

# PRODUCTS OF LAURENT OPERATORS AND FIELDS OF VALUES

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ABSTRACT. One of the most fundamental properties of the field of values of an operator, is the inclusion of the spectrum within its closure. Obtaining information on the spectrum of products of operators in terms of this spectral inclusion region is a demanding issue. Stating general results seems difficult, however, in some special instances conclusions can be derived. In this paper, it is shown that the field of values of products of Laurent operators is easily related with the product of their fields of values, and the same occurs for certain classes of Laurent operators with matrix symbols. The results also apply to the class of infinite upper (lower) triangular Toeplitz matrices.

## 1. INTRODUCTION

Let  $A$  be a bounded operator on a Hilbert space  $H$  equipped with inner product  $\langle \cdot, \cdot \rangle$ . Denote by  $B(H)$  the algebra of bounded linear operators over  $H$ . In our discussion we identify  $H$  with  $\mathbb{C}^n$  whenever  $H$  has dimension  $n$ . The *field of values* of  $A$  is the set of the complex plane defined as

$$W(A) = \{ \langle Af, f \rangle / \langle f, f \rangle : f \in H, \langle f, f \rangle \neq 0 \}.$$

This concept is an useful tool in studying linear operators, and it has been extensively investigated (see e.g. [4] and their references).

The Toeplitz-Hausdorff theorem [4] states that  $W(A)$  is a convex set, whose closure contains the convex hull of the *spectrum*  $\sigma(A)$  of  $A$ :

$$\overline{W(A)} \supseteq \text{conv } \sigma(A), \tag{1.1}$$

where  $\text{conv}$  stands for convex hull. We recall that

$$\sigma(A) = \{ \lambda \in \mathbb{C} : A - \lambda I \text{ is not invertible} \},$$

with  $I$  the identity operator. When  $A \in B(H)$  is normal, that is,  $AA^* = A^*A$ , equality holds in (1.1),  $\text{conv } \sigma(A) = \overline{W(A)}$ . Proofs of these well known facts may be found e.g. in [4].

Obtaining information on  $W(AB)$  from the fields of values  $W(A)$  and  $W(B)$  is a challenging task, but answers in full generality seem difficult. Here, we investigate particular situations, under which the field of values of a product is

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simply related with the product of the fields of values of the factors. Specifically, we shall be concerned with the fields of values of products of Laurent and Toeplitz operators, and we will also focus on  $W(A^k)$  for integers  $k$ .

This paper is organized as follows. In Section 2, some preliminaries on the state of the art are presented. In Section 3 we introduce pertinent notation and background on Laurent operators, and we extend Klein's theorem for these operators. As a consequence, inclusion regions for fields of values of products and powers of Laurent operators are obtained. Triangular Toeplitz operators are also considered in this framework. Related inequalities for the numerical radius and the Crawford number are easily derived. In Section 4, Laurent operators with matrix symbols are studied in the same context.

## 2. PRELIMINARIES

Some authors investigated connections between  $W(AB)$  and  $W(A)$  and  $W(B)$ ; see, for example, [2, 3, 4, 5, 10]. For instance, if  $A$  and  $B$  are  $n \times n$  normal matrices and commute, then

$$W(AB) \subseteq \text{conv}W(A)W(B).$$

In multiplicative perturbation theory, the product of operators  $AB$  is considered for  $B$  close to the identity, and it is of interest to relate in some way the spectra of products with the product of spectra (cf. [7, 9]).

The field of values is a spectral inclusion region, in the sense that (1.1) holds. It can be easily verified that for any  $A, B \in B(H)$ ,

$$\sigma(A + B) \subset W(A + B) \subset W(A) + W(B).$$

Investigating the corresponding multiplicative version of this inclusion chain might be a demanding goal.

If  $B$  is *selfadjoint positive definite* (SPD) and  $A \in B(H)$ , we have

$$\overline{W(B^{1/2}AB^{1/2})} \subseteq \overline{W(A)} \overline{W(B)},$$

because

$$W(B^{1/2}AB^{1/2}) = \{x^*B^{1/2}AB^{1/2}x : x^*x = 1\} = \left\{ \frac{x^*Ax}{x^*B^{-1}x} : 0 \neq x \in \mathbb{C}^n \right\},$$

and so

$$\overline{W(B^{1/2}AB^{1/2})} \subseteq \frac{\overline{W(A)}}{\overline{W(B^{-1})}} = \overline{W(A)} \overline{W(B)}.$$

Moreover,

$$\overline{W(B^{-1/2}AB^{-1/2})} \subseteq \frac{\overline{W(A)}}{\overline{W(B)}}. \quad (2.1)$$

Thus, if  $B$  is SPD, and commutes with  $A$ , then

$$\overline{W(AB)} \subseteq \overline{W(A)} \overline{W(B)}$$

(cf. [4, Theorem 2.5 – 1]). However, this inclusion may hold even if  $A$  and  $B$  do not commute, as the following example shows.

**Example 2.1.** Let  $A = (a_{i-j})$ ,  $B = (b_{i-j})$  be  $10 \times 10$  tridiagonal and pentadiagonal Toeplitz matrices such that

$$b_{-9} = \dots = b_{-2} = 0, \quad b_{-1} = 1, \quad b_0 = 3, \quad b_1 = 1, \quad b_2 = \dots = b_9 = 0,$$

$$a_{-9} \dots = a_{-2} = 0, \quad a_{-1} = -1, \quad a_0 = a_1 = a_2 = a_3 = 1, \quad a_4 = \dots = a_9 = 0.$$

These matrices do not commute. Nevertheless, not only

$$W(B^{-1/2}AB^{-1/2}) \subset W(A)/W(B),$$

but also the following inclusion occurs:

$$W(B^{-1}A) \subset W(A)/W(B).$$

The boundaries of these sets are represented in Figure 1. There is no simple inclusion relation between  $W(B^{-1}A)$  and  $W(B^{-1/2}AB^{-1/2})$ .

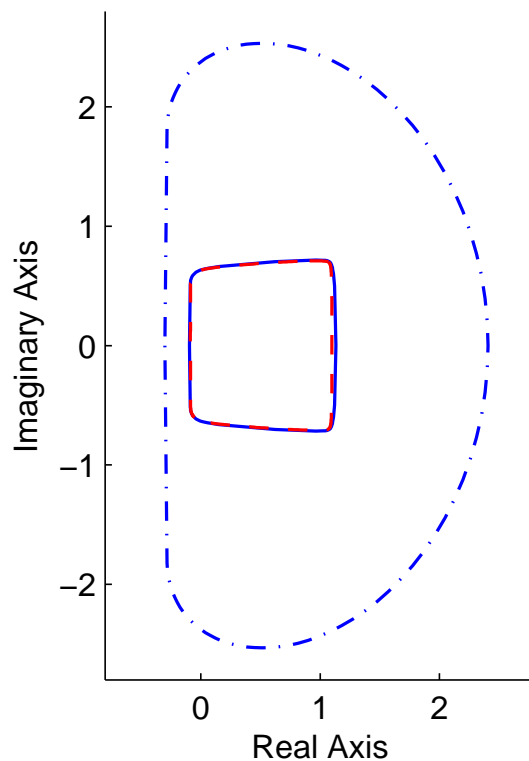


FIGURE 1. Boundaries of:  $W(B^{-1}A)$  (full line),  $W(B^{-1/2}AB^{-1/2})$  (dashed line) and  $W(A)/W(B)$  (dot-dashed line), for Example 2.1.

Nevertheless, simple examples in the  $2 \times 2$  case show that the inclusion

$$W(AB) \subseteq W(A)W(B)$$

does not hold in general. Even  $W(A^2)$  and  $W(A)^2$  are not easily related. For instance, let  $A = \text{diag}(1, i)$ , so that  $W(A) = [1, i]$  and  $W(A^2) = [-1, 1]$ . A simple computation shows that  $W(A)^2 = \{z_1 z_2 : z_1, z_2 \in W(A)\}$  is the region bounded by the line segments  $y = 1 - x$ ,  $0 \leq x \leq 1$ ,  $y = 1 + x$ ,  $-1 \leq x \leq 0$  and the arc of parabola  $y = (1 - x^2)/2$ ,  $-1 \leq x \leq 1$ . Thus,  $W(A^2) \not\subseteq W(A)^2$ . However,  $W(A^2) \subset \text{conv}(W(A)^2)$  and so  $\overline{\sigma(A^2)} \subseteq \overline{W(A)^2}$ .

If  $A, B \in B(H)$ , and  $0 \notin \overline{W(B)}$ , then  $B$  is invertible and by (1.1) and (2.1) we clearly have (cf. [4, Theorem 2.4 – 1])

$$\sigma(B^{-1}A) \subseteq \overline{W(A)/W(B)}.$$

As a consequence, if  $B$  is positive definite, then  $0 \notin \overline{W(B)}$  and

$$\sigma(BA) = \sigma((B^{-1})^{-1}A) \subseteq \overline{W(B)} \overline{W(A)},$$

for any  $A$ .

When  $A \in B(H)$  is normal and  $k$  is a positive integer, then  $\overline{W(A^k)}$  is the convex hull of the spectrum of  $A^k$ ,

$$\overline{W(A^k)} = \text{conv}(\sigma(A^k)),$$

which is a subset of the convex hull of the set

$$\overline{W(A)}^k = \{\eta_1 \cdots \eta_k : \eta_1, \dots, \eta_k \in \overline{W(A)}\}.$$

That is, the following inclusion holds,

$$\overline{W(A^k)} \subseteq \text{conv} \overline{W(A)}^k, \quad k \in \mathbb{Z}^+.$$

This result may not be valid for a non-normal operator even in the  $2 \times 2$  case, as the following example shows.

**Example 2.2.** Let

$$A = \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}.$$

We find that

$$\partial W(A) = \{2 \cos(\theta) e^{i\theta} : 0 \leq \theta < \pi\},$$

so that

$$(W(A))^2 = \bigcup_{\theta=0}^{\pi} 2 \cos \theta e^{i\theta} W(A).$$

We are lead to consider the family of circles

$$\{4 \cos \theta \cos \theta' e^{i(\theta+\theta')}, \quad \theta, \theta' \in [0, \pi]\},$$

whose envelope is the curve

$$x^2 + y^2 - 2x - 2\sqrt{x^2 + y^2} = 0.$$

In Figure 2, the boundaries of  $W(A)$  and  $W(A^2)$  are represented, while  $(W(A))^2$  is the cardioid. It can be easily confirmed that  $W(A^2) \not\subseteq \text{conv}(W(A))^2$ .

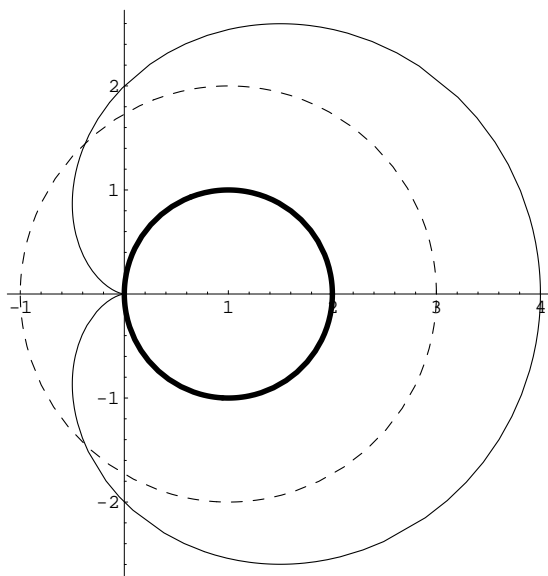


FIGURE 2. Boundaries of  $W(A)$  (full line),  $W(A^2)$  (dashed line) and  $(W(A))^2$  (thin line), the cardioid, for Example 2.2.

### 3. RESULTS FOR LAURENT OPERATORS

Let  $\phi$  be a bounded measurable function on the unit circle  $\Gamma$ . The multiplication induced by  $\phi$  on the Lebesgue space  $L^2$  (with respect to the normalized Lebesgue measure),

$$L_\phi f = \phi f, \quad \forall f \in L^2,$$

is called the *Laurent operator* induced by  $\phi$  or the Laurent operator with *symbol*  $\phi$  (for further information, see, for example, [5]). The matrix of  $L_\phi$  with respect to the standard orthonormal basis in  $L^2$ ,  $e_n(z) = z^n$ ,  $n = 0, \pm 1, \pm 2, \dots$ , is a Laurent matrix, that is, a bilaterally infinite matrix  $[a_{ij}]_{-\infty}^{+\infty}$ , all of whose diagonals parallel to the main diagonal are constant and

$$a_{ij} = \alpha_{i-j}, \quad i, j = 0, \pm 1, \pm 2, \dots$$

Further,  $\phi = \sum_{n=-\infty}^{+\infty} \alpha_n e_n$  is the Fourier expansion of  $\phi$ .

The product of two Laurent operators,  $L_\phi$ ,  $L_\psi$ , with symbols  $\phi$ ,  $\psi$ , is still a Laurent operator with symbol  $\phi\psi$ , denoted by  $L_{\phi\psi}$ . Thus, Laurent operators always commute. The Laurent operator compressed to  $H^2$  (the *Hardy subspace* of  $L^2$ ) is the *Toeplitz operator* with symbol  $\phi$ ,

$$T_\phi f = P(\phi f), \quad \forall f \in H^2,$$

where  $P$  is the projection operator from  $L^2$  onto  $H^2$ . The linear map  $\phi \rightarrow T_\phi$  of functions such that the  $n$ th Fourier coefficient  $\hat{f}(n) = 0$ , for every  $n < 0$ , is not in general multiplicative. The Brown-Halmos Theorem [5] states that  $T_f T_g = T_{fg}$

if and only if  $f^*$  (or  $g$ ) is in  $H^\infty$ , or, equivalently, the matrix of  $T_g$  ( $T_f$ ) in the standard orthonormal basis is an infinite lower (upper) triangular matrix.

The field of values of a Toeplitz operator  $T_\phi$  was characterized in [6]. Namely, it was shown that  $W(T_\phi)$  is the relative interior of the convex hull of  $\sigma(T_\phi)$  (for an extension of this result, see [1]). On the other hand, by Brown-Halmos theorem the latter set coincides with the convex hull of the *essential range*  $\mathcal{R}(\phi)$  of  $\phi$ , which consists of every  $z$  such that the pre-image of any neighborhood of  $z$  under  $\phi$  has a positive measure.

As shown in the following result,  $W(L_\phi)$  cannot be completely characterized in terms of  $\mathcal{R}(\phi)$ , but its closure still can. The proof is inspired on the one of Klein's Theorem.

**Theorem 3.1.** *Let  $L_\phi$  be a Laurent operator. The closure of the set  $W(L_\phi)$  coincides with  $\text{conv } \sigma(L_\phi)$  and with the convex hull of*

$$\mathcal{R}(\phi). \quad (3.1)$$

*Proof.* For  $L_\phi, L_\psi$  Laurent operators, we observe that  $L_\phi + L_\psi$  is also a Laurent operator. For any  $\Phi \in \mathbb{C}$ , it is clear that  $L_\phi - \Phi I = L_{(\phi - \Phi)}$ . It follows that  $L_\phi - \Phi I$  is not invertible if and only if  $\Phi \in \mathcal{R}(\phi)$ , i.e.,  $\sigma(L_\phi) = \mathcal{R}(\phi)$ . Next, we compare the closure of  $W(L_\phi)$  with the convex hull of (3.1). Any point of  $W(L_\phi)$  is, by definition, of the form

$$\int_{\Gamma} x^*(t)\phi(t)x(t) dt, \quad (3.2)$$

where  $x$  is a unit vector in  $L^2$ . Approximating  $x$  and  $\phi$  by functions with finitely many values and keeping the values  $\Phi_j$  of the approximation of  $\phi$  in the essential range of  $\phi$ , we conclude that this approximation is a convex combination of  $\Phi_j$ . Since

$$\Phi_j \in \mathcal{R}(\phi),$$

their convex combinations are in the convex hull of  $\mathcal{R}(\phi)$ . Considering that convex hulls of compact sets in  $\mathbb{R}$  are compact, the integral (3.2) itself lies there. Thus,

$$\overline{W(L_\phi)} \subseteq \text{conv } \{\mathcal{R}(\phi)\}.$$

To prove the converse inclusion, we just need to show that any  $\Phi \in \mathcal{R}(\phi)$ , lies in the closure of  $W(L_\phi)$ , since the latter is convex. To this end, let

$$x_s(t) = \begin{cases} 1 & \text{if } |\Phi - \phi(t)| < s, \\ 0 & \text{otherwise.} \end{cases}$$

Normalizing this function in  $L^2$  (due to the definition of the essential range we can do so, because it differs from zero on a set with positive measure for any  $s > 0$ ), and letting  $s \rightarrow 0$ , we conclude that the corresponding points in  $W(L_\phi)$  converge to  $\Phi$ .  $\square$

If  $L_\phi$  is *selfadjoint*, it is a direct consequence of Theorem 3.1 that  $\overline{W(L_\phi)}$  is a line segment, whose endpoints are

$$\sup \mathcal{R}(\phi) = \sup\{z : z \in \mathcal{R}(\phi)\}$$

and

$$\inf \mathcal{R}(\phi) = \inf \{z : z \in \mathcal{R}(\phi)\}.$$

Further,  $\overline{W(L_\phi)} = \text{conv}\sigma(L_\phi)$ .

**Corollary 3.2.** *For  $L_\phi, L_\psi$ , Laurent operators,*

$$\text{conv}\sigma(L_\phi L_\psi) = \overline{W(L_\phi L_\psi)} \subseteq \overline{\text{conv}W(L_\phi)} \overline{W(L_\psi)}.$$

*Proof.* We have

$$\text{conv}\sigma(L_\phi L_\psi) = \text{conv}\sigma(L_{\phi\psi}) = \text{conv}\mathcal{R}(\phi\psi).$$

Clearly

$$\mathcal{R}(\phi\psi) \subseteq \mathcal{R}(\phi)\mathcal{R}(\psi).$$

Moreover,

$$\mathcal{R}(\phi) \subseteq \overline{W(L_\phi)}, \quad \mathcal{R}(\psi) \subseteq \overline{W(L_\psi)},$$

so that

$$\mathcal{R}(\phi\psi) \subseteq \overline{\text{conv}W(L_\phi)} \overline{W(L_\psi)}.$$

□

**Corollary 3.3.** *For  $L_\phi, L_\psi$ , both selfadjoint Laurent operators,*

$$\text{conv}\sigma(L_\phi L_\psi) = \overline{W(L_\phi L_\psi)} \subseteq \overline{W(L_\phi)} \overline{W(L_\psi)}.$$

*Proof.* Since for  $S \subseteq \mathbb{R}$  and  $T \subseteq \mathbb{R}$  convex sets,  $ST$  is clearly convex, the result follows from Corollary 3.2, due to the convexity of the field of values. □

The next corollary is related to [7, Proposition 2.1]. Its proof requires the following lemma.

**Lemma 3.4.** *Let  $S \subseteq \mathbb{R}^+$  and  $T$  be convex sets. Then  $ST$  is convex.*

*Proof.* For  $x_S, y_S \in S$ , and  $x_T, y_T \in T$ , we show that  $rx_S x_T + (1-r)y_S y_T \in ST$ ,  $0 \leq r \leq 1$ . Indeed, let  $q = rx_S / (rx_S + (1-r)y_S)$ , and so  $(1-q) = (1-r)y_S / (rx_S + (1-r)y_S)$ . Clearly,  $((rx_S + (1-r)y_S))(qx_T + (1-q)y_T) = rx_S x_T + (1-r)y_S y_T \in ST$ . □

**Corollary 3.5.** *For  $L_\phi, L_\psi$ , Laurent operators, with  $L_\phi$  positive definite,*

$$\text{conv}\sigma(L_\phi L_\psi) = \overline{W(L_\phi L_\psi)} \subseteq \overline{W(L_\phi)} \overline{W(L_\psi)}.$$

*Proof.* The result follows from Theorem 3.1 and Lemma 3.4. □

The following example illustrates Corollary 3.5.

**Example 3.6.** Consider the bilaterally infinite matrices  $A = [a_{ij}]_{-\infty}^{+\infty}$  and  $B = [b_{ij}]_{-\infty}^{+\infty}$ , such that  $a_{ij} = a_{i-j}$ ,  $b_{ij} = b_{i-j}$ , with

$$\dots = b_{-3} = b_{-2} = 0, \quad b_{-1} = 1, \quad b_0 = 3, \quad b_1 = 1, \quad b_2 = b_3 = \dots = 0,$$

$$\dots = a_{-3} = a_{-2} = 0, \quad a_{-1} = -1, \quad a_0 = a_1 = a_2 = a_3 = 1, \quad a_4 = a_5 = \dots = 0.$$

These matrices represent Laurent operators in the standard orthonormal basis in  $L^2$ . The symbols of  $A$  and  $B$  are

$$\phi_A(e^{i\theta}) = 1 + 2i \sin \theta + e^{5i\theta/2}(2 \cos(\theta/2)), \quad \phi_B(e^{i\theta}) = 3 + 2 \cos \theta, \quad 0 \leq \theta \leq 2\pi$$

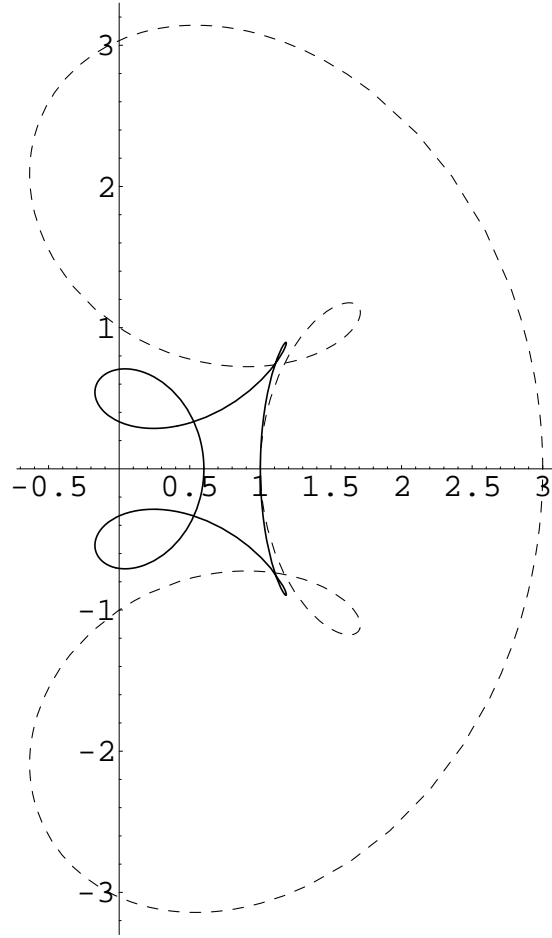


FIGURE 3. The ranges of the symbols of  $B^{-1}A$  (full line) and of  $A$  (dashed line) (Example 3.6).

In Figure 3, the ranges of the symbols of  $B^{-1}A$  (full line) and of  $A$  (dashed line) are represented. Since  $W(B^{-1}) = [1/5, 1]$ , and, in this case,  $W(B^{-1}) \subset W(A)$ , we have  $W(B^{-1})W(A) = W(A)$ . Thus,  $\text{conv}\sigma(B^{-1}A) = W(B^{-1}A) \subset W(B^{-1})W(A) = W(A)$ .

Corollary 3.5 may not hold if  $L_\phi$  is not positive definite selfadjoint. Consider, as an example, the Laurent operator such that for  $t \in \Gamma$ ,  $\phi(t) = (1+i)/2 + (1-i)t(t+\bar{t})/4$ , so that  $\mathcal{R}(\phi) = \overline{W(L_\phi)} = [1, i]$ . We have,  $\mathcal{R}(\phi^2) = \{x + i(1-x^2)/2 : -1 \leq x \leq 1\}$ , and so

$$\overline{W(L_\phi^2)} = \overline{W(L_{\phi^2})} = \text{conv}\{x + i(1-x^2)/2 : -1 \leq x \leq 1\}.$$

Since  $(\overline{W(L_\phi)})^2$  is the region bounded by the line segments  $y = 1-x$ ,  $0 \leq x \leq 1$ ,  $y = 1+x$ ,  $-1 \leq x \leq 0$ , and the arc of parabola  $y = (1-x^2)/2$ ,  $-1 \leq x \leq 1$ , it follows that  $\overline{W(L_\phi^2)} \not\subseteq (\overline{W(L_\phi)})^2$ . Nevertheless,  $\overline{W(L_\phi^2)} \subseteq \text{conv}(\overline{W(L_\phi)})^2$ , and



this inclusion occurs in general, as stated below.

**Corollary 3.7.** *For  $L_\phi$  a Laurent operator,*

$$\overline{W(L_\phi^k)} = \text{conv } \sigma(L_\phi^k) = \text{conv } \mathcal{R}(\phi^k) \subseteq \text{conv } \overline{W(L_\phi)^k}, \quad k \in \mathbb{Z}^+. \quad (3.3)$$

*Proof.* It is a simple consequence of Corollary 3.2.  $\square$

**Corollary 3.8.** *If  $L_\phi$  is a positive definite selfadjoint operator, then  $\overline{W(L_\phi^{-1})} = \overline{W(L_\phi)}^{-1} = \text{conv}\{z^{-1} : z \in W(L_\phi)\}$ .*

*Proof.* If  $\phi$  is a bounded measurable function on  $\Gamma$ , then so is  $\phi^{-1}$  and, henceforth,  $L_\phi^{-1} = L_{\phi^{-1}}$ . Now, the result easily follows from Theorem 3.1.  $\square$

We observe that, if  $L_\phi$  is not positive definite, the equality  $\overline{W(L_\phi^{-1})} = \overline{W(L_\phi)}^{-1}$  may not hold. As an example, consider the Laurent operator such that  $\mathcal{R}(\phi) = [-1, 1]$ . Then,  $\overline{W(L_\phi^{-1})} = [-1, 1]$ , while  $\overline{W(L_\phi)}^{-1} = ]-\infty, -1] \cup [1, +\infty[$ .

Suppose  $0 \notin \mathcal{R}(\phi)$ , so that  $L_\phi$  is invertible. Since  $\overline{W(L_\phi)} = \text{conv}\mathcal{R}(\phi)$ , then

$$\overline{W(L_\phi^{-1})} = \text{conv } \mathcal{R}(\phi^{-1}) = \text{conv } \sigma(L_{\phi^{-1}}).$$

Thus, Corollary 3.7 holds for negative integers  $k$  as well.

We recall that the *numerical radius* of an operator  $A$  is defined by

$$w(A) = \sup\{|z| : z \in W(A)\}.$$

The famous *power inequality* for the numerical radius states that  $w(A^m) \leq w(A)^m$ , for any positive integer  $m$  (an elementary proof of this result is given in [8]). For Laurent operators we have:

**Corollary 3.9.** *The numerical radius of a Laurent operator  $L_\phi$  coincides with  $\sup|\phi| = (\sup|\phi|^2)^{1/2} = (\sup\{z : z \in \mathcal{R}(|\phi|^2)\})^{1/2}$ . Further,  $w(L_\phi^m) = w(L_\phi)^m$ , for any positive integer  $m$ .*

*Proof.* The first part of the corollary is a direct consequence of Theorem 3.1. The second part is a trivial consequence of the fact that  $|\phi^m| = |\phi|^m$ ,  $m \in \mathbb{Z}^+$ .  $\square$

Suppose  $L_\phi$  is invertible and  $p$  is a positive integer. The following inequality clearly holds

$$w(L_\phi^{-1}) \geq (w(L_\phi))^{-1},$$

and so

$$w(L_\phi^{-p}) \geq w(L_\phi)^{-p}.$$

Equality occurs if  $L_\phi$  is a non-zero multiple of a unitary operator, and conversely (cf.[3, Theorem 3.9]).

**Corollary 3.10.** *If  $L_\phi$  is a unitary Laurent operator, then  $w(L_\phi) = w(L_\phi^{-1}) = 1$  and conversely.*

The *Crawford number* of a Laurent operator  $L_\phi$  such that  $0 \notin \text{conv } \mathcal{R}(\phi)$ , is, by definition,

$$c(L_\phi) = \inf\{|z| : z \in \text{conv}\mathcal{R}(\phi)\}$$

Suppose  $L_\phi$  is invertible,  $0 \notin \text{conv } \mathcal{R}(\phi)$ , and so  $c(L_\phi) \leq \inf\{z : z \in \mathcal{R}(|\phi|)\}$ . The following question arises: does the following inequality hold

$$c(L_\phi^{-1}) \leq (c(L_\phi))^{-1} ?$$

In the case of an affirmative answer and for  $p$  a positive integer, then

$$c(L_\phi^{-p}) \leq c(L_\phi)^{-p}.$$

*Remark 3.11.* As previously mentioned, a necessary and sufficient condition for the product  $T_f T_g$  of two Toeplitz operators being a Toeplitz operator is that both operators are represented in the standard orthonormal basis by infinite upper (or lower) triangular Toeplitz matrices. Further, under this condition,  $T_f T_g = T_{fg}$  [5, p. 138] and Corollaries 3.3, 3.2, 3.7, 3.9 are easily seen to be valid for the operators of this class.

**Example 3.12.** Let  $L_\phi$  be the Laurent operator with symbol  $\phi$  such that

$$\mathcal{R}(\phi) = \{1 + e^{i\theta} : 0 \leq \theta \leq 2\pi\}. \quad (3.4)$$

Then  $\sigma(L_\phi^2)$  is the region whose boundary is the cardioid,

$$x^2 + y^2 - 2x - 2\sqrt{x^2 + y^2} = 0.$$

Moreover, the convex hull of the cardioid is also the boundary of  $\overline{W(L_\phi)^2}$ . Thus,  $\overline{W(L_\phi^2)} = \text{conv}\overline{W(L_\phi)^2}$ .

The Toeplitz operator with symbol (3.4) behaves precisely in the same way.

#### 4. LAURENT OPERATORS WITH MATRIX SYMBOL

In this section we shall be concerned with Laurent operators with matrix symbol. For this purpose, we introduce some additional notation. We denote by  $L_n^2$  the linear space of column vectors  $f$  of length  $n$  –  $f = (f_j(e^{it}))_1^n$  – for

$$f_j : \Gamma \rightarrow \mathbb{C}, \quad \int_0^{2\pi} |f_j(e^{it})|^2 dt = \sum_{k=-\infty}^{+\infty} |f_{jk}|^2 < \infty,$$

being

$$f_{jk} = \frac{1}{2\pi} \int_0^{2\pi} f_j(e^{it}) e^{-ikt} dt.$$

We also consider the usual Hardy space  $H_n^2$  of all functions  $f \in L_n^2$  whose Fourier transform vanishes on the negative integers

$$H_n^2 = \{f \in L_n^2 : (f_{jk})_1^n = 0, k \in \mathbb{Z}^-\}.$$

Let  $L_{n \times n}^\infty$  be the algebra of the  $n \times n$  matrices whose entries are measurable and essentially bounded functions on  $\Gamma$ . If  $n = 1$ , this set is simply denoted by

$L^\infty$ . Let us consider the matrix function  $a$

$$a = (a_{jk}(t))_{j,k=1}^n \in L_{n \times n}^\infty, \quad a_{jk} : \Gamma \rightarrow L^\infty, \quad t \rightarrow a_{jk}(t) = \sum_{l=-\infty}^{l=+\infty} e^{ilt} a_{jk}^{(l)},$$

where

$$a_{jk}^{(l)} := \frac{1}{2\pi} \int_0^{2\pi} a_{jk}(t) e^{-ilt} dt.$$

The *multiplication operator* by  $a$  on  $L_n^2$  is given by

$$M(a) : L_n^2 \rightarrow L_n^2, \quad (f_k)_{k=1}^n \rightarrow \left( \sum_{j=1}^n a_{kj} f_j \right)_{k=1}^n,$$

By definition, the Laurent operator with matrix symbol  $a$  coincides with  $M(a)$ ,

$$L_a := M(a).$$

Denote by  $P$  the *projection operator* on the space  $L_n^2$  defined as

$$P : L_n^2 \rightarrow H_n^2, \quad P \left( \sum_{k=-\infty}^{+\infty} g_k e^{ikt} \right) = \sum_{k=0}^{+\infty} g_k e^{ikt},$$

and by  $T_a$  the respective Toeplitz operator on  $H_n^2$

$$T_a : H_n^2 \rightarrow H_n^2, \quad T_a := PM(a)P.$$

In [1], the following result has been proved.

**Theorem 4.1.** *The closure of the sets  $W(L_a)$  and  $\text{conv}\sigma(L_a)$  are the same and coincide with the convex hull of*

$$\{W(A) : A \in \mathcal{R}(a)\}.$$

We notice that in Theorem 4.1,  $A$  and  $L_a$  are operators acting on different Hilbert spaces, respectively,  $\mathbb{C}^n$  and  $L^n$ . As an illustrative example, we consider  $n = 2$ , so that

$$a = \begin{bmatrix} a_{11}(t) & a_{12}(t) \\ a_{21}(t) & a_{22}(t) \end{bmatrix}, \quad 0 \leq t < 2\pi,$$

and so

$$W(L_a) = \text{conv} \bigcup_{t=0}^{2\pi} W \left( \begin{bmatrix} a_{11}(t) & a_{12}(t) \\ a_{21}(t) & a_{22}(t) \end{bmatrix} \right).$$

We say that the symbol  $a$  is normal if  $[a(e^{it}), (a(e^{it}))^*] = 0$ , for any  $e^{it} \in \Gamma$  and we say that the symbols  $a, b$  commute if  $[a(e^{it}), b(e^{it})] = 0$ , for any  $e^{it} \in \Gamma$ . As a consequence of Theorem 4.1, it can be easily seen that the results in Section 2 are valid for Laurent operators with matrix symbols. Corollaries 3.2 and 3.9 are easily adapted in terms of the following formulations

**Corollary 4.2.** *Let  $L_a$  and  $L_b$  be Laurent operators with matrix symbols  $a$  and  $b$  which are normal and commute. Then*

$$\overline{W(L_a L_b)} \subseteq \text{conv} \overline{W(L_a)} \overline{W(L_b)}.$$

**Corollary 4.3.** *Let  $L_a$  be a Laurent operator with matrix symbol  $a$  which is normal. Then the numerical radius of  $L_a$  coincides with  $\sup\{|z| : z \in \sigma(a(e^{it})), e^{it} \in \Gamma\}$ .*

**Corollary 4.4.** *Let  $L_a$  and  $L_b$  be Laurent operators such that the matrix symbol  $a$  is normal and commutes with  $b$ . Then*

$$w(L_a L_b) \leq w(L_a)w(L_b).$$

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