



## Studies on the toxicity of an aqueous suspension of C<sub>60</sub> nanoparticles using a bacterium (*gen. Bacillus*) and an aquatic plant (*Lemna gibba*) as *in vitro* model systems



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### ABSTRACT

The increasing use of C<sub>60</sub> nanoparticles and the diversity of their applications in industry and medicine has led to their production in a large scale. C<sub>60</sub> release into wastewaters and the possible accumulation in the environment has raised concerns about their ecotoxicological impact. In the present study, an aqueous suspension of C<sub>60</sub> nanoparticles was prepared and its potential toxicity studied in laboratory, using a bacterium (*Bacillus stearothermophilus*) and an aquatic plant (*Lemna gibba*) as model systems. C<sub>60</sub> nanoparticles inhibited the growth of *L. gibba*, in contrast to that of the bacterium. Consistently, the ultrastructure and respiratory activity of bacterial cells were not affected by C<sub>60</sub>, but the contents of chlorophylls a and b and chloroplast oxygen production decreased considerably in *L. gibba*. Altogether, our results suggest that C<sub>60</sub> aqueous dispersions must be viewed as an environmental pollutant, potentially endangering the equilibrium of aquatic ecosystems.

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### 1. Introduction

Fullerenes are molecular cages containing from 28 to more than 100 carbon atoms, which correspond to a nano-sized group of carbon allotropes with large surface area and high reactivity (Kroto et al., 1985). The distinctive physicochemical properties of fullerenes have allowed the development of products with unprecedented characteristics and applications in many fields of human activity, thus having tremendous economic impacts (Bakry et al., 2007; Bosi et al., 2003; Yadav and Kumar, 2008). C<sub>60</sub>, the most representative among fullerenes (Kroto et al., 1985), and its water-soluble derivatives are produced in a large scale due to their multiple applications in material science and biomedicine (Bakry et al., 2007; Benn et al., 2011; Bosi et al., 2003; Markovic and Trajkovic, 2008; Partha and Conyers, 2009). The increased likelihood of C<sub>60</sub> direct release into wastewaters and its accumulation

in the environment (Benn et al., 2011; Gottschalk et al., 2009) has raised concern about its health and ecological effects (Owen and Handy, 2007). Toxicological studies have shown that C<sub>60</sub> nanoparticles are able to induce toxicity linked with oxidative stress in several cell lines in culture and whole animal systems (Oberdörster, 2004; Sayes et al., 2005; Usenko et al., 2008). C<sub>60</sub> molecules absorb in the UV and visible range of light spectrum and, in the presence of molecular oxygen, can generate ROS, particularly singlet oxygen and superoxide anion (Brunet et al., 2009; Guldi and Asmus, 1999; Hotze et al., 2008; Pickering and Wiesner, 2005). Therefore, when C<sub>60</sub> is released into the environment and activated by sunlight, inducing the production of ROS, it may endanger live organisms due to the reaction of ROS with proteins, nucleic acids and the double bonds of membrane phospholipid hydrocarbon chains, which will lead to downstream detrimental effects, such as protein and DNA adduction, lipid peroxidation, membrane rupture and, eventually, cell death (Halliwell and Gutteridge, 2007). However, the molecular mechanisms underlying the toxicity of nano-C<sub>60</sub> water suspensions remain a controversial issue (Spohn et al., 2009). On the other hand, the toxicity of C<sub>60</sub> nanoparticle dispersions toward live organisms has shown high variability, depending on the methods used to prepare C<sub>60</sub> suspensions (see Shinohara et al., 2008 and references therein).

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The present work aims to further clarify the toxicological activity of C<sub>60</sub> fullerene in aqueous dispersions devoid of residual organic solvents and to determine the influence of light on the susceptibility of biological systems to C<sub>60</sub> nanoparticles. With this purpose, toxicological assays were performed using a microorganism-model (*Bacillus stearothermophilus*) and an aquatic photosynthetic organism (*Lemna gibba*), both of which have shown to be good tools to study the toxicity of a variety of pollutants (Perreault et al., 2010; Pereira et al., 2009; Cayuela et al., 2007; Monteiro et al., 2005; Lewis, 1995). Considering these two aerobic organisms, we hypothesize that the light-dependent metabolism of *L. gibba* would make it more susceptible to the toxic effects of C<sub>60</sub> fullerene. In this context, the following strategy was established: (a) to prepare a stable aqueous suspension of C<sub>60</sub> devoid of tetrahydrofuran; (b) to test its safety or toxicity taking as physiological endpoints the bacterial growth and respiration and *L. gibba* growth, chloroplast oxygen production and chlorophyll content.

The results obtained in the present study, concerning the effects of C<sub>60</sub> on the two biological models at the level of growth and metabolic processes, namely respiratory activity in *B. stearothermophilus* and photosynthesis in *L. gibba*, suggest that the environmental impact exerted by C<sub>60</sub> nanoparticles might be closely related to their photoactivation and that the organisms mostly affected in ecosystems might be those which depend on light as energy source.

## 2. Materials and methods

### 2.1. Chemicals

C<sub>60</sub> and the other chemicals used in the present work were obtained from Sigma Chemical Company (St. Louis, MO, USA) and were of highest commercially available quality.

### 2.2. Preparation of an aqueous suspension of C<sub>60</sub> nanoparticles

C<sub>60</sub> aqueous suspensions were prepared transferring fullerene nanoparticles from toluene solution into the aqueous phase using ultrasonic treatment, as described elsewhere (Andrievsky et al., 2002) with some modifications. Briefly, 4 mg of solid C<sub>60</sub> were added to 2 mL of toluene and stirred for several minutes to yield a purple solution. This solution was then added to 100 mL of deionized ultrapure water and stirred vigorously to promote formation of an emulsion of toluene in water. The emulsion was sonicated (50 W, 40 KHz) for 24 h in water bath to remove toluene by evaporation and to promote the transfer of the C<sub>60</sub> nanoparticles into the aqueous phase. The mixture was filtered under reduced pressure through a cellulose nitrate filter with a pore size of 450 nm to remove the solid material and agglomerates of particles with an average size above 450 nm. The filtered colloidal suspension was transferred to a round bottom flask and approximately half the solvent evaporated in a rotatory evaporator, at 50 °C, to concentrate the sample and remove any traces of toluene. Residual toluene amounts in C<sub>60</sub> aqueous suspensions were evaluated by GC/MS after headspace solid-phase microextraction (SPME) between the fullerene and carboxen-polydimethylsiloxane fibers, using 30 min of equilibrium at 40 °C (Lee et al., 2007).

### 2.3. Determination of the concentration of C<sub>60</sub> nanoparticles

The concentration of C<sub>60</sub> nanoparticles in the aqueous suspension was determined by UV-visible spectrophotometry. The spectrum in the range of 200–700 nm was recorded with 1 nm resolution, using a Lambda 45 UV/visible spectrophotometer (Perkin Elmer). The C<sub>60</sub> molar absorption coefficient ( $\epsilon$ ) at 343 nm of

68,000 dm<sup>3</sup>/mol/cm was used for calculating C<sub>60</sub> concentration in the aqueous suspension (Andrievsky et al., 2002).

### 2.4. Determination of the size and Zeta potential of C<sub>60</sub> nanoparticles

The size distribution and Zeta potential of C<sub>60</sub> nanoparticles in the aqueous suspension were determined with a Zeta Plus analyzer (Brookhaven). The average diameter of C<sub>60</sub> aqueous suspension was determined by dynamic light scattering (DLS) at 25 °C, using a helium-neon laser wavelength of 658 nm and a detector angle of 90°. The Zeta potential measurements were performed at 25 °C and pH 7 measuring electrophoretic mobility using phase analysis light scattering, as described by Brant et al. (2005).

### 2.5. Bacterial strain and growth conditions

The strain of *B. stearothermophilus* and the conditions for its maintenance and growth have been described previously (Jurado et al., 1987). *B. stearothermophilus* was grown at 65 °C in 250 mL Erlenmeyer flasks containing 50 mL of L-Broth medium, in a New Brunswick water bath shaker, at 100 rpm. Aliquots of a C<sub>60</sub> aqueous suspension (from a few  $\mu$ L to 5.0 mL) were added to 50 mL of growth medium before inoculation in order to obtain concentrations ranging from 2 to 15 mg/L, and water was added to make a final volume of 55 mL to all the flasks. Flasks containing 50 mL of growth medium and 5 mL of water, without addition of C<sub>60</sub> nanoparticles, were prepared for control cultures. A bacterial suspension in the logarithmic growth phase was used to inoculate fresh media with or without C<sub>60</sub> nanoparticles, in order to obtain an initial optical density at 610 nm of 0.03, which corresponds to a cell density of  $1 \times 10^6 \pm 0.05 \times 10^6$  viable cells (bacterial colony-forming unit counts) per milliliter of growth medium. The bacterial growth was measured by turbidimetry at 610 nm in a Jenway 6405 spectrophotometer. Aliquots of the growth medium not inoculated with cells, containing 0–15 mg/L of C<sub>60</sub> and exposed to the conditions (65 °C and 100 rpm) at which bacteria were grown, were used as blanks, their optical density at 610 nm being measured at each time-point. However, the optical density of blanks, at this wavelength, was always very low at our experimental conditions.

### 2.6. Bacterial cell ultrastructure

*B. stearothermophilus* cultures grown in L-Broth in the absence (control) or in the presence of C<sub>60</sub> nanoparticles (15 mg/L) were harvested in the logarithmic growth phase and twenty milliliters of culture suspension was mixed with two milliliters of glutaraldehyde 25%. Then, cell suspensions were centrifuged at 10,000 g for 5 min at 4 °C in a refrigerated centrifuge (Sorvall, Thermo Scientific) and the supernatant was neglected. The cells were further resuspended in glutaraldehyde 2.5% in 0.1 M cacodylate buffer, pH 7.0. After 4 h of incubation, the cells were washed twice with 50 mL of cacodylate buffer supplemented with 10 mL CaCl<sub>2</sub> (pH 6.4) and fixed at room temperature, for 2 h, in 1% buffered osmium tetroxide (OsO<sub>4</sub>) (Silva and Macedo, 1983). Following fixation, the samples were dehydrated with a graded ethanol series and embedded in Spurr. Ultrathin sections were cut with an ultramicrotome LKB Ultratome NOVA equipped with a diamond knife, and conventionally stained with uranyl acetate and lead citrate. Observations were made with a JEOL JEM-100SX at 80 kV.

### 2.7. Measurement of oxygen consumption rate in bacterial protoplasts

Oxygen consumption was measured in protoplasts of *B. stearothermophilus* obtained from cells of cultures grown as

mentioned above, under control conditions (without  $C_{60}$  nanoparticles added) and harvested in the middle of the exponential growth phase. The preparation of protoplast suspension by cell incubation with lysozyme was previously described (Donato et al., 1997). Protoplast protein content was determined by the Biuret method and oxygen consumption was monitored polarographically with a Clark oxygen electrode (Estabrook, 1967) in a thermostated water-jacketed closed chamber containing 1 mL of reaction medium (40 mM Hepes–Tris, 10 mM  $MgCl_2$ , pH 7.5) with magnetic stirring, at 40 °C. Protoplasts (0.1 mg protein) were incubated 5 min in the reaction medium containing  $C_{60}$  nanoparticles in the concentration range of 10–200  $\mu g/mg$  protein or without  $C_{60}$  added (control). The effect of  $C_{60}$  on protoplast oxygen consumption was evaluated using NADH (5 mM) as respiratory substrate.

## 2.8. Cultures of *L. gibba*

*L. gibba* plants were collected from an artificial pond in the Portuguese Vila Real de Trás-os-Montes e Alto Douro University campus and placed in an aquarium containing 5 L of half-strength Hutner's medium (Brain and Solomon, 2007). The aquarium was placed in a culture growth chamber (Conviron mod. E7/2) at  $20 \pm 2$  °C and under 50  $\mu mol/m^2/s$  photosynthetically active radiation (PAR) provided by a set of cool white fluorescent Osram Sylvania tube lamps. The light/dark cycle was adjusted to 16 h/8 h and the cultures were subcultured twice a week (Juhel et al., 2011). Plants were maintained in culture for eight weeks prior to be used in toxicological assays.

## 2.9. Exposure of *L. gibba* to $C_{60}$ nanoparticles and growth evaluation

The effects of increasing concentrations of  $C_{60}$  nanoparticles on *L. gibba* cultures at the exponential phase, after seven days of growth, were assessed considering the frond number and the fresh weight, according to standard guideline 221 (OECD, 2002). Thirty *L. gibba* fronds were gently placed in crystallizing cups containing 15 mL of fresh growth medium and aliquots of  $C_{60}$  nanoparticles (from a few  $\mu L$  to 1.1 mL) were added from a concentrated aqueous suspension, in order to obtain concentrations ranging from 1 to 10 mg  $C_{60}/L$ . Plants were incubated at  $20 \pm 2$  °C under a photosynthetically active radiation (50  $\mu mol/m^2/s$ ), for 7 days in a culture growth chamber (Conviron mod. E7/2). The relative growth rate (RGR) was calculated according to the following equation:  $RGR = (\ln x_2 - \ln x_1) / (t_2 - t_1)$ , where  $x_1$  and  $x_2$  are the frond number at time  $t_1$  (0 days) and  $t_2$  (7 days), respectively (Kufel et al., 2012).

## 2.10. Chlorophyll extraction and quantification

Chlorophyll content of *L. gibba* exposed during 7 days to different concentrations of  $C_{60}$  nanoparticles were also evaluated. Plants of each crystallizing cups were homogenized in 5 mL of 80% (v/v) acetone solution with a Potter homogenizer. The homogenates were maintained overnight in a freezer and then filtered. The optical density of chlorophyll extracts was measured at 663 and 646 nm in a spectrophotometer (Bio-Varian 100) and the concentrations of chlorophyll a (Chl a), chlorophyll b (Chl b) and total chlorophyll were calculated by the following equations:  $Chl\ a\ (\mu g/mL) = 12.21A_{663} - 2.81A_{646}$  and  $Chl\ b\ (\mu g/mL) = 20.13A_{646} - 5.03A_{663}$ ; total chlorophyll = Chl a + Chl b (Lichtenthaler and Wellburn, 1983). All values were normalized with respect to plant fresh weight.

## 2.11. Chloroplast isolation

*L. gibba* chloroplasts were isolated as described by Babu et al. (2001) with minor modifications. Approximately 35 g of *L. gibba* plants in isolation buffer (345 mM sorbitol, 5 mM  $MgCl_2$ , 1 g polyclar, 4 mM tricine-NaOH, pH 8) were homogenized with a blender homogenizer (sterilmixer 12, poliBrand), for 4 pulses of 5 s, at 4 °C. The homogenate was filtered through eight layers of cheesecloth (Calbiochem, La Jolla, CA, USA) and the filtrate was centrifuged at 1500 g (Sigma 2K) for 5 min at 4 °C. The supernatant was rejected and the pellet resuspended in 25 mL of isolation buffer and centrifuged at 1500 g for 5 min at 4 °C. The pellet corresponding to the isolated chloroplasts was resuspended in 1 mL of isolation buffer and stored at 4 °C in the dark. 30  $\mu L$  of butylated hydroxytoluene (BHT) was added to the isolated chloroplasts to avoid peroxidation of membranes lipids. The final concentration of chloroplasts was evaluated in terms of total chlorophyll (Chl a + Chl b) content, measuring the absorbance at 663 and 646 nm in a spectrophotometer (Bio-Varian 100), as mentioned above.

## 2.12. Measurement of oxygen production rate in *L. gibba* chloroplasts

The photosynthetic activity of isolated chloroplasts was evaluated by measuring the oxygen production using a Clark-type oxygen electrode (Hansatech, Norfolk, UK) coupled to a computer, as previously described by Babu et al. (2001) with some modifications. A volume of the chloroplast fraction corresponding to 75  $\mu g$  of total chlorophylls (Chl a + Chl b) was placed in a thermostated water-jacketed glass chamber with magnetic stirring at 20 °C, containing 2 mL of standard reaction medium (345 mM sorbitol, 2 mM  $MgCl_2$ , 2 mM tricine-NaOH pH 8.4) supplemented with 1  $\mu M$  sodium azide and 1.25  $\mu M$  methyl viologen ( $MV^{2+}$ ). After a dark pre-incubation for 2 min, the reaction was initiated by switching on the light source (1000  $\mu mol/m^2/s$  photosynthetically active radiation) and oxygen production was followed continuously. After 2 min, light was turned off, to confirm that oxygen changes were strictly light dependent, and switched on again to add a specific inhibitor of photosynthesis (3-(3,4-dichlorophenyl)-1,1-dimethylurea; DCMU 5.0  $\mu M$ ), in order to guarantee that oxygen changes were due to chloroplast photosynthesis activity. Aliquots from a concentrated aqueous suspension of  $C_{60}$  nanoparticles were added to 2 mL standard reaction medium supplemented with chloroplasts, to obtain fullerene concentrations ranging 1–10 mg/L. The preparations were incubated for 2 min in the dark, before starting the photosynthetic activity by switching on the light source. Data acquisition and treatment (chloroplast oxygen production rates) were carried out using an appropriate software (Oxylab ver 1.15 Hansatech, Norfolk, UK).

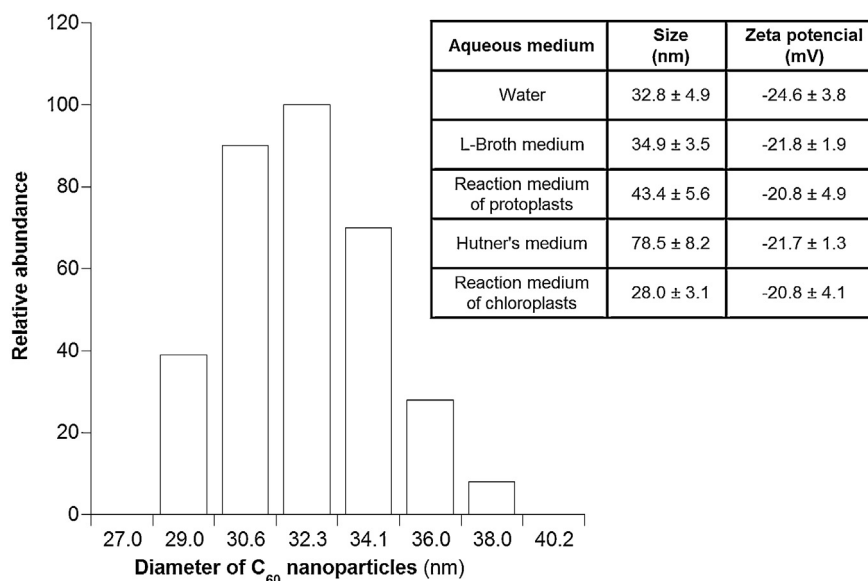
## 2.13. Statistical analysis

All the values were expressed as mean  $\pm$  standard deviation of at least three independent experiments. The values were statistically compared through one-way ANOVA with the Student–Newman–Keuls as post-test. Statistical significance was set at  $p < 0.05$ .

## 3. Results

### 3.1. Characterization of $C_{60}$ aqueous suspensions

The size distribution of  $C_{60}$  nanoparticles in aqueous suspensions, evaluated by dynamic light scattering analysis, is shown in Fig. 1. In water suspensions,  $C_{60}$  nanoparticles exhibited a size ranging from 29 to 38 nm, with a predominant population with an



**Fig. 1.** Number-weighted size distribution of  $C_{60}$  nanoparticles in aqueous suspensions determined by Dynamic Light Scattering. A table with size and Zeta potential acquired by the nanoparticles in different aqueous media is represented as an inset.

average diameter of 32.3 nm. Additionally,  $C_{60}$  nanoparticles displayed a negative surface charge (Zeta potential of  $-24.6 \pm 3.8$  mV), which was not affected by the composition of the growth or reaction media, as shown in the inset of Fig. 1. However, regarding the size distribution of  $C_{60}$  nanoparticles, the Hutner's medium (used for Lemna growth) promoted larger clusters ( $78.5 \pm 8.2$  nm), reflecting the role of the high ionic strength in the agglomeration process of nanoparticles. Noteworthy, the size values of  $C_{60}$  nanoparticles indicated in the inset of Fig. 1 were reproduced in aqueous suspensions at the maximal fullerene concentration used in growth assays (10 and 15 mg/L), under stirring, over the time of the respective experiments.

### 3.2. Effects of $C_{60}$ on the growth of *B. stearothermophilus*

Fig. 2 shows the effects of increasing concentrations of  $C_{60}$  on the growth of *B. stearothermophilus* in L-Broth medium at 65 °C (optimal temperature). The addition of  $C_{60}$  in the concentration range of 2–15 mg/L did not exert appreciable effects on bacterial growth (Fig. 2A), although a significant decrease (about 6%) in the maximal cell density reached at the stationary phase was noticed in the presence of  $C_{60}$  at the concentration of 15 mg/L (Fig. 2A and B). The lag phase length (Fig. 2A) and the specific growth rate (Fig. 2A and B) were not affected by  $C_{60}$  nanoparticles, at the concentrations assayed. Noteworthy, at these  $C_{60}$  concentrations, no agglomeration was noticed till the end of the experiment.

### 3.3. Effect of $C_{60}$ on the ultrastructure of *B. stearothermophilus*

The morphology of *B. stearothermophilus* cells (rod-shaped), analyzed by TEM (Fig. 3), showed no alterations when harvested from cultures grown without (control) or with 15 mg/L of  $C_{60}$  nanoparticles at the logarithmic growth phase (60 min of growth). In both conditions, the cytoplasm appeared finely granular with evenly distributed ribosomes, and the plasma membrane densely stained, with the typical asymmetric geometry.

### 3.4. Effect of $C_{60}$ on the oxygen consumption of *B. stearothermophilus* protoplasts

The effects of  $C_{60}$  on the oxygen consumption rate of bacterial protoplasts are shown in Fig. 4. The advantage of using protoplasts (cells devoid of cell wall) instead of whole cells in this study resides in the easier accessibility of the compounds under study ( $C_{60}$  nanoparticles) and the respiratory substrates (NADH) to the bacterial respiratory system localized in the cytoplasmic membrane. On the other hand, toxicological studies using protoplasts of this bacterium have provided data comparable to those obtained with rat liver mitochondria (Donato et al., 1997; Pereira et al., 2009).

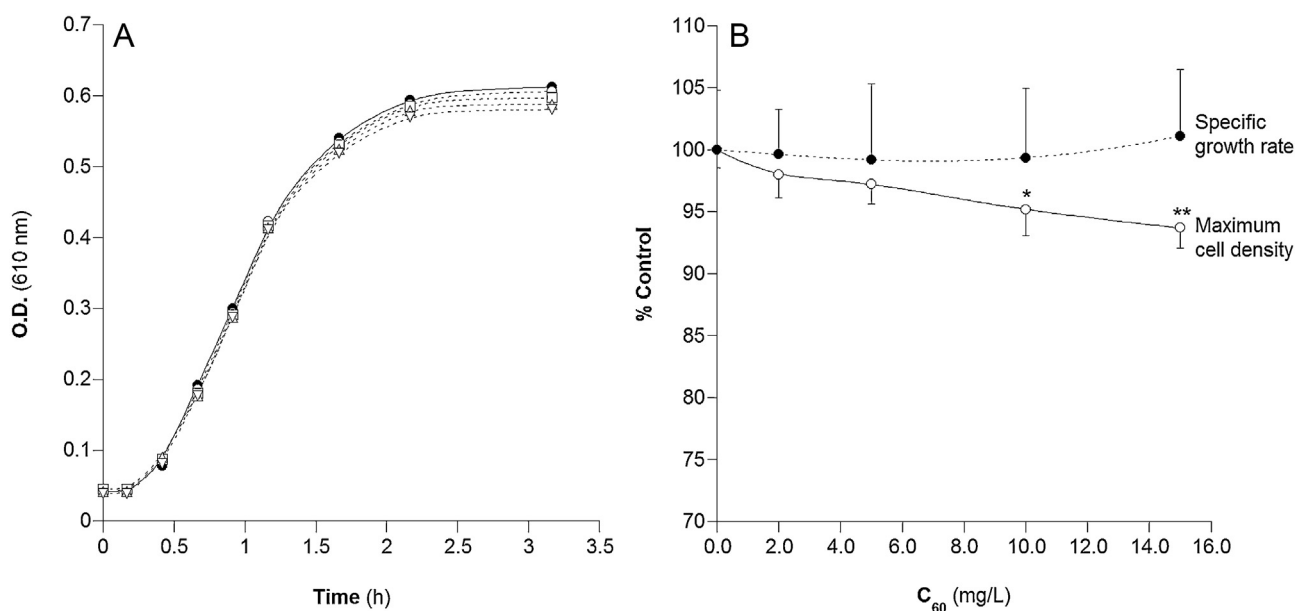
Protoplasts, prepared from cells of *B. stearothermophilus* grown in the basal medium and incubated in the presence of  $C_{60}$  nanoparticles in the concentration range of 10–200  $\mu\text{g}/\text{mg}$  of protein showed a respiratory activity supported by NADH very similar to that of protoplasts to which  $C_{60}$  nanoparticles were not added (control).

### 3.5. Effect of $C_{60}$ on *L. gibba* growth rate and chlorophyll content

*L. gibba* growth in the absence and in the presence of increasing  $C_{60}$  nanoparticles concentrations (1–10 mg/L) was assessed after a period of seven days to evaluate the effects of fullerene nanoparticles on vegetative proliferation. As shown in Fig. 5A, *L. gibba* growth rate, assessed by counting the frond number, decreased progressively with increasing concentrations of  $C_{60}$  nanoparticles. The impact of aquatic plant exposure to fullerene on chlorophyll contents was also evaluated in order to correlate the inhibition of plant vegetative proliferation with the functional state of chloroplasts, which is highly dependent on chlorophyll content and thylakoid membrane organization. Fig. 5B shows that  $C_{60}$  nanoparticles significantly decreased both chlorophyll a (Chl a) and chlorophyll b (Chl b) contents in *L. gibba*, reaching a maximal effect at a concentration of 2 mg/L.

### 3.6. Effects of $C_{60}$ on oxygen production rate of *L. gibba* chloroplasts

The functional impact of  $C_{60}$  nanoparticles on photosynthetic activity of *L. gibba* was investigated by following their effect on



**Fig. 2.** Effects of  $C_{60}$  nanoparticles on the growth of *B. stearotherophilus*, at  $65^{\circ}\text{C}$  (optimal growth temperature). Cells were grown in L-Broth medium in the absence (●), or in the presence of 2 mg/L (○), 5 mg/L (□), 10 mg/L (△) and 15 mg/L (▽) of  $C_{60}$  nanoparticles. (A) Growth curves obtained by measuring the optical density (O.D.) of bacterial cultures in liquid medium, at 610 nm. The results shown are typical of three independent experiments. (B) Specific growth rates and maximal cell densities reached in the stationary phase of cultures of *B. stearotherophilus* grown in media containing different concentrations of  $C_{60}$ . Data are expressed as percentage of those obtained with a control culture (grown in the absence of  $C_{60}$  nanoparticles). All results are presented as mean  $\pm$  standard deviation of at least three independent experiments. Data comparisons were performed between cultures treated with  $C_{60}$  nanoparticles and the control culture, using one-way ANOVA with the Student–Newman–Keuls as post-test ( $p < 0.05$ ; \*\* $p < 0.01$ ).

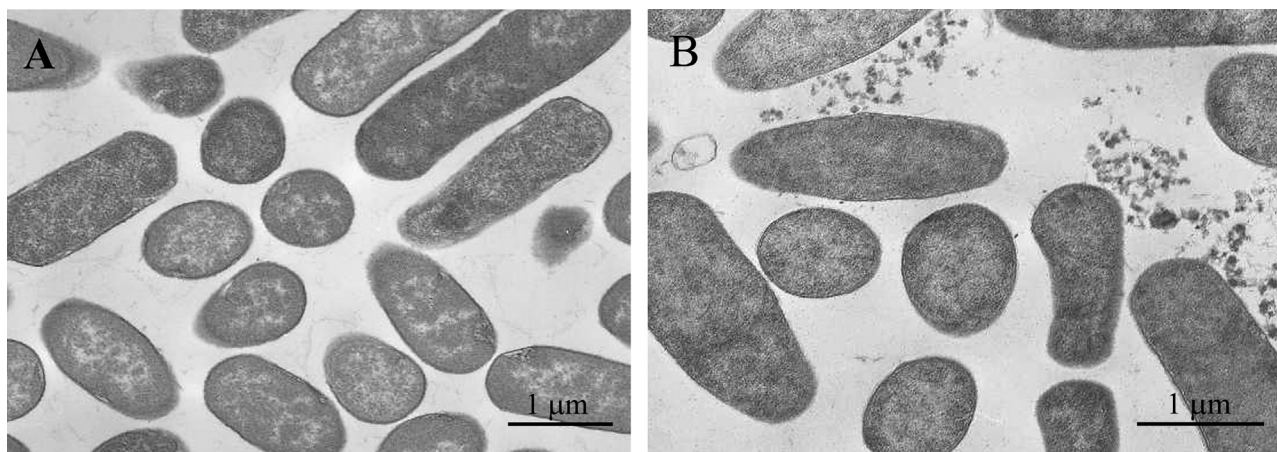
oxygen production rate of isolated chloroplasts. In these assays, triggered by light, molecules of  $\text{H}_2\text{O}$  acted as electron donors to the photosynthetic electron transport chain and  $\text{MV}^{2+}$  was used as the final electron acceptor, which delivered stoichiometrically the electrons to  $\text{O}_2$ . Therefore, the chloroplast photosynthetic activity was assessed recording the oxygen decrease in the reaction chamber (Babu et al., 2001). A progressive decrease in oxygen production rate was noticed with increasing  $C_{60}$  concentrations up to 15 mg/L (Fig. 6), denoting an inhibition of chloroplast activity.

#### 4. Discussion

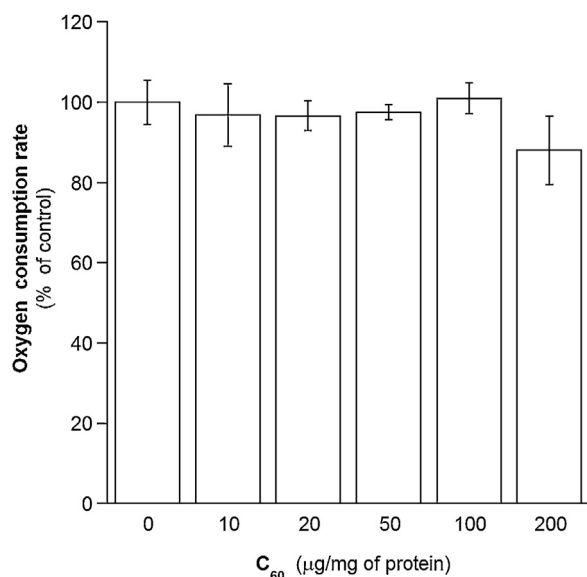
The paucity of toxicological data on carbon nanoparticles makes it difficult to forecast the risks arising from exposure to those nano-materials, whose widespread application may lead to an extensive

environmental contamination. Several evidences of the negative impact of fullerenes on soil microorganisms (Johansen et al., 2008) and aquatic organisms, such as *Daphnia* and fish (Lovren and Klaper, 2006; Zhu et al., 2006), can be found in literature. However, the absence of adverse effects has also been reported for soil microbial communities (Tong et al., 2007).

Since  $C_{60}$  nanoparticles are very hydrophobic, their incorporation within the hydrophobic core of lipid bilayer may be anticipated. In fact, computer simulation studies (Chang and Violi, 2006) have proposed this location for  $C_{60}$  nanoparticles and predicted a perturbation of membrane lipid packing with eventual destabilization of the bilayer. However, studies conducted by Aquino et al. (2010) in *Escherichia coli* did not support  $C_{60}$ -induced disruption of the cell membrane, although inhibition of bacterial growth and reduction of cell viability had been observed in the

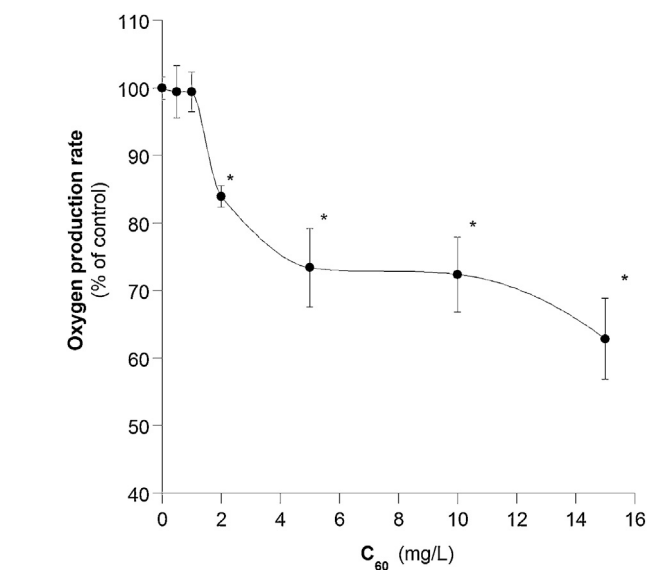
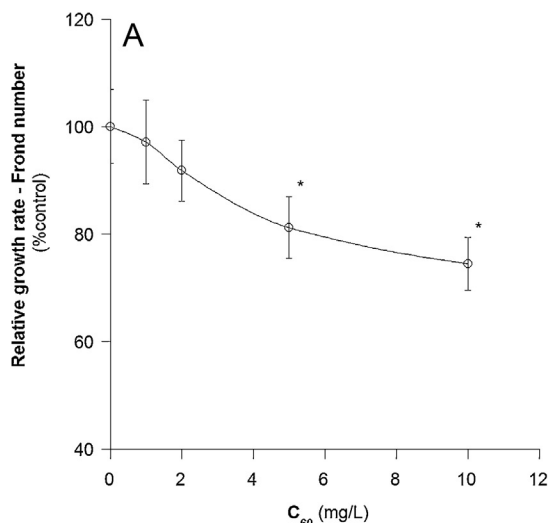


**Fig. 3.** Transmission electron micrographs of *B. stearotherophilus* cells from cultures grown without (control) (A) or with 15 mg/L of  $C_{60}$  (B), at the exponential phase of growth (after 60 min of growth). Typical populations of bacteria, with a densely stained plasma membrane, were observed in both treated and non-treated cultures, indicating that  $C_{60}$  nanoparticles, denoted in the micrograph as diffuse aggregates, exerted no apparent adverse effects on bacterial cells.



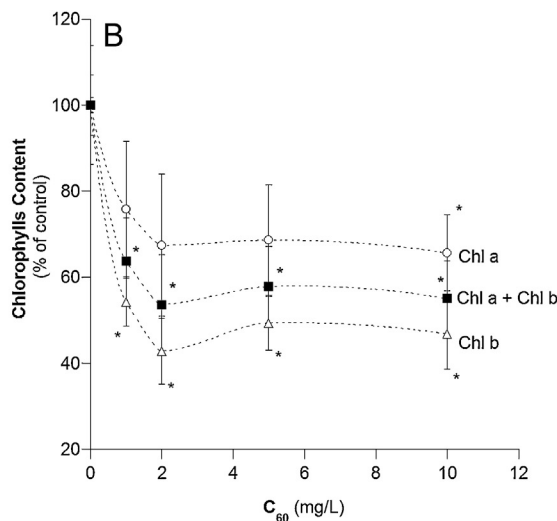
**Fig. 4.** Effects of C<sub>60</sub> nanoparticles on the oxygen consumption rate of *B. stearothermophilus* protoplasts using NADH as substrate (5 mM). The oxygen consumption rate of protoplasts in the absence of C<sub>60</sub> nanoparticles (control) was  $233.8 \pm 12.8$  nmol O<sub>2</sub>/min/mg protein. All results are means  $\pm$  standard deviation from three independent experiments.

presence of those nanoparticles. On the other hand, assays *in vitro* showed that a C<sub>60</sub> nanoparticle suspension induced lipid peroxidation in the brain tissue of the common carp *Cyprinus carpio* under artificial light, but not in the dark condition (Shinohara et al., 2008). Therefore, in a toxicological context, the light seems to be an essential factor to be taken into account in studies aiming at understanding or predicting the biological effects of fullerenes. In fact, the photoactivation of C<sub>60</sub> with UV/visible light, leading to its transition to a long-lived triplet excited state (Prat et al., 1999), fosters the formation of highly reactive singlet oxygen (<sup>1</sup>O<sub>2</sub>), as a consequence of the transfer of energy to molecular oxygen (Hirsch and Brettreich, 2005). The interactions of this and other ROS with biological targets have been evoked to explain the adverse effects of those nanoparticles mediated by oxidative stress. Thus, the pro-oxidant properties of C<sub>60</sub> aqueous suspensions would make them



**Fig. 6.** Effects of C<sub>60</sub> nanoparticles on the oxygen production rate of chloroplasts. Chloroplasts were isolated from *L. gibba*, and in the absence of C<sub>60</sub> nanoparticles (control) the oxygen production rate was  $77.3 \pm 1.3$  µmol O<sub>2</sub>/mg of Chl (a + b)/h. All the results represent the mean  $\pm$  standard deviation of three independent experiments and comparisons relative to the control were performed using one-way ANOVA with the Student–Newman–Keuls as post-test (\**p* < 0.05).

a new type of dangerous chemical pollutant endangering a variety of organisms (Ferreira et al., 2012; Oberdörster, 2004). However, some controversy exists as to whether water-soluble nano-C<sub>60</sub> is the primary material responsible for those pro-oxidant effects or if residual organic solvents (namely THF), used in some methods for preparation of C<sub>60</sub> aqueous suspensions, are the actual inducers of the oxidative stress reported for C<sub>60</sub> suspensions (Spohn et al., 2009). In fact, THF-nC<sub>60</sub> (an aqueous C<sub>60</sub> preparation obtained by using THF as solvent in a first step) has shown to be more toxic in bacteria, daphnia and fish than a nanoC<sub>60</sub> suspension prepared without the presence of organic solvents (Fortner et al., 2005; Henry et al., 2007; Lovorn and Klaper, 2006; Zhu et al., 2006). Therefore, in the present study, special attention has been paid to the preparation of the aqueous suspension of C<sub>60</sub>, in which we rejected the use



**Fig. 5.** Relative growth rate (A) and relative chlorophyll content (B) of *L. gibba* exposed for 7 days to increasing concentrations of C<sub>60</sub> nanoparticles. The relative growth rate (RGR) was calculated as described in Material and Methods. Data are expressed as percentage of control (*L. gibba* grown in the absence of C<sub>60</sub> nanoparticles). All results represent the mean  $\pm$  standard deviation of three independent experiments and comparisons relative to the control were performed using one-way ANOVA with the Student–Newman–Keuls as post-test (\**p* < 0.05).

of tetrahydrofuran (THF). Additionally, toluene was not detected by GC/MS after headspace SPME, indicating that the concentration of this solvent in our C<sub>60</sub> aqueous suspensions was lower than 0.1 ng/mL (low detection limit obtained with toluene standard solutions).

In order to evaluate the environmental impact of water-soluble C<sub>60</sub>, a bacterium (*B. stearothermophilus*) and an aquatic plant (*L. gibba*) were selected as model systems of typical members (a prokaryotic and an eukaryotic) of the lowest ecosystem trophic levels, which play a key role in nutrient cycling, thus underpinning ecosystem activity. *B. stearothermophilus* is a thermophilic Gram-positive eubacterium, which has shown to be sensitive to a wide range of pollutants assayed in our laboratory (Donato et al., 1997; Monteiro et al., 2005; Pereira et al., 2009). It has the advantage of being an easily handled microorganism, exhibiting a high specific growth rate in liquid cultures at high temperatures, which exclude eventual contaminations by other microorganisms. Moreover, this bacterium has shown high sensitivity to membrane-active compounds (Donato et al., 2000), among which hydrophobic C<sub>60</sub> nanoparticles might be included, as previously suggested (Chang and Violi, 2006). Thus, studies in our laboratory have shown that alterations of membrane physical properties induced by lipophilic molecules result in inhibition of growth and impairment of the respiratory activity of *B. stearothermophilus* (Donato et al., 1997; Monteiro et al., 2005; Pereira et al., 2009). *L. gibba* is a sensitive aquatic plant often used in ecotoxicological studies due to their small size, simple structure, ease of handling and culture, high rate of growth and high sensitivity to a great variety of pollutants (Cayuela et al., 2007; Perreault et al., 2010). The evaluation of the inhibition of *Lemna* sp. growth in the presence of environmental pollutants has been considered a standard toxicity test by the United States Environmental Protection Agency (EPA, 1996). Growth inhibition in these organisms (Cayuela et al., 2007; Donato et al., 1997; Lewis, 1995; Monteiro et al., 2005; Pereira et al., 2009) as well as perturbations of vital functions, such as respiratory activity (Donato et al., 1997; Monteiro et al., 2005; Pereira et al., 2009) and photosynthesis (Babu et al., 2001; Huang et al., 1997; Perreault et al., 2010) have been revealed as good indicators of cytotoxicity. In the present work, limited effects were exerted by C<sub>60</sub> nanoparticles on *B. stearothermophilus* growth at concentrations up to 15 mg/L. This discrepancy relative to other studies on microorganisms, such as that of Aquino et al. (2010) in *E. coli*, should be assigned to different C<sub>60</sub> aqueous suspensions. In fact, those authors used THF in the C<sub>60</sub> preparation method and we did not.

The morphology of *B. stearothermophilus* cells was also preserved at the maximal concentration of C<sub>60</sub> nanoparticles assayed, as observed by electron microscopy (Fig. 3). Micrographs clearly show a very uniform population of rod-shaped cells, similar to those of the control culture. Although some fullerene agglomerates can be seen outside the cells in the fixed material, probably as a consequence of the centrifugation performed for cell sample preparation for TEM, no agglomeration was noticed in the Erlenmeyer flasks over the time of bacterial growth experiments, discarding the hypothesis that the absence of C<sub>60</sub> effects was due to C<sub>60</sub> precipitation.

As expected, C<sub>60</sub> nanoparticles did not affect the NADH-supported respiratory activity of protoplasts, at concentrations up to 200 µg/mg of protein. However, *L. gibba* showed a decrease in growth by 25% after 7 days of exposure to the maximal C<sub>60</sub> nanoparticles concentration assayed (10 mg/L), which seemed to be mechanistically related to the inhibition of photosynthesis, as assessed by measuring the oxygen production. Chloroplasts play crucial functions in plants, providing them with nutrients and energy for growth. These organelles contain specific pigments (such as chlorophylls and carotenoids) and an electron transfer chain

for performing photosynthesis (Berg et al., 2002). The chlorophyll decay in plants exposed to fullerene constituted a significant physiological alteration, which was noticeable earlier than *L. gibba* growth perturbations. These data suggest that *in vivo* fullerene toxicity detected by growth inhibition could result from the impairment of chloroplast functionality, which might, in turn, have been a consequence of a direct action of C<sub>60</sub> nanoparticles at the level of photosystem II (Hill reactions) or of perturbations in electron transfer between photosynthetic electron transport chain complexes. On the other hand, the more pronounced decrease of Chl b relative to Chl a in the presence of C<sub>60</sub> (Fig. 5) should have led to an anomalous Chl a/Chl b ratio, which might result in damage in structural organization of light-harvesting complex (Dewez et al., 2003). The contents of Chl a and Chl b in *L. gibba* chloroplasts showed a maximal decrease (30 and 60%, respectively) in the presence of 2 mg/L of C<sub>60</sub>. At this low concentration, the oxygen production by PSII was reduced in 15%, but a higher decrease (until 35%) occurred with C<sub>60</sub> concentrations up to 15 mg/L. The apparent discrepancy between C<sub>60</sub> concentrations that induced maximal decrease of chlorophyll content (*in vivo* studies) and maximal reduction of oxygen production (*in vitro* studies) suggests that, at concentrations above 2 mg/L, the progressive decrease of oxygen production rather than reflecting a direct impairing of the photosynthetic system might result from a concomitant oxidative process associated with ROS generation, putatively contributing to the dysfunction of photosystem II. This would result in additional inhibition of vegetative proliferation, consistent with the fact that C<sub>60</sub> nanoparticles decreased oxygen production and inhibited growth of *L. gibba* in the same concentration range. However, due to the sorption ability of C<sub>60</sub> nanoparticles, toward organic as well as inorganic molecules, C<sub>60</sub>-induced growth inhibition of *L. gibba* could also reflect the decrease of micronutrients availability, as has been previously proposed to explain first-growing bacteria inhibition detected in soil microbial communities after C<sub>60</sub> incorporation (Johansen et al., 2008).

Altogether, our results show that C<sub>60</sub> nanoparticles at concentrations up to 10 mg/L exert severe toxic effects on *L. gibba*, but slight or null effects on *B. stearothermophilus* metabolism and growth. Taking into account that the organisms used in this study are models of representative elements of ecosystems putatively affected by residual waters containing fullerene nanoparticles, and that those organisms play an important role in nutrient cycling in nature, the fate of C<sub>60</sub> nanoparticles in the environment arises as an important issue to deserve further investigation.

## 5. Conclusion

The results presented here suggest that *L. gibba* is more susceptible to the effects of C<sub>60</sub> nanoparticles than *Bacillus stearothermophilus*. C<sub>60</sub> nanoparticles affected the photosynthetic activity of *L. gibba* and inhibited its growth. Considering that *L. gibba* is a primary producer in aquatic food chains, the contamination of natural environment with C<sub>60</sub> nanoparticles may have serious implications in the equilibrium of aquatic ecosystems.

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