

Absolute Rate Calculations: Atom and Proton Transfers in Hydrogen-Bonded Systems**

Mónica Barroso, Luis G. Arnaut,* and Sebastião J. Formosinho^[a]

We calculate energy barriers of atom- and proton-transfer reactions in hydrogen-bonded complexes in the gas phase. Our calculations do not involve adjustable parameters and are based on bond-dissociation energies, ionization potentials, electron affinities, bond lengths, and vibration frequencies of the reactive bonds. The calculated barriers are in agreement with experimental data and high-level *ab initio* calculations. We relate the height of the barrier with the molecular properties of the reac-

tants and complexes. The structure of complexes with strong hydrogen bonds approaches that of the transition state, and substantially reduces the barrier height. We calculate the hydrogen-abstraction rates in H-bonded systems using the transition-state theory with the semiclassical correction for tunneling, and show that they are in excellent agreement with the experimental data. H-bonding leads to an increase in tunneling corrections at room temperature.

1. Introduction

The hydrogen bond plays a major role in chemistry and biology, and its impact in diverse fields, such as atmospheric chemistry^[1] and enzymatic catalysis,^[2] is widely recognized. The role of hydrogen bonds has been most appreciated for its implications in the structure and energy of molecular systems. The classical fingerprints of conventional hydrogen bonds are the decrease of the H–X vibrational frequency, the increase of the H–X bond length in Y...H–X complexes relative to the values of the isolated bond, and the observation of YX distances that are smaller than the sum of the corresponding van der Waals radii.^[3–6] The role of the H bond in the kinetics of molecular systems also has a long and rich history,^[7–9] but only recently has it become possible to probe the reactive dynamics of H-bonded systems with adequate theoretical frameworks and experimental tools.^[10–12]

One of the earliest models to quantitatively relate O–H...O bond strengths to vibrational frequencies and bond lengths was formulated by Lippincott and Schroeder (LS).^[13,14] The LS potential provides a rich chemical insight into the nature of H bonds, but it cannot be used to trace the changes in potential resulting from simultaneous H–O and O...O motion in a particular H bond. In fact, following the LS potential, as the O...O atoms approach, all H bonds contract to the symmetrical form until the strongest O...H...O bond is formed with an O...O distance of about 2.45 Å. Applications and modifications of the LS potential have appeared,^[15–18] but the available semiempirical potentials remain inadequate for the calculation of H-atom or proton-transfer barriers.

A quantitative description of the energy of H-bonded systems as a function of the Y...H–X geometry emerged with the refinement of *ab initio* calculations.^[19–27] Sufficiently high-level molecular orbital calculations may provide good numerical estimates for the energy barriers of H-atom and proton transfers in H-bonded complexes. However, they do not offer clear explanations of why barrier heights differ from one system to another.

Herein, we have examined the effect of the molecular structure and binding energies of the H-bonded complexes on the rates of H-atom transfers of the type: $Y + HX \rightarrow Y \cdots HX \rightarrow YH \cdots X \rightarrow YH + X$ and on the barriers of the proton transfers. Our calculations employ the interacting-state model (ISM)^[28] and the LS potential to calculate the energy barriers, and the transition-state theory with the semiclassical correction (sc-TST) for tunneling^[29] to calculate the rates. These theoretical models were selected because they offer a clear relationship between the properties of the reactants, the barrier heights, and the rates constants. Moreover, they do not involve the fitting of any parameters to the kinetic data. The present calculations generalize, to H-bonded systems, the ISM absolute rate calculations presented for atom-transfer reactions in the gas phase (Part I of this work).^[28] The calculation of proton-transfer barriers relates the present approach to earlier studies of proton-transfer reactions with ISM.^[30–32]

2. Results and Discussion

2.1. Reaction Coordinate

The performance of the LS potential in relating H-bond binding energies (D_{XY}) to YX equilibrium distances (r_{XY}) can be assessed from the large variety of Y...H–X complexes presented in Table 1.

Lippincott and Schroeder originally calibrated their potential using experimental H-bond dissociation energies and a 3RT/2 correction for the loss of degrees of freedom in the formation of the H bond. When we employ electronic dissociation energies (D_e), we see that the calibration has a tendency to under-

[a] M. Barroso, Prof. L. G. Arnaut, Prof. S. J. Formosinho
Department of Chemistry, University of Coimbra
3000 Coimbra (Portugal)
Fax: (+351) 23-982-77-03
E-mail: lgarnaut@ci.uc.pt

[**] Part II

Table 1. Bond lengths [\AA], H-bond binding energies [kcal mol^{-1}], and energy barriers [kcal mol^{-1}] of symmetrical hydrogen-bonded systems.^[a]

	l_{XY}^*	l_{XY}^* (LS)	D_{XY}	ΔV^{\ddagger} ab initio	ΔV^{\ddagger} (LS-ISM) ^[b]
$[\text{NH}_3]_2$	3.337 ^[33]	2.918	{2.9} ^[34]		
$[\text{H}_2\text{O}]_2$	2.912 ^[26]	2.688	{5.0} ^[26]		
$[\text{HO}\cdots\text{HOH}]$	2.896 ^[35]	2.643	{5.7} ^[36]	10.3 ^[37]	9.1
$[\text{HF}]_2$	2.72 ^[38]	2.669	{4.5} ^[26]		
$[\text{F}\cdots\text{HF}]$	3.04 ^[39]	2.848	{1.4} ^[39]		
$[\text{HCl}]_2$	3.746 ^[40]	3.642	{1.95} ^[26]		
$[\text{CH}_3\text{OH}]_2$	2.873 ^[41]	2.565	3.2 ^[42]		
$[\text{Cl}\cdots\text{HCl}]$	3.98 ^[43]	3.684	0.94 ^[43]	5.3 ^[44]	7.2
$[\text{SH}_2]_2$	4.08 ^[45]	3.743	1.4 ^[46]		
$[\text{H}^-\cdots\text{H}_2]$	3.295 ^[47]	2.908	{1.10} ^[47]	{10.55} ^[48]	{10.10}
$[\text{CH}_3^-\cdots\text{CH}_4]$	3.72 ^[22]	3.408	-0.9 ^[22]	{13.31} ^[23]	{16.68}
$[\text{HC}\equiv\text{C}^-\cdots\text{HC}\equiv\text{CH}]$	3.237 ^[24]	2.844	-10.6 ^[24]	3.8 ^[24]	4.43
$[\text{N}\equiv\text{C}^-\cdots\text{HC}\equiv\text{N}]$	3.097 ^[24]	2.715	-18.7 ^[24]	2.8 ^[24]	3.03
$[\text{H}_2\text{N}^-\cdots\text{NH}_3]$	2.91 ^[22]	2.584	-12.0 ^[49]	6.29 ^[23]	4.79
$[\text{HO}^-\cdots\text{H}_2\text{O}]$	2.415 ^[50]	2.335	-27 ^[49]	0.4 ^[23]	0
$[\text{SiH}_3^-\cdots\text{SiH}_4]$			0 ^[c]	16.28 ^[23]	17.17
$[\text{H}_2\text{P}^-\cdots\text{PH}_3]$	4.154 ^[22]	3.807	-3.3 ^[22]	4.14 ^[23]	5.55
$[\text{HS}^-\cdots\text{SH}_2^-]$	3.545 ^[22]	3.283	-13.2 ^[49]	1.5 ^[23]	2.51
$[\text{F}\cdots\text{HF}]^-$	2.27 ^[51]	2.150	-48.5 ^[49]	0 ^[22]	0
$[\text{Cl}\cdots\text{HCl}]^-$	3.14 \pm 0.02 ^[52]	3.052	-24 ^[49]	0 ^[23]	0
$[\text{Br}\cdots\text{HBr}]^-$	3.35 \pm 0.02 ^[53]	3.347	-20.6 ^[49]	0 ^[23]	0
$[\text{I}\cdots\text{HI}]^-$	3.80 ^[54]	3.749	-17.0 ^[49]		0

[a] H-Bond binding energies or, more exactly, experimental enthalpies of H-bond formation or theoretical bond-dissociation energies at 0 K. The values in brackets are electronic energies (D_e), without ZPE. The values of l_{xy}^* were selected from experimental or high-level ab initio calculations for the XY distance in $X\cdots H\cdots Y$ complexes. [b] Atom-transfer barriers are relative to the isolated reactants; for the values of m see Table 2. Proton-transfer barriers were calculated with $m=2$ except for systems $[\text{H}^-\cdots\text{H}_2]$, $[\text{CH}_3^-\cdots\text{CH}_4]$, and $[\text{SiH}_3^-\cdots\text{SiH}_4]$ where $m=1$. [c] The complex formed is not hydrogen-bonded.

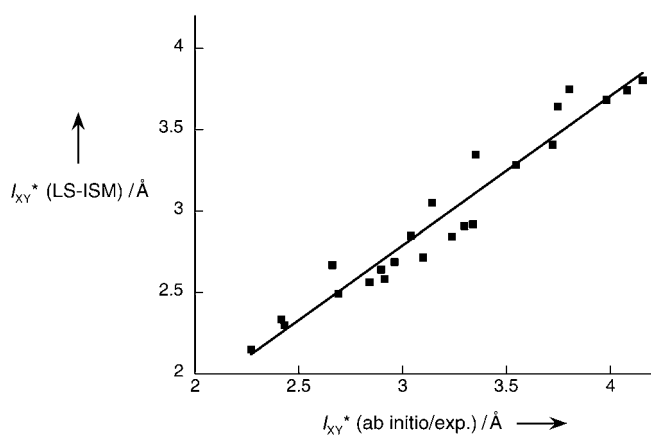


Figure 1. Correlation between the YX equilibrium distances, l_{XY}^* , calculated by the LS potential and the experimental or ab initio data for the same H bond.

estimate l_{XY}^* . However, Figure 1 shows that the original LS potential is still appropriate to relate l_{XY}^* to D_{XY} and we did not change its parameters. These differences are partly offset in the calculation of the H-atom transfer rates discussed below because we employed experimental H-bonding energies and zero-point energy corrections in such calculations.

It is more difficult to assess the quality of the LS potential in predicting the vibrational frequency of the H-bond stretching (ω_{XY}), because very few experimental data are available. However, it is encouraging to see that we calculate $\omega_{00} = 227 \text{ cm}^{-1}$

for the $(\text{H}_2\text{O})_2$ dimer, whereas the experimental value is 150 cm^{-1} ^[55–57] and the best ab initio estimate is 158 cm^{-1} ^[58]. The observed OO frequency in ice I is 220 cm^{-1} ^[3]. This frequency increases to 1063 cm^{-1} in the $\text{HO}^-\cdots\text{H}\cdots\text{OH}$ complex, which compares very well with the value of 1082 cm^{-1} reported in the literature.^[59] A poorer agreement is found between the LS and ab initio ω_{NN} frequencies of the $(\text{NH}_3)_2$ dimer (212 and 103 cm^{-1} , respectively)^[60] and for the $(\text{HF})_2$ dimer (197 and 160 cm^{-1} , respectively).

The parameters D_{XY} , l_{XY}^* and ω_{XY} given by the LS potential can be used to construct a Morse curve for the XY bond. This curve describes the approach of Y to HX in the formation of the $Y\cdots H\cdots X$ precursor complex, and the separation of X from YH in the dissociation of the $Y\cdots H\cdots X$ successor complex. However, as mentioned in the Introduction, the LS potential does not provide information on

the energy changes associated with the transformation of $Y\cdots H\cdots X$ into $Y\cdots H\cdots X$.

We overcome this insufficiency of the LS potential by relating the bond lengths in the $Y\cdots H\cdots X$ and $Y\cdots H\cdots X$ complexes to the corresponding bond orders^[28] [Eqs. (1a) and (1b)]:

$$n_{\text{HX}}^* = \exp \left[-\frac{l_{\text{HX}}^* - l_{\text{HX,eq}}^*}{a'(l_{\text{HX,eq}}^* + l_{\text{HY,eq}}^*)} \right] \quad (1a)$$

$$n_{\text{HY}}^* = \exp \left[-\frac{l_{\text{HY}}^* - l_{\text{HY,eq}}^*}{a'(l_{\text{HX,eq}}^* + l_{\text{HY,eq}}^*)} \right] \quad (1b)$$

where $a' = 0.182$ is a scaling constant. These equations are a generalization of the Pauling relation,^[61] and have been used to construct reaction coordinates for atom transfers.^[28,62,63] Figure 2 shows that the total bond order ($n_{\text{HX}}^* + n_{\text{HY}}^*$) of the systems with double-well potential energy surfaces studied here is approximately conserved. This is not unexpected in view of the fact that the formation of the conventional H-bonded complex $Y\cdots H\cdots X$ is accompanied by the elongation of the HX bond. The values of n_{HX}^* and n_{HY}^* tend to 0.5 with increasing strength of the H bond.

The bond orders of the H bonds in precursor and successor symmetrical complexes should always be under 0.5. However, we observe that, in strongly bonded $(X\cdots H\cdots X)^-$ complexes where $X = \text{F}, \text{Cl}, \text{Br}, \text{I}, \text{or OH}$, the $X\cdots H$ bond orders are slightly

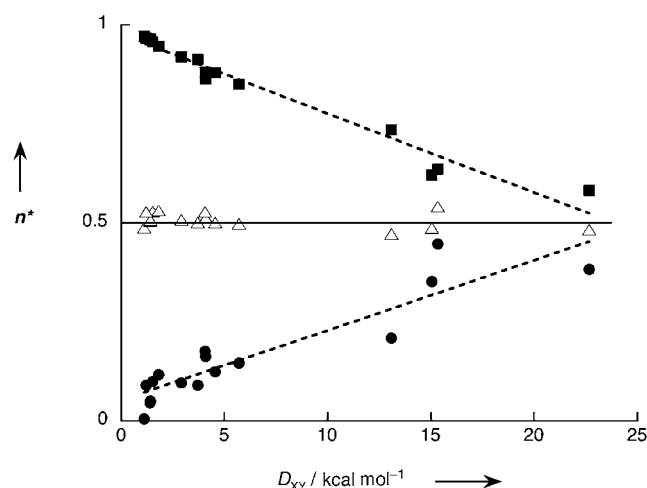


Figure 2. Conservation of the total bond order in H-bonded complexes, shown as the bond orders of the HX and HY bonds in the precursor complex [n_{HX}^* (●), n_{HY}^* (■)] and their semisum (Δ).

over 0.5. This effectively means that the covalency of the X...H bond cannot be distinguished from that of the HX bond, and that the complex is best described as a symmetrical ion. In such cases, we have single-well potential energy curves with no internal barrier for proton transfers. This issue will be further discussed in the section on proton-transfer barriers.

The observation that $n_{\text{HX}}^* + n_{\text{HY}}^* \approx 1$ in H-bonded complexes is another manifestation of the conservation of the total bond order originally proposed by Johnston for hydrogen-transfer reactions.^[64,65] This property is also incorporated in the reaction coordinate of ISM,^[62,63,66] and was recently shown to provide good estimates for atom-transfer rates.^[28] The bond order of the YH bond increases from zero in the isolated reactants to unity in the isolated products, and was selected as the reaction coordinate n . Concomitant changes occur in the HX bond order. These limits have to be modified for atom transfers in H-bonded complexes. Now the central barrier increases from the minimum of the precursor complex, where the bond order is n_{HX}^* , and vanishes in the minimum of the successor complex, where the bond order is n_{HY}^* .

LS and ISM are complementary potential functions, because the former provides a good description of the stabilization of the Y+HX system when a H bond is formed, whereas the latter accounts for the energy barrier found along the reaction coordinate. Thus, the reaction path of atom-abstraction reactions in H-bonded systems can be conveniently divided into three parts. The energy of the system as the two reactants approach from infinity to the equilibrium geometry of the H-bonded precursor complex is given by Equation (2):

$$V(n) = V_{\text{XY}}(n) - D_{\text{XY}} \quad \text{for } n < n_{\text{HX}}^* \quad (2)$$

where $V_{\text{XY}}(n)$ is the Morse curve, built with the parameters D_{XY} , I_{XY}^* , and ω_{XY} of the LS potential, and is calculated for each XY distance along the reaction coordinate under the assumption that $n_{\text{HX}}^* + n_{\text{HY}}^* = 1$. In the region between the precursor and successor complexes, the energy is given by a combination of

ISM and LS potentials, which are defined as in Equation (3):

$$V(n) = (1-j)V_{\text{HX}}(1-j) + jV_{\text{HY}}(j) + k\Delta V^0 + V_{\text{XY}}(n) - D_{\text{XY}} \quad (3)$$

for $n_{\text{HX}}^* < n < n_{\text{HY}}^*$

where V_{HX} and V_{HY} are the Morse curves of the HX and HY bonds, respectively, and $\Delta V^0 = D_{\text{HX}} - D_{\text{HY}}$ is the classical reaction energy. The continuity of the reaction coordinate is obtained with the linear transformations [Eqs. (4a), (4b), and (4c)]:

$$k = (n - n_{\text{HX}}^*) / (1 - n_{\text{HX}}^* - n_{\text{HY}}^*) \quad (4a)$$

$$j = n - n_{\text{HX}}^* \quad \text{for } n < n^\ddagger \quad (4b)$$

$$j = n - (1 - n_{\text{HY}}^*) \quad \text{for } n > n^\ddagger \quad (4c)$$

and n^\ddagger is the transition-state bond order. Finally, as the products separate, the energy is given by Equation (5):

$$V(n) = V_{\text{XY}}(n) - D_{\text{XY}} \quad \text{for } n > 1 - n_{\text{HY}}^* \quad (5)$$

The Morse curves employed in the ISM reaction path are expressed in terms of bond orders rather than bond extensions,^[28] as shown in Equations (6a) and (6b):

$$V_{\text{HX}}(1-j) = D_{\text{HX}} \{1 - \exp[\beta_{\text{HX}} \alpha' (I_{\text{HX,eq}} + I_{\text{HY,eq}}) \ln(1-j)/m]\} \quad (6a)$$

$$V_{\text{HY}}(j) = D_{\text{HY}} \{1 - \exp[\beta_{\text{HY}} \alpha' (I_{\text{HX,eq}} + I_{\text{HY,eq}}) \ln(j)/m]\} \quad (6b)$$

and include the electrophilicity index of Parr [Eq. (7)] to account for the increase in electronic density at the transition state:^[67]

$$m = \frac{I_p + E_A}{I_p - E_A} \quad (7)$$

where I_p is the ionization potential and E_A the electron affinity of X or Y.

Figure 3 shows that the LS-ISM and ab initio reaction coordinates for OH+HCl and OH+H₂O exchanges are in good agreement, and suggests that LS-ISM potentials can be employed to calculate both barrier heights and reaction rates of H-atom transfers in H-bonded complexes.

2.2. Hydrogen-Atom Transfer Rates

Our main interest is to calculate the rates of chemical reactions using the properties of reactants and products. This can be achieved in H-bonded complexes using the LS-ISM energy barriers together with the transition-state theory. The calculated rates can be further refined including semiclassical tunneling corrections. The inclusion of zero-point energy and tunneling corrections along the ISM reaction coordinate was recently discussed. These corrections were employed in the calculation of 100 activation energies and 50 room-temperature reaction rates, in good agreement with the experimental data,^[28] and were also used in this work. The present calculations differ

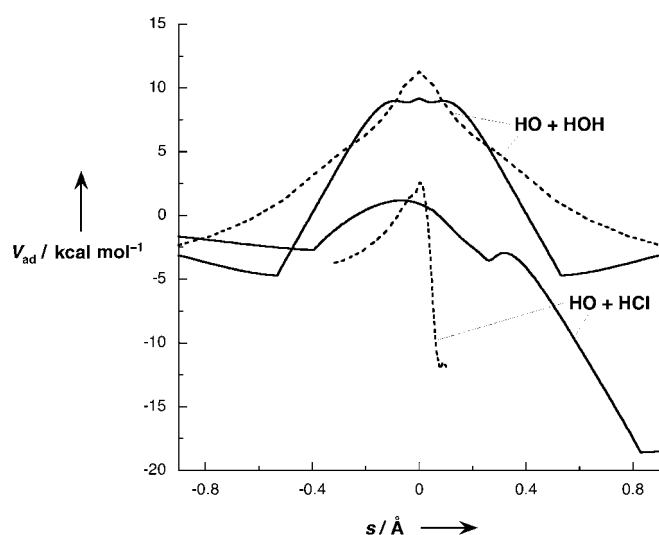


Figure 3. *Ab initio*^[36,68] (-----) and LS-ISM (—) classical energy profiles for OH + HCl and OH + H₂O hydrogen exchanges. The *ab initio* results for OH + HCl were scaled to reproduce the recommended rate constants over a wide temperature range.

from earlier work by the inclusion of H-bonded complexes in the reaction coordinate. Table 2 shows the data employed in the calculations and compares the room-temperature rates with experimental values. The binding energies of symmetric H-bonded complexes, D_{XY} were taken as the average of D_{XX} and D_{YY} . The binding energies in Table 2 are bond-dissociation energies at 0 K (D_0) and are lower than the corresponding values in Table 1 by the zero-point energy of the complex.

In Figure 4, we compare the LS-ISM/sc-TST rate constants of several halogen atom–molecule complexes with experimental results. A similar comparison is presented in Figure 5 for hydroxyl radical–molecule complexes. The calculated rates are within one order of magnitude of the experimental rates. The remarkable quality of the calculated rates and the clear relation between the molecular properties of reactants and the energy barriers provided by ISM offer the opportunity to discuss the molecular factors that control H-abstraction rates.

Table 2. Thermodynamic, structural, and electronic parameters, as well as rate constants, at room temperature.				
	D_{XY} [kcal mol ⁻¹]	m	$k_{\text{ISM}}^{[a]}$ [mol ⁻¹ dm ³ s ⁻¹]	$k_{\text{exp}}^{[b]}$ [mol ⁻¹ dm ³ s ⁻¹]
[HO...HOH]	3.5 ^[c]	1.327	8.5×10^4	$1.3 \pm 0.6 \times 10^5$
[HO...HOCH]	3.0	1.412	1.2×10^9	5.8×10^7
[HO...HNH ₂]	2.8	1.409	5.4×10^7	9.6×10^7
[HO...HSH]	2.0	1.572	3.3×10^9	2.5×10^9
[HO...HCl]	2.2	1.773	7.5×10^8	4.8×10^8
[F...HOH]	3.0	1.721	1.1×10^{10}	7.7×10^9
[F...HBr]	1.7	1.824	2.2×10^{10}	3.3×10^{10}
[Cl...HBr]	1.0	1.882	3.7×10^9	3.6×10^9
[Cl...HCl]	1.1 ^[d]	1.773	3.7×10^5	9.0×10^5
[Br...HSH]	0.8	1.953	1.5×10^6	8.8×10^5

[a] Rate constants are calculated at $T=300$ K, except for the system [Cl...HCl] where $T=312$ K. [b] Average rate constants calculated from experimental data in the NIST chemical kinetics database.^[69] [c] The experimental value is 3.59 and the best *ab initio* estimate is 3.3 kcal mol⁻¹. [d] The experimental value of [HCl]₂ is 1.2 kcal mol⁻¹^[70] which is an upper limit for their system, and fitted *ab initio* results give 1.0 kcal mol⁻¹.^[71]

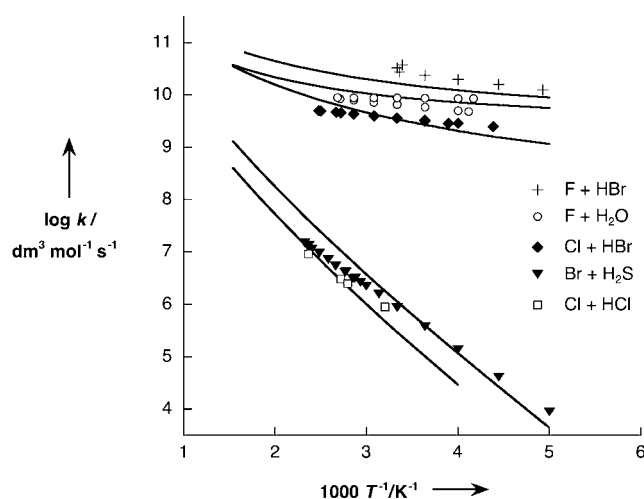


Figure 4. Experimental^[69] (symbols) and LS-ISM/sc-TST (—) rate constants of hydrogen exchange in halogen atom–molecule complexes.

The rates of H-atom abstractions by the hydroxyl radical increase with the exothermicity of the reactions, ΔH , as expected from the Bell–Evans–Polanyi relationship (BEP) [Eq. (8)]:

$$E_a = \alpha \Delta H + E_a^0 \quad (8)$$

and the Marcus cross-relation [Eq. (9)]:

$$E_a = E_a^0 \left\{ 1 + \left[\frac{\Delta H}{4E_a^0} \right]^2 \right\} \quad (9)$$

where α is a constant and E_a^0 is an intrinsic barrier. This trend is not strictly followed by the corresponding abstractions by halogen atoms. The Br + H₂S reaction is endothermic by 3.6 kcal mol⁻¹, but is faster than the Cl + HCl exchange. This inversion of the kinetics relative to the thermodynamics can be assigned to the electronic factor. The value of m increases from 1.77 to 1.95 because the H₂S has a lower ionization potential than Cl, and the barrier is reduced.

The ratio of the rates of the symmetrical OH + H₂O and Cl + HCl hydrogen exchanges is approximately equal to that of the pre-exponential factors expected for atom + molecule versus diatom + molecule reactions, that is, about nine. This superficial analysis suggests that the barriers of the two reactions are identical. However, LS-ISM calculations show that the vibrationally adiabatic barrier is 9.1 kcal mol⁻¹ for the OH + H₂O exchange, but only 7.2 kcal mol⁻¹ for the Cl + HCl exchange. At 300 K, the later exchange should be faster by a factor of 24, but the semiclassical tunneling correction operates in the opposite direction. At this temperature,

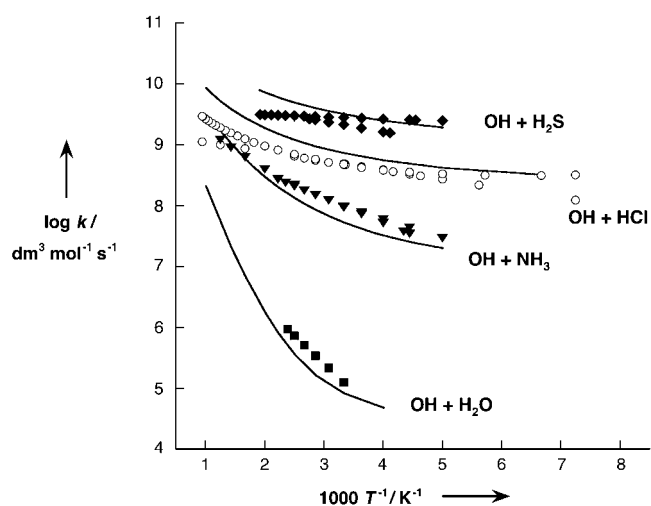


Figure 5. Experimental^[69] (symbols) and LS-ISM/sc-TST (—) rate constants of hydrogen exchange in hydroxyl radical–molecule complexes.

the tunneling correction is 112 for the first and 1.9 for the latter exchange. The absolute rate calculations for the OH + H₂O and Cl + HCl exchanges are in excellent agreement with the experimental rates. The extraordinary room-temperature tunneling correction of the OH + H₂O exchange suggests that a significant kinetic isotope effect (KIE) should be present. In fact, we calculate $1.4 \times 10^3 \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$ for the rate of the OH + D₂O exchange at 300 K, in agreement with the experimental upper limit of $3.0 \times 10^4 \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$. On the other hand, the KIE of the Cl + HCl exchange is 8.6 ± 1.1 at 312.5 K, and we calculate 8.3 at this temperature. It is interesting to calculate the rate of the OH + H₂O exchange, without the formation of a precursor complex, to understand the effect of hydrogen bonding in this reaction. In the absence of H bonding, the vibrationally adiabatic barrier height increases to 11.1 kcal mol⁻¹, and the tunneling correction decreases to 3.6 at 300 K. As a result, the calculated rate is one thousand times slower. Hydrogen bonding leads to a thinner barrier and to much larger tunneling corrections, which is the most important reason why the H transfers in H-bonded systems tend to be faster.

Enhanced tunneling due to hydrogen bonding may not prevail over other molecular factors. For example, H abstraction in the HO + CH₃OH system may occur from the CH or HO bonds. The CH bond abstraction does not involve hydrogen bonding and, the OH bond abstraction was calculated with $D_{\text{XY}} = 3 \text{ kcal mol}^{-1}$. We obtained a branching ratio for CH₃O formation, $\varphi = k_{\text{O}} / (k_{\text{O}} + k_{\text{C}})$, of 0.41 at 298 K and 0.25 at 393 K. The observed branching ratios are $\varphi = 0.11 \pm 0.03$ and 0.22 ± 0.07 at 298 and 393 K, respectively.^[72] The tunneling correction of 17 at 298 K is likely to be an upper limit. The branching ratio of the classical rates is 0.07 both at 298 and 393 K. The related Cl + CH₃OH reaction appears to occur exclusively through CH abstraction. The calculated rate for CH abstraction at 300 K, $2.1 \times 10^{10} \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$, is more than four orders of magnitude higher than the calculated OH abstraction rate. This is in very good agreement with the observed rate, $3.3 \times$

$10^{10} \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$, and with the lack of products originated from OH abstraction. It is interesting to note that, although the Cl + CH₃OH reaction is less exothermic than the HO + CH₃OH reaction (the vibrationally adiabatic reaction energies are $\Delta V_{\text{ad}}^0 = -6$ and $-22 \text{ kcal mol}^{-1}$, respectively), it is much faster and much more selective. The relative rates of these reactions challenge three widely used principles that relate activation energies to reaction energies: the BEP relationship, the Marcus cross-relation, and the reactivity–selectivity principle. These thermodynamic models of reactivity are curve-crossing models that appreciate only the effect of the reaction energy. However, the electronic factors play a major role in determining the relative rates of these reactions, because the HO + CH₃OH → H₂O + CH₂OH exchange has a relatively low electrophilicity index, $m = 1.6$, when compared with that of the Cl + CH₃OH → HCl + CH₂OH exchange, $m = 2.8$. This difference is due to the electron affinities of OH and Cl. Moreover, the methoxy radical has a higher ionization potential than the hydroxymethyl radical, and m decreases from 1.6 to 1.4 when the hydroxyl radical attacks the OH rather than the CH bond. In the chlorine-atom attack, the corresponding decrease is from 2.8 to 2.0. Such a significant decrease in m increases the barrier by 2.7 kcal mol⁻¹ and contributes to the selectivity of the Cl attack. Anderson and co-workers also noticed the dependence of atom-transfer rates on the ionization potential and electron affinity of the reacting radicals and explained it as the ability to stabilize an initial charge imbalance at the transition state as the new bond is formed and the old one is broken.^[73] The poor selectivity of the OH + CH₃OH reaction is also related to the strength of the (CH₃OH...OH) H bond. The OH abstraction rate is one hundred times smaller when calculated in the absence of H bonding.

The LS-ISM reaction coordinate can also rationalize the branching ratio of the Cl + HOCl reaction. Both the Cl- and H-atom abstractions have similar electronic factors, $m \approx 2$, but the formation of the HCl + OCl products, $\Delta V_{\text{ad}}^0 = -9.1 \text{ kcal mol}^{-1}$, is more exothermic than that of the Cl₂ + HO products, $\Delta V_{\text{ad}}^0 = -1.9 \text{ kcal mol}^{-1}$. Additionally, Table 1 suggests $D_{\text{XY}} \approx 2 \text{ kcal mol}^{-1}$ for the (Cl...HOCl) complex, whereas G3//MP2 calculations give a binding energy of 1.85 kcal mol⁻¹ for the (Cl...ClOH) complex.^[74] The thermodynamics of the reactions, the binding energies of the precursor complexes, and the tunneling corrections favor the H abstraction. However, the experimental branching ratio for Cl₂ formation is $\varphi = 0.96 \pm 0.05$ at 298 K,^[75] and the Cl abstraction is dominant. LS-ISM/sc-TST calculations of Cl and H abstraction rates at 298 K, using the binding energies indicated above, give 1.3×10^9 and $1.1 \times 10^9 \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$, respectively, which should be compared with the observed rate $(1.4 \pm 0.1) \times 10^9 \text{ mol}^{-1} \text{ dm}^3 \text{ s}^{-1}$. The calculated branching ratio at this temperature is $\varphi = 0.54$. The underestimation of φ is probably due to an overestimation of the H-bond energy. For example, with $D_{\text{XY}} = 1.3 \text{ kcal mol}^{-1}$, φ increases to 0.76. The competitiveness of the Cl abstraction channel results from two factors. Firstly, we have neglected the XY repulsion of the $V_{\text{XY}}(r)$ Morse curve, calculated with the LS potential and included in Equation (4), because the LS potential is not calibrated for the transfer of atoms involved in much

longer bonds than hydrogen. For this halogen atom transfer, Equation (4) is replaced by Equation (10):

$$V(n) = (1-j)V_{\text{HX}}(1-j) + jV_{\text{HY}}(j) + k\Delta V^0 - D_{\text{XY}} \quad (10)$$

for $n_{\text{HX}}^* < n < n_{\text{HY}}^*$

Secondly, the Cl abstraction involves the breaking and formation of weaker bonds, which is reflected both in the bond dissociation energies and vibrational frequencies of the Cl–O and Cl–Cl bonds. The harmonic force constants for these bonds are much lower than those of the O–H and H–Cl bonds, and lead to a lower energy barrier.^[63]

2.3. Proton-Transfer Barriers

Ion–molecule H-bonded complexes transfer a proton rather than a hydrogen atom between the precursor and successor complexes: $Y^- + \text{HX} \rightarrow Y^- \cdots \text{HX} \rightarrow Y\text{H} \cdots X^- \rightarrow Y\text{H} + X^-$. The prototype system is H_3^- , which is also the simplest analogue of an $\text{S}_{\text{N}}2$ reaction with closed-shell reactants. Figure 6 compares the

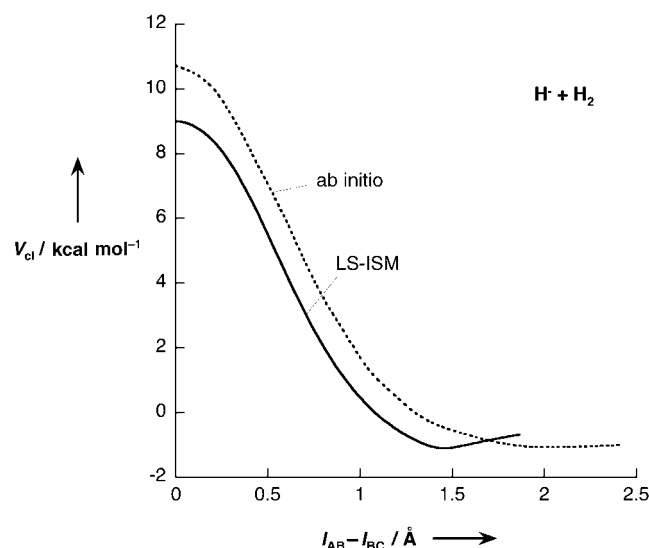


Figure 6. Comparison between LS-ISM and *ab initio* potentials along the minimum energy path for the reaction $\text{H} + \text{H}_2$.

LS-ISM reaction path, using $D_e = 1.10 \text{ kcal mol}^{-1}$, with the classical potential that fits accurate *ab initio* calculations.^[48] In this proton transfer, just as in the Cl-atom transfer discussed above, we neglected the XY repulsion of the $V_{\text{XY}}(n)$ Morse curve and replaced Equation (4) by Equation (10). We emphasize again that the XY repulsion originating from the LS potential is only appropriate for H-atom transfers. The presence of a proton between X and Y quenches the repulsion associated with the overlap of their electronic orbital that is expressed by the repulsion term $V_{\text{XY}}(n)$ from n_{HX}^* to n^\ddagger , and from n^\ddagger to n_{HY}^* .

If X is a halogen or OH, the bond orders given by Equation (2) are slightly larger than 0.5. In such cases, the LS potential remains attractive in the region of the reaction coordinate where $n^\ddagger = 0.5$, and it is not possible to find a central barrier

separating the $(X \cdots \text{H} \cdots X)^-$ and $(X \cdots \text{H} \cdots X)^-$ complexes. All other systems addressed in this work have a central barrier flanked by two minima.

The proton-transfer barrier expressed by Equation (10) can be calculated with the usual parameters of ISM. The reaction path shown in Figure 6 was obtained with $m = 1$, that is, the electronic factor was assumed to be identical to that of the ISM reference reaction, $\text{H} + \text{H}_2 \rightarrow \text{H}_2 + \text{H}$.^[28] The ability to predict energy barriers with ISM relies on the calculation of m from the electronic properties of reactants and products. Equation (7) does a remarkable job in calculating m for atom-transfer reactions. The same parameter must also account for the electron flow in the transition states of proton transfers. The valence bond picture of such transition states reveals that the height of the barrier depends on the energy of the structure $Y^- \cdots \text{H}^+ \cdots X^-$, and that its energy is stabilized by the electrostatic interaction between the central proton and the X and Y groups.^[23] *Ab initio* calculations also support the stabilization of the transition state by an increase in the charge on the in-flight hydrogen in the transition state, or equivalently, as reaction termini X and Y become more electronegative.^[25]

The electron affinity plays the role of the electronegativity in the electronic parameter m employed for atom transfers. However, proton transfers involve ionic species and have enhanced polar effects. This should be particularly notorious when X and Y have significant electron affinities, and the dependence of m on E_A should be larger than that calculated with Equation (7) for atom transfers. Figure 7 illustrates the symmetrical barriers of proton transfers between anionic species calculated with $m = 1$ for CH_3^- and SiH_3^- , and with $m = 2$ for PH_2^- , HCC^- , NH_2^- , SH^- , and NC^- . The lower value of m was selected to reflect the low electron affinity of CH_3 and its inability to induce a significant polar effect, and to reflect the reversed polarity of the Si–H bond relative to that of the proton-transfer transition state. Additionally, we did not include the $(\text{SiH}_3^- \cdots \text{SiH}_4)$ complex in the reaction coordinate because it did not have the geometry of a H bond.^[22] The higher value of m reflects the expected increase from 1.2 to 1.7 given by Equation (7) for all the other systems; this was also employed to describe N, O, and S acids in the seminal application of ISM to proton-transfer reactions, because it corresponds to a bond order of unity for the $Y^- \cdots \text{H}^+$ and $\text{H}^+ \cdots X^-$ fragments at the transition state.^[30]

As mentioned above, the internal barrier diminishes with the strength of the hydrogen bond and with the increase of m from one to two. However, we can also see that m and D_{XY} remain approximately constant in the series $Y = \text{HCC}^-$, NH_2^- , and SH^- , but the barrier heights decrease. The same trend was observed in *ab initio* calculations. Figure 7 shows that this trend is related to the similarity between the geometry of the H-bonded complex and that of the transition state. When the HX and HY bond lengths in the complex approach those of the transition state, the barrier tends to zero. This effect is also apparent in the increase of n_{HY}^* from 0.21 to 0.45 in the series $Y = \text{HCC}^-$, NH_2^- , and SH^- , and is the reason why the systems where $Y = \text{OH}$, F, Cl, Br, and I have a single well.

Figure 8 shows that the classical internal barriers for proton transfer given by LS-ISM and *ab initio* calculations^[22,24] are in

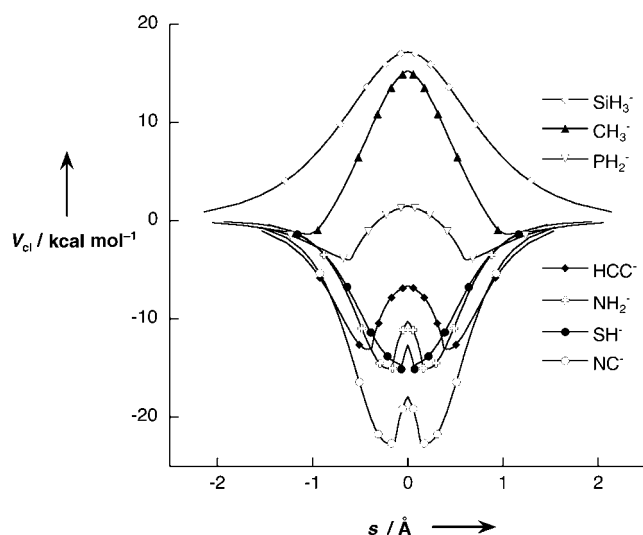


Figure 7. Classical energy profiles of the symmetrical proton transfers involving the anions shown in the figure. The H-binding energies were taken from Table 1.

good agreement. According to the LS–ISM reaction path, the formation of a strong hydrogen-bonded complex brings the structure of the reactants close to that of the transition state and substantially reduces the reaction barrier. Strong hydrogen bonds can be regarded as incipient proton transfers.

Proton-transfer barriers of larger systems can be calculated without additional labor; for example, from the reaction efficiency of the gas-phase proton transfer from toluene to the benzyl anion and RRKM simulation, Han and Brauman obtained a difference of $\Delta E_{\text{dif}} = -5.0 \pm 0.4 \text{ kcal mol}^{-1}$ [76] between the proton-transfer transition state and the reactants at infinite separation. These authors used binding energies of $D_{\text{XY}} = 12 \text{ kcal mol}^{-1}$ for this dimer around cluster ions but cautioned that the binding energy "in the $\text{C}_6\text{H}_5\text{CH}_2^- \cdot \text{C}_6\text{H}_5\text{CH}_3$ complex is

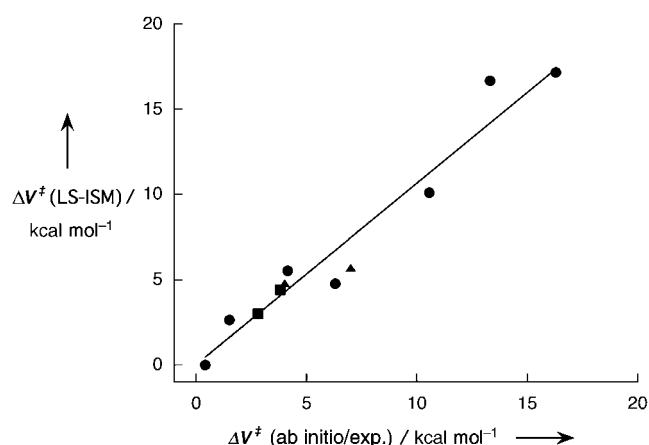


Figure 8. Correlation between the classical internal barrier heights of LS–ISM and *ab initio* calculations at the QCISD(T)/6–311 + G(d,p)//MP2/6–31 + G(d,p) level (●). Not included in the correlation is the comparison with the adiabatic G2+ calculations (■) and with the experimental activation energies of the $\text{C}_6\text{H}_5\text{CH}_2^- \cdot \text{C}_6\text{H}_5\text{CH}_3$ and $3\text{-CF}_3\text{C}_6\text{H}_4\text{CH}_2^- \cdot 3\text{-CF}_3\text{C}_6\text{H}_4\text{CD}_3$ systems (▲).

likely to be less than 12 kcal mol^{-1} due to the low dipole moment of toluene and the delocalized charge in benzyl anion". Using the LS–ISM potential with $D_{\text{XY}} = 11 \text{ kcal mol}^{-1}$, we obtained $\Delta V_{\text{ad}}^\ddagger = 5.7 \text{ kcal mol}^{-1}$ for this proton transfer, that is, $\Delta E_{\text{dif}} = -5.3 \text{ kcal mol}^{-1}$. A second degenerate reaction studied by these authors: $3\text{-CF}_3\text{C}_6\text{H}_4\text{CH}_2^- + 3\text{-CF}_3\text{C}_6\text{H}_4\text{CD}_3 \rightarrow 3\text{-CF}_3\text{C}_6\text{H}_4\text{CH}_2\text{D} + 3\text{-CF}_3\text{C}_6\text{H}_4\text{CD}_2^-$ gave $\Delta E_{\text{dif}} = -8.0 \pm 0.1 \text{ kcal mol}^{-1}$. With the same data as for toluene and the benzyl anion, except for $D_{\text{XY}} = 12 \text{ kcal mol}^{-1}$, we obtain $\Delta E_{\text{dif}} = -7.2 \text{ kcal mol}^{-1}$. The difference between internal barriers of these toluene molecules and benzyl anions can be ascribed to the binding energies in the corresponding complexes.

These calculations clearly show that gas-phase proton-transfer reactions, which involve charge-localized and delocalized carbanions, have substantial barriers—as do their counterparts in solution too. The slowness of proton transfers to and from carbon atoms in solution is an intrinsic property of the reactants.

3. Conclusions

The combination of the LS potential with ISM leads to reaction paths that accurately represent the energies and geometries of precursor complexes and transition states in atom and proton transfers taking place in H-bonded systems. Such reaction paths are calculated using thermodynamic, spectroscopic, structural, and electronic information on reactants and products, and the H-bond energies. Weak H bonds ($D_{\text{XY}} < 5 \text{ kcal mol}^{-1}$) between radicals and molecules lead to thinner H-atom transfer barriers and enhanced tunneling corrections. Strong H bonds ($D_{\text{XY}} > 10 \text{ kcal mol}^{-1}$) between anions and molecules in the gas phase lead to complexes with structures resembling those of the corresponding proton-transfer transition states, and significantly decrease the proton-transfer barriers. However, the proton-transfer barriers that involve carbanions in the gas phase remain substantial and should also play a role in the rates of the corresponding proton transfers in solution.

Computational Methods

The Lippincott–Schroeder (LS) potential is expressed as the sum of four terms [Eq. (11)]:

$$V_{\text{LS}} = V_{\text{HX}} + V_{\text{HY}} + V_{\text{rep}} + V_{\text{el}} \quad (11)$$

The first two terms represent covalent interactions and have the form presented in Equations (12a) and (12b):

$$V_{\text{HX}} = D_{\text{HX}} [1 - \exp(-\alpha)] \quad (12a)$$

$$V_{\text{HY}} = D_{\text{HY}^+} [1 - \exp(-\beta)] - D_{\text{HY}^+} \quad (12b)$$

where D is the bond-dissociation energy of the unperturbed HX and HY^+ bonds; α and β are defined as shown in Equations (13a) and (13b), with κ_{HX} , κ_{HY^+} defined as in Equations (14a) and (14b):

$$\alpha = \frac{\kappa_{\text{HX}} (I_{\text{HX}} - I_{\text{HX,eq}})^2}{2I_{\text{HX}}} \quad (13a)$$

$$\beta = \frac{\kappa_{\text{HY}^+} (I_{\text{HY}^+} - I_{\text{HY}^+, \text{eq}})^2}{2I_{\text{HY}^+}} \quad (13b)$$

$$\kappa_{\text{HX}} = f_{\text{HX}} I_{\text{HX,eq}} / D_{\text{HX}} \quad (14a)$$

$$\kappa_{\text{HY}^+} = f_{\text{HY}^+} I_{\text{HY}^+, \text{eq}} / D_{\text{HY}^+} \quad (14b)$$

In these expressions, l (or f) are the bond lengths (or harmonic force constants) of the unperturbed HX and HY^+ bonds. The data pertaining to the unperturbed HX bond are usually known. For the unperturbed HY^+ bond, Lippincott and Schroeder introduced the approximation presented in Equation (15), and showed that the parameter g is transferable to all $\text{Y}\cdots\text{H}-\text{X}$ systems, $g=1.45$:

$$\kappa_{\text{HY}^+} = g\kappa_{\text{HX}} \quad (15)$$

Equation (16) refers to another assumption:

$$D_{\text{HX}^+} = D_{\text{HY}}/g \quad (16)$$

which, with the approximation that $I_{\text{HY}^+} \approx I_{\text{HY}}$ provides all the relations necessary to calculate the covalent contributions from the unperturbed HX and HY^+ bonds.

The repulsive term was expressed as a negative exponential and the electrostatic one as a negative power of the XY distance, l_{XY} . Both these terms involve empirical constants. They were modified to reduce the number of constants, and Equation (17) was obtained:

$$V_{\text{rep}} + V_{\text{el}} = A \left[\exp(-bl_{\text{XY}}) + \frac{l_{\text{XY}}^*}{2l_{\text{XY}}} \exp(-bl_{\text{XY}}^*) \right] \quad (17)$$

where l_{XY}^* is the XY distance at which the LS attains its minimum. From the first derivative of the LS potential, Equation (18) shows that:

$$A = \frac{D_{\text{HY}^+} \kappa_{\text{HY}^+}}{2} \left[1 - \left(\frac{I_{\text{HY}^+}}{I_{\text{XY}}^* - I_{\text{XY}}} \right)^2 \right] \exp(-\beta) / \exp(-bl_{\text{XY}}^*) (b - 1/2l_{\text{XY}}^*) \quad (18)$$

The only parameter that remains to be fitted to the experimental data is the repulsion constant b . Lippincott and Schroeder chose the value $b=4.8 \text{ \AA}$, and this value was also used in this work.

Another important quantity in the LS potential is the force constant of the XY bond. This can be obtained from the second derivative of the potential [Eqs. (19a) and (19b)]:

$$f_{\text{XY}} = B + A \exp(-bl_{\text{XY}}^*) \frac{(bl_{\text{XY}}^*)^2 - 1}{(l_{\text{XY}}^*)^2} \quad (19a)$$

$$B = \frac{D_{\text{HY}^+} \kappa_{\text{HY}^+}}{I_{\text{HY}^+}} \exp(-\beta) \left[(I_{\text{HY}^+, \text{eq}})^2 - \frac{\beta}{2} (I_{\text{HY}^+} + I_{\text{HY}^+, \text{eq}})^2 \right] \quad (19b)$$

The importance of this force constant lies on its relation with the XY vibrational frequency, which is defined as shown in Equation (20):

$$\omega_{\text{XY}} = \frac{1}{2\pi} \sqrt{\frac{f_{\text{XY}}}{\mu_{\text{XY}}}} \quad (20)$$

We correct the H-bond energies, D_{XY} for the zero-point energy of the XY vibration by calculating ω_{XY} from D_{XY} to obtain a first approximation to the zero-point energy, and then recalculating the LS potential and its properties with $D_{\text{XY}} + \text{ZPE}$. The D_{XY} values presented in Table 1 are measured enthalpies of formation of H-bonded complexes and were treated with this procedure. The

other values were taken from ab initio calculations and are more appropriately called bond-dissociation energies at 0 K (D_0) or electronic binding energies (D_e). We applied the zero-point energy correction to the former, but not to the latter.

The relations above show that, knowing either D_{XY} or ω_{XY} it is possible to calculate the other parameters and obtain a Morse curve [Eq. (21)] that represents the formation of the $\text{Y}\cdots\text{H}-\text{X}$ bond:

$$V_{\text{XY}} = D_{\text{XY}} \{ 1 - \exp[-\beta_{\text{HX}} (l_{\text{XY}} - l_{\text{XY}}^*)] \} \quad (21)$$

This Morse curve was added to the Morse curves representing the HX and HY bonds and included in the reaction coordinate of the ISM [Eq. (4)].

Zero-point energies were added along classical reaction paths using a procedure described elsewhere,^[28] and vibrationally adiabatic paths were obtained. The highest energy along the vibrationally adiabatic path is identified as the vibrationally adiabatic barrier, $\Delta^\ddagger V_{\text{ad}}$. The atom- or proton-transfer rates of systems with $\Delta^\ddagger V_{\text{ad}} > 0$ can be calculated with the transition-state theory and the semiclassical correction for tunneling, as described by the ISM/sc-TST method.^[28] ISM/sc-TST calculations require empirical information on bond-dissociation energies, electrophilicity indices, bond lengths, and vibration frequencies of the reactive bonds. This data was recently presented elsewhere^[28] and was used in this work. The only additional parameter required by LS-ISM/sc-TST calculations, is the strength of the hydrogen bond, D_{XY} and its value is given in Tables 1 and 2.

In earlier applications of the ISM, the electrophilicity index proposed by Parr^[67] was kept constant along the reaction coordinate. Here, we refine our approach and consider that m increases from unity in the reactants to its maximum value in the transition state [Eq. (10)] and then returns to unity in the products [using a switching function similar to that employed for ZPE variation along the reaction path,^[28] see Eqs. (22a)–(22c)]:

$$y(n) = \cosh \left[\frac{I_{\text{BC}}}{I_{\text{BC}} + I_{\text{AB}}} \cdot \frac{\ln(n^\ddagger)}{\ln(2n)} \right] \quad \text{for } n < n^\ddagger \quad (22a)$$

$$y(n) = 0 \quad \text{for } n = n^\ddagger \quad (22b)$$

$$y(n) = \cosh \left[\frac{I_{\text{BC}}}{I_{\text{BC}} + I_{\text{AB}}} \cdot \frac{\ln(1-n^\ddagger)}{\ln(2(1-n))} \right] \quad \text{for } n > n^\ddagger \quad (22c)$$

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