototype
 37 of pharmacophore. The mechanism of bioactivation and action of the artemisinins and related
 38 endoperoxide based drugs has been discussed thoroughly⁴⁻¹⁴ and bioactivation is known to
 39 require iron(II)-induced reductive cleavage of the peroxide bond to form oxygen-centered
 40 radicals, followed by rearrangement to generate carbon-centered radical species that act
 41 through modification of parasites biological targets. From a synthetic point of view,
 42 endoperoxides are useful for preparation of different types of compounds *via* ring expansion
 43 reactions¹⁵, and also for the synthesis of α -keto acids¹⁶ *cis*-diols¹⁷, 1,2-diol monoesters^{18,19},
 44 benzofurans and benzopyrans²⁰ *via* selective ring cleavage.

45 In all the above chemical processes, radical or diradical species have been hypothesized
 46 to be the key reactive intermediates, following the initial homolytic cleavage step. For
 47 asymmetrically substituted dispiro-1,2,4-trioxolanes, represented by compound **1**, and also
 48 for their dispiro-1,2,4-trioxane analogues, it has been postulated, based on the final reaction
 49 products obtained, that after the cleavage of the peroxide bond generating the dioxygen-
 50 centered diradical **2** a regioselective β -scission occurs concomitantly with the rearrangement,
 51 leading to the carbon-centered/oxygen-centered diradical species **3** (see Scheme 1)²¹⁻²³.

52



53

54 **Scheme 1.** Generic mechanistic scheme for a representative reaction of a dispiro-1,2,4-trioxolane
 55 starting with the homolytic cleavage of the O–O bond. The example chosen relates with the present
 56 study, where the reaction took place in a cryogenic matrix (either solid Ar or N₂, at 15 K), the
 57 substituents of the trioxolane core being the spiroadamantyl and spiro-*p*-cyclohexanonyl groups and
 58 using UV light ($\lambda \geq 290$ nm) as the reaction initiator.

59

60 When Fe(II) is involved in the reaction, as during the Fe(II)-induced cleavage of the
 61 antimalarial peroxides, the metal ion binds to the radical species⁴⁻¹⁴. In spite of the

62 accumulated evidence of the participation of radicals or diradicals in all these types of
63 reactions, and also of the successful detection of some of these species for reactions involving
64 cyclic peroxides^{24,25}, the experimental detection and characterization of the diradical species
65 following the homolytic peroxide bond cleavage of dispiro-1,2,4-trioxolanes has not been
66 reported hitherto.

67 In the present article, we report the first direct observation of the two claimed diradical
68 intermediates for this type of reaction. The strategy used to achieve this goal started with the
69 identification of the final products of the UV-induced unimolecular photolysis of
70 adamantane-2-spiro-3'-8'-oxo-1',2',4'-trioxaspiro[4,5]decane (**1**) isolated in low
71 temperature matrices (argon and N₂), and their vibrational characterization. Subsequently,
72 the EPR spectroscopy was used to search for the involved diradicals generated under UV-
73 photolysis of the compound isolated in a low temperature MeTHF matrix. The choice for the
74 low temperature solid state media to perform this investigation was motivated by three main
75 reasons: (1) under these conditions, because the molecules of the precursor are cage-confined
76 and diffusion does not take place²⁶⁻³⁰, no products can be expected to be formed resulting
77 from species produced from fragmentation of different molecules; this considerably
78 simplifies the accessible chemistry, thus allowing to focus on the main features of the
79 mechanism of the reaction; (2) due to the low work temperature (of a few K) the attainable
80 spectral resolution is much higher compared to what can be obtained, for example, in
81 solution²⁶⁻³⁰; together with absence of significant interactions with the host material this
82 feature allowed to obtain data of better quality for structural elucidation and, at the same
83 time, that could be directly compared with results of quantum chemical computational
84 predictions, which were done for the isolated molecules *in vacuo*; (3) finally, it could also be
85 expected that the lifetime of the intermediates were longer under the used experimental
86 conditions (low temperature; inhibited molecular diffusion; relative inertness of the
87 medium), so that their experimental detection would be facilitated.

88 As described in detail below, the obtained results demonstrate that the UV-induced
89 photolysis of the investigated dispiro-1,2,4-trioxolane proceeds regioselectively, leading to
90 its adamantane substituent ring expansion, *via* the two key diradical triplet species suggested
91 previously, which could be successfully detected here for the first time by EPR spectroscopy.
92 As it could be expected, the measured lifetimes of the two diradicals differ considerably, with

93 the carbon-centered/oxygen-centered diradical being considerably more stable than its
94 oxygen centered diradical precursor.

95 It is worth noting that trioxolane **1** has very relevant and broad antiparasitic properties. It
96 has shown low nanomolar activity against *Plasmodium falciparum*³¹ and recent studies
97 demonstrated that its activity is retained against *P. falciparum* strains resistant to chloroquine
98 and to the artemisinin-derived drugs used in combination therapies (ACT)³². Compound **1**
99 also exhibits low micromolar activity against intracellular amastigote forms of *Leishmania*
100 *infantum*³³ and against *Perkinsus olseni*³⁴. In addition to its broad antiparasitic activity, the
101 studied compound can be prepared from the commercially available 2-adamantanone and
102 1,4-cyclohexanedione building blocks in only 2 steps.

103

104 **EXPERIMENTAL AND COMPUTATIONAL DETAILS**

105 ***General***

106 Commercial reagents were used as purchased. ¹H and ¹³C-NMR spectra were recorded
107 on a 400 MHz NMR spectrometer Bruker Avance III 400. ¹H-NMR-chemical shifts are
108 referred to the residual signal of CDCl₃ (δH 7.26) and ¹³C-NMR-chemical shifts to the CDCl₃
109 signal (δC 77.0), or using TMS as internal standard. Thin-layer chromatography was carried
110 out on silica gel 60 F254 plates (AL TLC 20x20). Column chromatography was performed
111 on Silica Gel 60 (0.04 – 0.063 mm). Melting points (°C) were obtained on a SMP3 Melting
112 Point Apparatus and are uncorrected.

113

114 ***Syntheses and general characterization of the compounds***

115 **Adamantane-2-spiro-3'-8'-oxo-1',2',4'-trioxaspiro[4,5]decane **1****: ozone, produced
116 with an ozone generator Sander Labor-Ozonizator 301.7 (0.5 L/min O₂, 140 V), was passed
117 through a solution of dichloromethane at -78 °C and flushed into a solution of *O*-methyl 2-
118 adamantanone oxime (1.00 g, 5.58 mmol) and 1,4-cyclohexanedione (0.65 g, 5.58 mmol) in
119 pentane (60 mL) and dichloromethane (40 mL) at 0 °C. After consumption of the starting
120 material, the solution was flushed with nitrogen for 5 min and concentrated under reduced
121 pressure at room temperature to give a crude material. Purification by flash chromatography
122 using a mixture of EtOAc/*n*-hexane, gave the pure compound as a colorless solid (0.66 g,
123 42% yield): m.p. 127-128 °C; ¹H-NMR (400 MHz, CDCl₃): δ 1.69-2.02 (m, 14H), 2.14 (t, *J*

124 = 6.9 Hz, 4H), 2.51 (t, $J = 7.0$ Hz, 4H) ppm; ^{13}C -NMR (100 MHz, CDCl_3): 25.9, 26.31, 31.09,
125 32.59, 34.25, 35.70, 36.18, 37.35, 106.46, 111.95, 208.90 ppm; MS (EI, m/z): 278.9 $[\text{M}]^+$.

126 The *O*-methyl 2-adamantanone oxime precursor of compound **1** was obtained as follows:
127 to a solution of 2-adamantanone (4.00 g, 26.63 mmol) in methanol (20 mL), under stirring,
128 was added pyridine (3.40 mL, 42.03 mmol) and methoxylamine hydrochloride (2.846 g,
129 34.08 mmol). The reaction mixture was stirred at room temperature for 72 h. The final
130 mixture was concentrated and then diluted with dichloromethane (20 mL) and water (30 mL).
131 The organic layer was separated, and the aqueous layer was washed with dichloromethane
132 (2x20 mL). The combined organic layers were washed with aqueous HCl (1 M; 20 mL x2),
133 then with brine (20 mL). The final organic extract was dried with MgSO_4 , filtered, then
134 concentrated under reduced pressure to give *O*-methyl-2-adamantanone oxime (4.20 g, 88%
135 yield) as a colorless solid (m.p. 69-70 °C). ^1H -NMR (400 MHz, CDCl_3): δ 3.81 (s, 3H), 3.46
136 (s, 1H), 2.54 (s, 1H), 2.00 – 1.78 (m, 12H) ppm. ^{13}C NMR (101 MHz, CDCl_3) δ : 166.74,
137 60.96, 39.03, 37.64, 36.52, 36.24, 29.53, 27.85 ppm. MS (MALDI-TOF, m/z): 180.02 $[\text{M}]^+$.

138 **4-Oxahomoadamantan-5-one 4**, was synthesized using the procedure described by
139 Renoud-Grappin *et al.*³⁵ To a suspension of 2-adamantanone (0.5 g, 3.33 mmol) and NaHCO_3
140 (0.31 g, 3.66 mmol) in anhydrous dichloromethane (10 mL) was added a solution of *m*-
141 chloroperoxybenzoic acid (*m*-CPBA) (0.86 g, 4.99 mmol) in anhydrous dichloromethane (5
142 mL). The reaction mixture was stirred at room temperature, in the dark, until consumption of
143 the starting compound. Then the organic mixture was washed with water (3x15 mL), brine
144 (2x15 mL) and dried over with MgSO_4 . The organic layer was then evaporated to dryness
145 under reduced pressure. Purification of the residue by flash chromatography using a mixture
146 of EtOAc/*n*-hexane, gave the required product as a white solid (0.35 g, 63% yield): m.p: 288-
147 290 °C. ^1H NMR (400 MHz, CDCl_3): δ = 4.49 (tt, $J = 4.4, 2.4$ Hz, 1H), 3.10 – 3.05 (m, 1H),
148 2.16 – 1.58 (m, 12H). ^{13}C NMR (101 MHz, CDCl_3): δ = 178.99, 73.17, 41.24, 35.78, 33.82,
149 30.96, 25.85. HRMS (CI, m/z) calcd for $\text{C}_{10}\text{H}_{15}\text{O}_2$ ($\text{M}+\text{H}$) $^+$: 167.1067; found 167.1072.

150 **1,4-Cyclohexanedione 5** was purchased from Sigma Aldrich UK and used without
151 further purification.

152 All compounds prepared were kept in the freezer and shielded from the light during
153 storage, remaining stable under these conditions.

154

155 **Matrix isolation infrared spectroscopy measurements**

156 To prepare the low temperature matrices a sample of the solid compound to be studied
157 was placed in an especially designed thermoelectrically heatable mini-oven attached to the
158 vacuum chamber of a helium cryostat (APD Cryogenics closed-cycle helium refrigerator
159 system with a DE-202A expander). Before the measurements, the samples were subjected to
160 additional purification by continued high-vacuum ($\sim 10^{-7}$ mbar) pumping, during
161 approximately 1 hour, at room temperature. The samples were then sublimed and the vapors
162 of the compound to be studied were deposited, together with a large excess of argon or N₂,
163 onto a cesium iodide (CsI) substrate mounted at the cryostat cold tip (15 ± 0.1 K, as measured
164 by a silicon diode sensor connected to a Scientific Instruments digital temperature controller).
165 This temperature was kept during the overall experiments.

166 The IR spectra of the matrix-isolated compounds were recorded in the 400–4000 cm⁻¹
167 range and with 0.5 cm⁻¹ spectral resolution, using a Nicolet 6700 Fourier transform infrared
168 (FTIR) spectrometer, equipped with a deuterated triglycine sulfate (DTGS) detector and a
169 Ge/KBr beam splitter. The optical bench was continuously purged with a flux of dry and CO₂
170 filtered N₂, to avoid interference from atmospheric H₂O and CO₂.

171 **Matrix isolation EPR spectroscopy measurements**

172 A 100 mM solution of compound 1 in MeTHF (100 μL) was degassed under high vacuum
173 ($\sim 3.0 \times 10^{-2}$ Pa) in a quartz EPR tube, which was sealed after three freeze-pump-thaw cycles
174 under the vacuum conditions. The MeTHF solution of compound 1 was irradiated in the EPR
175 cavity at 10 K. The X-band EPR signals were obtained at a resonance frequency of 9.40 GHz
176 using a Bruker E500 spectrometer at 10-50 K.

177

178 **In situ UV irradiation experiments**

179 In the steady state infrared spectroscopy experiments carried out in Ar and N₂ matrices,
180 *in situ* UV irradiation of the samples was undertaken using different approaches. In the case
181 of the experiments carried out in the Ar matrices, broadband UV radiation was used, as
182 provided by the KBr external window of the cryostat and water filtered (≥ 290 nm) light
183 generated by a 500 W Hg(Xe) lamp (Newport, Oriel Instruments), with output power set to
184 200 W. For the experiments carried out in the N₂ matrices, tunable narrowband light was
185 used (~ 0.1 cm⁻¹ bandwidth; $\lambda = 290$ nm; pulse energy ~ 8 mJ), provided by a Spectra Physics

186 Quanta-Ray MOPO-SL optical parametric oscillator (OPO) pumped with a pulsed Nd:YAG
187 laser (repetition rate = 10 Hz, duration = 10 ns).

188 For the EPR measurements, the photolysis was conducted at 266 nm (5 mJ), using a Nd-
189 YAG laser (Spectra Physics Indi-40).

190

191 ***Computational Details***

192 Quantum chemistry computations were performed at both the B3LYP/6-
193 311++G(3df,3dp) and M06-2X/6-311++G(3df,3dp) levels of theory³⁶⁻⁴³, using Gaussian 09
194 (Revision D.01)⁴⁴. Geometry optimizations were performed using the “tight” optimization
195 criteria.

196 The harmonic vibrational wavenumbers and IR intensities were calculated at the same
197 levels of theory and scaled in order to correct them for the neglect of anharmonicity, basis
198 sets restraints and the effect of incomplete treatment of the electron correlation. The scaling
199 factors were acquired by fitting the computed harmonic to the experimental IR wavenumbers
200 of compound **1** within the 1900-600 cm⁻¹ region and those of compounds **4** and **5** within the
201 1900-500 cm⁻¹ (see Figure S1 in the Supporting Information). The slopes obtained by least-
202 squares linear fit, intercepting zero ($y = bx$), were then used to scale the computed harmonic
203 wavenumbers of the photoproducts and reactant in the 1900-400 cm⁻¹ range. The simulation
204 of the IR spectra was achieved using the calculated (scaled) wavenumbers and IR intensities
205 (in km mol⁻¹), which were convoluted with Lorentzian functions with a full-width at half-
206 maximum (fwhm) of 2 cm⁻¹.

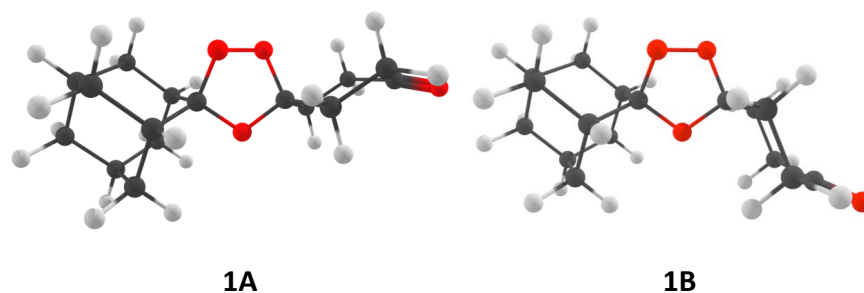
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208 **RESULTS AND DISCUSSION**

209 ***Photoinduced reactivity of 1 in low temperature solid Ar and N₂ matrices***

210 B3LYP and M06-2X density functional theory calculations, with the 6-311++G(3df,3pd)
211 basis set, were performed on the studied dispiro-1,2,4-trioxolane (adamantane-2-spiro-3'-8'-
212 oxo-1',2',4'-trioxaspiro[4,5]decane; **1**). Both methods predict the existence of two
213 conformers of the compound with similar energies (see Table 1), which are represented in
214 Figure 1. The two conformers differ in the orientation of the spiro-*p*-cyclohexanonyl moiety,
215 which in the most stable form (A) is turned to the peroxide group and in the less stable one
216 (B) is oriented towards the ring ether fragment. Their population ratio (A:B) in the room

217 temperature (298.15 K) gas phase equilibrium is expected to stay between 1.1 and 1.5 (which
 218 are the values resulting from the relative energies obtained in the B3LYP and M06-2X
 219 calculations, respectively), *i.e.*, both forms are predicted to be significantly populated in these
 220 experimental conditions, and can then be expected to be present in the cryogenic matrices
 221 investigated in this work (see below). The Cartesian coordinates of the two conformers, as
 222 predicted by the two methods used in this study, are provided as Supporting Information
 223 (Tables S1 and S2).

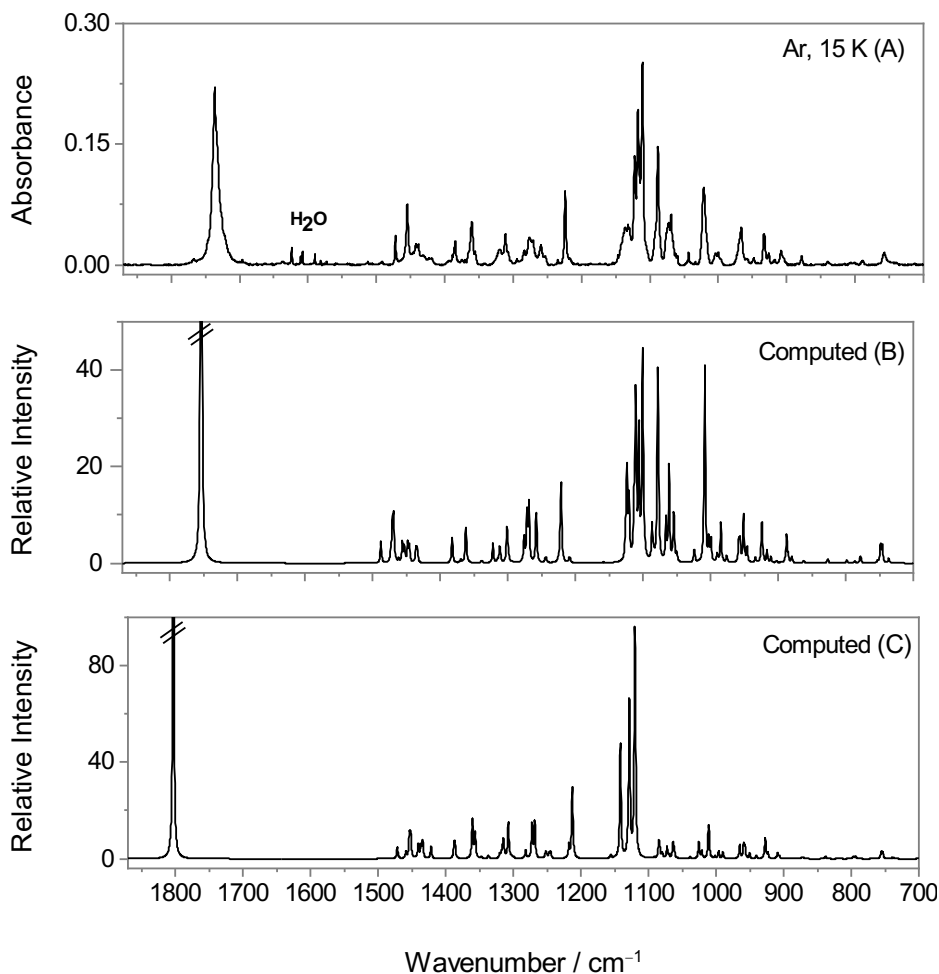


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 225 **Figure 1.** B3LYP/6-311++G(3df,3pd) optimized structures of the two conformers of **1** in the
 226 electronic ground state (S_0).

227
 228 **Table 1.** B3LYP/6-311++G(3df,3pd) and M06-2X/6-311++G(3df,3pd) calculated energies (kJ mol⁻¹)
 229 for the conformers of **1** (see Figure 1) zero-point energies (ZPE) and estimated room temperature
 230 (298.15 K) percent populations in the gas phase equilibrium.

	B3LYP		M06-2X	
	1A	1B	1A	1B
Energy	-2426219.58	-2426218.50	-2425270.01	-2425269.82
ZPE	954.83	954.74	966.62	966.59
Energy (with ZPE)	-2425264.75	-2425263.76	-2424303.39	-2424303.22
ΔEnergy	0.00	0.99	0.00	0.17
Population (298.15 K)	59.8 %	40.2 %	51.7 %	48.3 %

231



232

233 **Figure 2.** Infrared spectra of **1**: (A) isolated in an Ar matrix (15 K); (B), (C) calculated using the
 234 B3LYP and M06-2X functionals (with the 6-311+G(3df,3pd) basis set). The calculated spectra are
 235 shown as the 1:1 sum of the spectra of both conformers of the compound, and the frequencies were
 236 scaled by 0.983 and 0.971 factors in (B) and (C), respectively. The spectrum observed in the N₂ matrix
 237 is very similar to that obtained in argon and is provided in the Supporting Information (Figure S2).

238

239 The dispiro-1,2,4-trioxolane **1** was sublimed under high-vacuum at room temperature and
 240 co-deposited with large excess of argon or N₂ onto the cold (15 K) CsI substrate of the used
 241 cryostat. The infrared spectra of the prepared matrices were subsequently recorded. The
 242 spectrum obtained for the compound in the argon matrix is shown in Figure 2, while that
 243 obtained in the N₂ matrix is provided in the Supporting Information (Figure S2). The two
 244 spectra were found to be very similar, testifying the fact that the molecules of the compound
 245 were well-isolated in both matrices and also that the conformational population of **1** existing
 246 in the gas phase prior to deposition was efficiently trapped in the matrices. Also, the peroxide

247 **1** was found not to undergo thermal decomposition upon sublimation under the used
248 conditions for matrix deposition. The spectroscopic data (both experimental and calculated
249 frequencies and intensities) are summarized in Tables S3-S5 (Supporting Information). It can
250 be seen that, in spite of the similarity of the spectra of the two conformers, several bands
251 observed in the experimental spectra could be assigned to the individual forms, thus
252 confirming the presence of both conformers in the matrices.

253 The comparison of the spectrum obtained in the argon matrix with those theoretically
254 predicted (see Figure 2), shows that the B3LYP functional reproduces better the experimental
255 data throughout the spectrum than the M06-2X functional. Thus, spectra calculated using the
256 B3LYP functional will be used in this study for the analysis of the experimentally obtained
257 spectroscopic data of the remaining compounds under study.

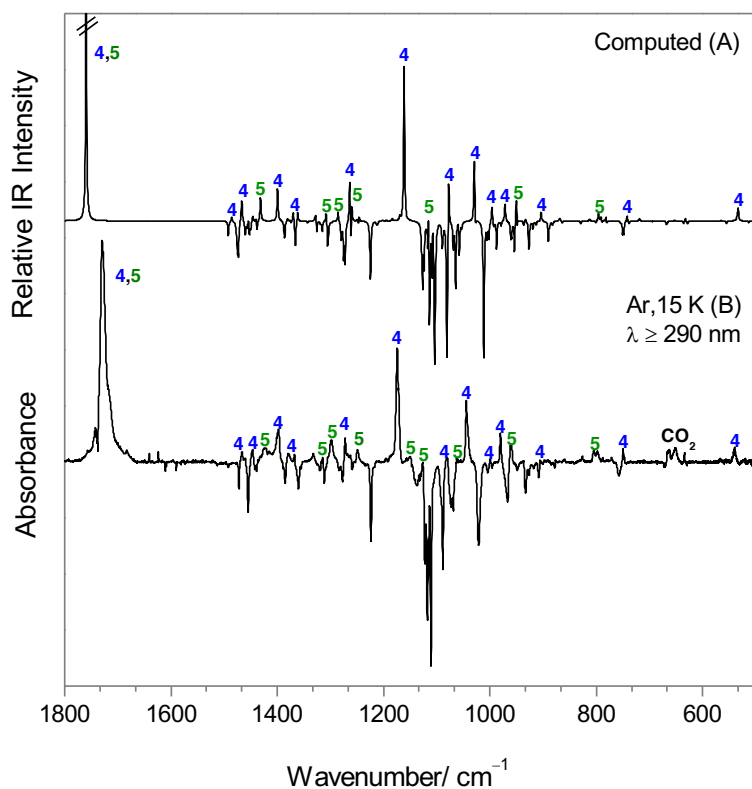
258 The UV-induced reactivity of **1** was investigated in the two types of matrices used (Ar,
259 N₂). Argon matrix was used as standard work medium, while N₂ matrix, known to stabilize
260 high-energy species by establishing specific interactions with the guest species^{45,46}, was
261 chosen to check the possibility of detection in this medium of any putative reaction
262 intermediate. Both broadband ($\lambda \geq 290$ nm) and narrowband ($\lambda = 290$ nm) excitations were
263 applied. The irradiations were performed at wavelengths within the observed band in the UV-
264 vis spectra of **1** in ethanol solution (Figure S3). The results were found to be qualitatively
265 identical in both matrices and for the two excitation procedures followed. Upon excitation,
266 the bands initially present in the spectra reduced in intensity, while new bands started to
267 emerge, indicating conversion of the trioxolane **1** into other chemical species. Particularly
268 noticeable new spectral features were observed in the $\nu(\text{C}=\text{O})$, (between 1720-1750
269 cm^{-1}) and $\nu(\text{C}-\text{O})$, (1080-1120 cm^{-1}) regions. The intensities of the bands of **1** and those of
270 the new bands changed continuously with the irradiation time, until almost complete
271 conversion of the reactant **1** (after ~30 min. of broadband irradiation of the compound in an
272 argon matrix). Detailed analysis of the spectra of the photolysed matrices allowed
273 identification of the observed photolysis products as being 4-oxahomoadamantan-5-one **4**
274 and 1,4-cyclohexanedione **5**, the expected final products for the photolysis of the trioxolane
275 **1**, initiated by homolytic cleavage of the labile peroxide bond. This can be clearly seen in
276 Figure 3, where the experimental difference IR spectrum (spectrum obtained after 30 min. of
277 irradiation at $\lambda \geq 290$ nm *minus* spectrum of the as-deposited argon matrix) is compared with

278 the B3LYP/6-311++G(3df,3pd) calculated difference IR spectrum generated by subtracting
279 the calculated spectrum of **1** from the sum of the spectra of **4** and **5** {in a ratio
280 (0.5[4]+0.5[5]):1[1]}. The identification of the photoproducts was further confirmed by
281 recording the IR spectra of genuine samples of **4** and **5** (see experimental section) deposited
282 in argon matrices and (i) comparing these spectra with the spectra of the photoproducts
283 generated upon UV irradiation of matrix isolated **1** (see Figure 4), or (ii) comparing the
284 difference experimental IR spectrum shown in Figure 3 (irradiated *minus* as-deposited matrix
285 spectra) with the one obtained by subtracting the experimental spectrum of **1** from the sum
286 of the experimentally obtained IR spectra of the genuine samples of **4** and **5** isolated in argon
287 matrices (see Figure 5).

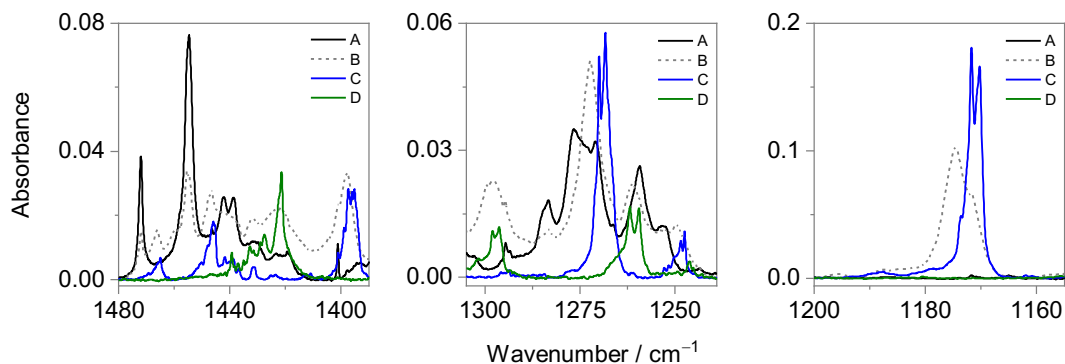
288 The structural computed data obtained with the B3LYP and M06-2X functionals for **4**
289 and **5** (graphical representation of the optimized minimum energy structures, and summary
290 of calculated energy data), as well as the spectroscopic data obtained both theoretically and
291 experimentally for these compounds (graphical comparison of the calculated and matrix
292 isolation experimental infrared spectra of the compounds, and tables with calculated and
293 experimental frequencies and intensities, those latter including the bands of **4** and **5** both in
294 the photolysed matrices of **1** and as isolated species) are given in the Supporting Information
295 (Tables S6-S14 and Figures S4 and S5). The B3LYP computed vibrational frequencies of **4** and
296 **5** were scaled by 0.983 and 0.982, respectively.

297 According to the obtained results, the diradical rearrangement is regioselective, with no
298 evidence of formation of the alternative products, 2-adamantanone and oxocane-2,7-dione.
299 This can be clearly seen when one compares the infrared spectra of the photoproducted
300 species, generated after UV irradiation of the matrix-isolated **1**, with those of 2-
301 adamantanone and oxocane-2,7-dione (see Figure 3; additional calculated structural and
302 spectroscopic data on these two compounds are presented in the Supporting Information,
303 Figure S6 and Tables S15 and S16). The observed regioselectivity can be explained by the
304 expected greater stability of the (secondary carbon-centered)/oxygen-centered diradical
305 species **3**, in comparison with the putative alternative (primary carbon-centered)/oxygen-
306 centered diradical that would lead to the non-observed final products. In addition, spin
307 density calculations (performed at the B3LYP/6-311++G(3df,3dp) level) on the triplet state
308 dioxygen-centered diradical result in a considerable larger electron spin density in the tertiary

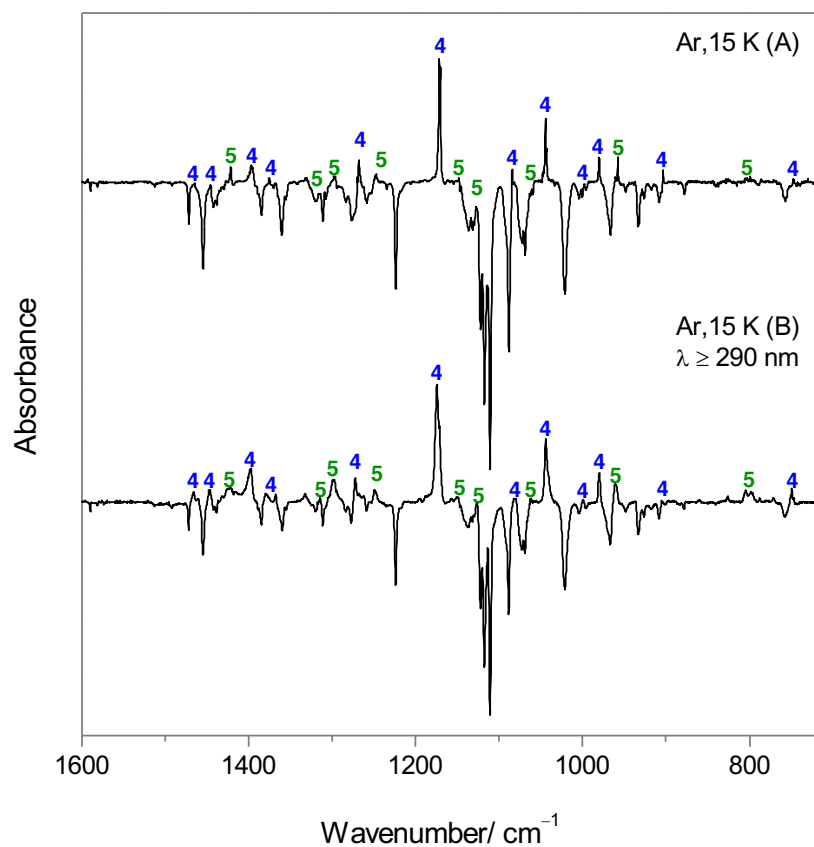
309 β -carbon of the spiroadamantyl substituent as compared to that of the secondary β -carbon of
310 the spiro-*p*-cyclohexanonyl substituent, which can also be considered an indication of a most
311 favorable rearrangement of the initially generated dioxygen-centered diradical into the
312 (secondary carbon-centered)/oxygen-centered diradical species **3**, leading to the observed
313 final products.
314



315
316 **Figure 3.** (A) B3LYP/6-311++G(3df,3pd) calculated difference IR spectrum: spectra of 4-
317 oxahomoadamantan-5-one **4** and 1,4-cyclohexanedione **5** *minus* the spectrum of **1** [in a ratio
318 (0.5+0.5):1]. (B) Experimental difference IR spectrum: spectrum after irradiation at $\lambda \geq 290$ (30 min.;
319 Ar matrix at 15 K) *minus* spectrum of the as-deposited matrix. The negative bands were assigned to
320 **1**; the positive ones were assigned to the new photogenerated species **4** and **5**.
321



322
 323 **Figure 4.** Comparison of selected regions of the experimental IR spectrum obtained before irradiation
 324 of the matrix isolated **1** (Ar matrix at 15 K) (solid black line; A) with the spectrum collected after 30
 325 min of $\lambda \geq 290$ irradiation (dashed gray line; B) and with the spectra of authentic samples of 4-
 326 oxahomoadamantan-5-one **4** (solid blue line; C) and 1,4-cyclohexanedione **5** (solid green line; D)
 327 isolated in argon at 15 K.
 328



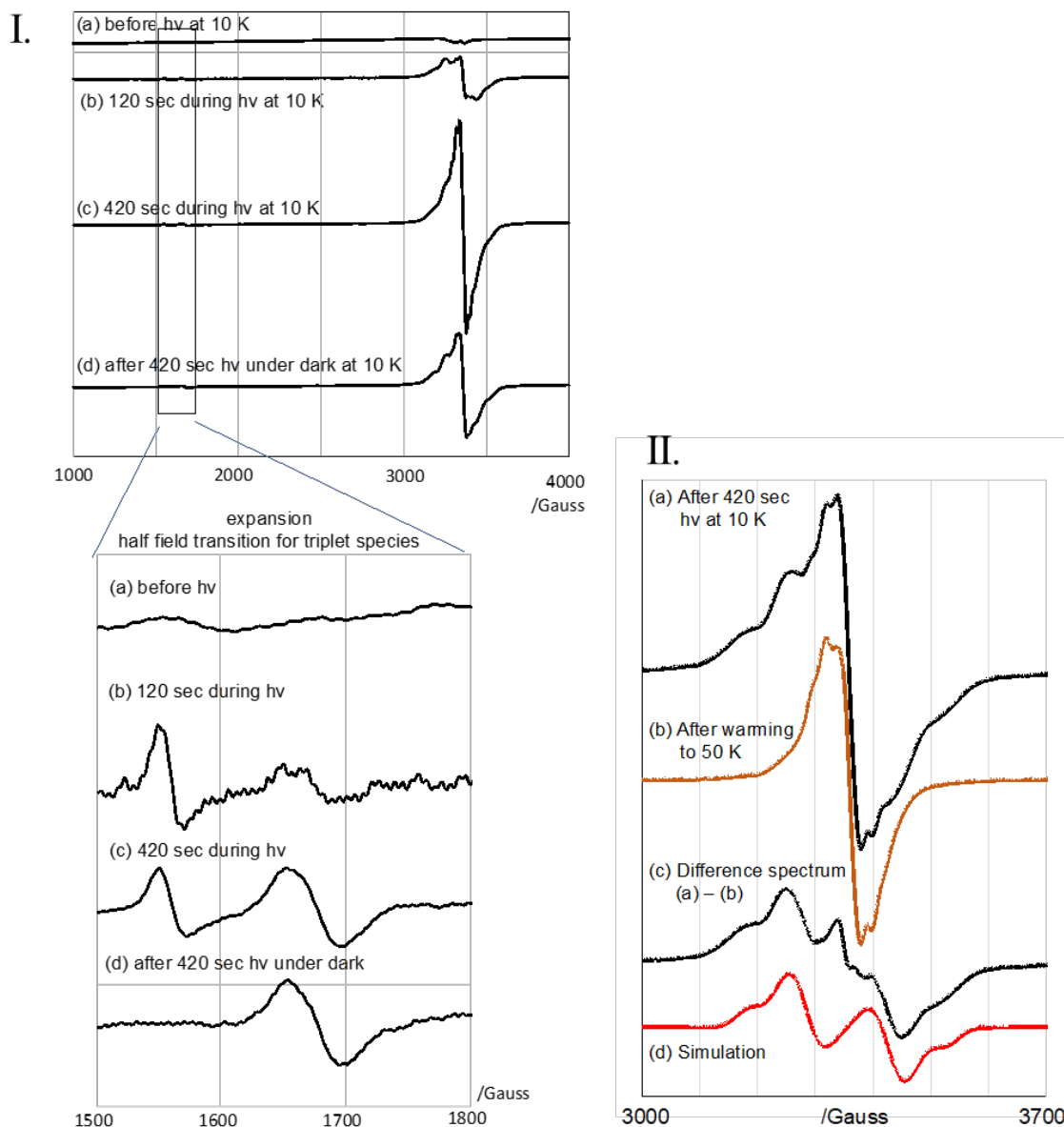
329
 330 **Figure 5.** (A) Experimental difference IR spectrum: spectra of authentic samples of matrix isolated
 331 4-oxahomoadamantan-5-one **4** and authentic 1,4-cyclohexanedione **5** minus spectrum of **1** □ Ar

332 matrix at 15 K). (B) Experimental difference IR spectrum: spectrum after irradiation of matrix
333 isolated **1** ($\lambda \geq 290$; 30 min) *minus* spectrum of the as-deposited matrix (Ar matrix at 15 K).

334

335 **EPR detection of the dioxygen-centered 2 and carbon-centered/oxygen-centered 3**
336 **diradicals**

337 The *in situ* EPR measurements in the photolysis of **1** in MeTHF matrix were conducted
338 using 266 nm laser light (5 mJ) at 10-50 K. The X-band EPR signals were measured at a
339 resonance frequency of 9.40 GHz (Figure 6). During the photolysis at 10 K (Figure 6 Ib), a
340 half-field signal at ~1550 G (triplet species A) was observed after 120 seconds, which is a
341 typical triplet species of diradicals, and another half-field signal at 1670 G (triplet species B)
342 was detected after 420 seconds, together with the 1550 G signal (Figure 6 Ic). Both resonance
343 frequencies (1550 and 1670 GHz) are typical for triplet diradicals. Under dark conditions,
344 only the half-field signal at 1670 G was detected (Figure 6 Id), indicating that the disappeared
345 triplet species A is short-lived, while the triplet species B is persistent, under the MeTHF
346 matrix conditions, at 10 K.



347

348 **Figure 6. I:** X-band EPR spectra (9.40 GHz, 1000-4000 G) obtained from photolysis studies of
 349 compound **1** (100 mM) in MeTHF-matrix, at 266 nm; (a) before irradiation at 10 K; (b) after
 350 irradiation for 120 seconds at 10 K; (c) after irradiation for 420 seconds, at 10 K; (d) after irradiation
 351 for 420 seconds, under dark, at 10 K; **II:** X-band EPR signals (9.40 GHz, 3000-4000 G) from
 352 photolysis of compound **1** (100 mM) in MeTHF-matrix at 266 nm; (a) after 420 seconds photolysis,
 353 under dark, at 10 K; (b) after warming the sample to 50 K, and subsequent re-cooling to 10K; (c)
 354 difference spectrum between (a)–(b); (d) simulated spectrum with $D/hc = 0.160 \text{ cm}^{-1}$ and $E/hc = 0.001$
 355 cm^{-1} at g value of 2.003.

356

357 To gather further insight regarding the reactivity of the triplet species B, the photolystate
358 at 10 K was warmed to 50 K under dark conditions (Figure 6 IIb). The typical triplet signal
359 disappeared and did not recover to the original one in Figure 6 Ic after re-cooling the sample
360 to 10 K, indicating that the triplet species B was also thermally labile at ~50 K. The triplet
361 signal of B was obtained by the difference spectrum of Figure 6 IIa–b (Figure 6 IIc). The
362 zero-field splitting parameters D/hc and E/hc of the triplet species B were determined to be
363 0.160 cm^{-1} and 0.001 cm^{-1} after the simulation (Figure 6 II d). From the D/hc value, the
364 distance between two spins was estimated to be $\sim 5\text{ \AA}$.

365 The EPR results demonstrate that two triplet species (A and B) are formed during the
366 photolysis of the studied trioxolane **1**, in agreement with the expectations. One of the triplet
367 species (A) is thermally quite labile, while the other (B) is persistent at 10 K. Considering
368 the results obtained in the photolysis of **1** in argon matrix, in particular the obtained final
369 products, the theoretical data described in the previous sections, and the accumulated
370 literature evidence, diradicals **2** and **3** shall be assigned to the intermediates A and B,
371 respectively, detected by EPR. This is the first experimental detection of these diradical
372 intermediates.

373

374 CONCLUSIONS

375 Adamantane-2-spiro-3'-8'-oxo-1',2',4'-trioxaspiro[4,5]decane **1**, a reported potent
376 antiparasitic dispiro-1,2,4-trioxolane, has been synthesized and studied from the view point
377 of its molecular structure and monomeric photochemistry, using matrix isolation techniques
378 coupled to FTIR and EPR spectroscopy. It is noteworthy that the ozonide **1** remained
379 thermally stable upon sublimation required for matrix deposition. All experimental results
380 have been supported by quantum chemical calculations using two levels of theory [B3LYP/6-
381 311++G(3df,3dp) and M06-2X/6-311++G(3df,3dp)], the comparison of the spectrum
382 obtained in the argon matrix with those theoretically predicted showing that the B3LYP
383 functional reproduces better the experimental data throughout the spectrum than the M06-
384 2X functional. Both methods predict two conformers for the dispiro-1,2,4-trioxolane **1** with
385 very close energies, differing in the orientation of the spiro-*p*-cyclohexanonyl moiety, which
386 in the most stable form is directed to the peroxide group, while in the less stable one is
387 oriented towards the ring ether fragment. In the experimental spectra, several bands were

388 observed which permitted the assignment of the individual forms, thus confirming the
389 presence of both conformers in the matrices.

390 The UV-induced reactivity of **1** was investigated in the two types of matrices (Ar, N₂),
391 using broadband ($\lambda \geq 290$ nm) and narrowband ($\lambda = 290$ nm) irradiation, the results proving
392 qualitatively identical in both matrices and for the two followed excitation procedures.
393 Irradiation of matrix-isolated **1** at $\lambda \geq 290$ nm resulted in photocleavage of the trioxolane
394 pharmacophore with formation of other chemical species, as evidenced by the observation of
395 new spectral features in the $\nu(\text{C}=\text{O})$, (between 1720-1750 cm⁻¹) and $\nu(\text{C}-\text{O})$, (1080-1120 cm⁻¹)
396 regions. Detailed analysis of the spectra of the photolyzed matrices allowed identification
397 of 4-oxahomoadamantan-5-one **4** and 1,4-cyclohexanedione **5** as the sole final products from
398 photolysis of the trioxolane **1**. This identification was further confirmed through comparison
399 with the matrix spectra of the pure compounds. Such observation indicates that the dioxygen-
400 centered diradical **2**, formed upon homolytic cleavage of the labile peroxide bond, undergoes
401 a regioselective radical isomerization to form the more stable (secondary carbon-
402 centered)/oxygen-centered diradical **3**. In fact, no evidence was observed for the formation
403 of 2-adamantanone and oxocane-2,7-dione, the products that would arise from the putative
404 alternative (primary carbon-centered)/oxygen-centered diradical. The observed
405 regioselectivity can be explained by the expected greater stability of the (secondary carbon-
406 centered)/oxygen-centered diradical species **3** in comparison to its primary counterpart. Spin
407 density calculations (performed at the B3LYP/6-311++G(3df,3dp) level) on the triplet state
408 dioxygen-centered diradical result in a considerably larger electron spin density in the tertiary
409 β -carbon of the spiroadamantyl substituent as compared to that of the secondary β -carbon of
410 the spiro-*p*-cyclohexanonyl substituent, which can also be taken as an indication of a most
411 favorable rearrangement of the initially generated dioxygen-centered diradical into the
412 (secondary carbon-centered)/oxygen-centered diradical species **3**, leading to the final
413 products identified. These results are in keeping with information gathered from studies on
414 the mechanisms of bioactivation and action of trioxolane based antiparasitic candidates,
415 where heme-adducts of the secondary carbon-centered)/oxygen-centered diradical species
416 analogues of **3** were detected⁴⁷.

417 *In situ* EPR measurements during photolysis of compound **1** deposited in a MeTHF-
418 matrix led to the detection of signals at 1550 and 1670 GHz, corresponding to resonances of

419 two triplet diradicals, the one at 1550 GHz appearing thermally labile while the other (1670
420 GHz) proved persistent at 10 K. The observation of signals with resonance frequencies that
421 are typical for triplet diradicals, combined with the product characterizations achieved
422 through the matrix isolation FTIR studies, indicate that the postulated diradicals **2** and **3** shall
423 correspond to the intermediates detected by the experiment.

424 In conclusion, our results support the proposal of a regioselective radical isomerization
425 of dioxygen-centered diradical **2** to afford the (secondary carbon-centered)/oxygen-centered
426 diradical **3**, also providing evidence for the presence of both intermediate diradicals on a
427 photolyzed MeTHF-matrix containing compound **1**. This work deepens the knowledge
428 concerning the photoreactivity/photostability of antiparasitic endoperoxides based on the
429 trioxolane pharmacophore.

430

431 **ASSOCIATED CONTENT**

432 Supporting Information. Supplemental figures and calculated data for adamantane-2-spiro-
433 3'-8'-oxo-1',2',4'-trioxaspiro[4,5]decane. This material is available free of charge via the
434 Internet at <http://pubs.acs.org>

435

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440 Notes

441 The authors declare no competing financial interest.

442 **Author Contributions**

443 The authors contributed equally.

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446

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