Chapter 8 Therapeutic Approaches for Stroke: A Biomaterials Perspective

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Abstract Stroke is a leading cause of death worldwide and poses significant 6 societal and healthcare challenges due to functional impairment of the brain. In 7 order to fully restore brain function, innovative approaches have aimed to regenerate 8 the injured tissue and to restore neuronal circuitry. In the last 5 years, stem cells 9 have been consistently explored in clinical trials for tissue regeneration. Recent 10 technological progress regarding the use of stem cell-derived extracellular vesicles 11 has also shown promise toward the administration of cell-based therapies exploiting 12 paracrine signaling. In addition, neuromodulation using different stimulation modal- 13 ities has become increasingly investigated in the clinic as a non-invasive strategy 14 to promote functional recovery. This approach contrasts with invasive strategies 15 using devices capable of delivering electrical pulses in deep regions of the brain, 16 which nonetheless are well-established in the clinic for the treatment of other 17 neurological disorders. This chapter reviews the latest approaches covering brain 18 tissue regeneration and neuromodulation, and discusses their limitations for clinical 19 translation. Preclinical investigations on the use of light for neuromodulation in 20 optogenetics have sparked the development of biocompatible interfaces capable of 21 coupling optical stimulation with electrical recording. These biointerfaces require 22 novel materials whose physicochemical properties are discussed herein. 23

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© The Author(s), under exclusive license to Springer Nature Switzerland AG 2021 E. López-Dolado, M. Concepción Serrano (eds.), *Engineering Biomaterials for Neural Applications*, https://doi.org/10.1007/978-3-030-81400-7_8 **Keywords** Biointerfaces · Cell-based therapies · Electrical stimulation · Neuromodulation · Optogenetics · Stem cells · Stroke · Tissue regeneration

8.1 Introduction

Stroke is the second leading cause of death worldwide and it is characterized 27 by neurological impairment caused by vascular failure, which deprives focal 28 areas of the central nervous system (CNS) from oxygen and nutrients supplied 29 via the bloodstream (Fig. 8.1) [1]. Stroke encompasses clinical events, primarily 30 occurring in arteries, which are triggered by different vascular pathologies: ischemic 31 stroke, intracerebral hemorrhage, subarachnoid hemorrhage, and cerebral venous 32 thrombosis [2]. On the one hand, ischemic stroke is the clinical consequence of 33 local obstructions in the brain vasculature by blood clots, resulting in extensive 34 cell death in the ischemic area [1]. On the other hand, hemorrhagic stroke results 35 from a rupture of a weakened intracranial blood vessel, which can be caused by 36 high blood pressure, amyloid angiopathy, coagulopathies, or a structural blood 37 vessel abnormality (e.g. aneurysm, arteriovenous malformation, neoplasm) [2]. 38 These etiological features underlying hemorrhagic stroke require immediate action 39 to control blood pressure and, in certain cases, the administration of procoagulant 40 agents and/or surgery to drain intracranial blood. In contrast, the most effective 41 strategy to treat ischemic stroke is simply removing the blockage to the blood flow, 42 either by intravenously administered drugs or endovascular mechanical therapy 43 [2, 3]. Compared to hemorrhagic stroke, the variety of treatments for ischemic 44 stroke has increased the chances of survival by 5-fold, saving every year the lives 45 of around 80 million people worldwide [1]. However, current clinical practice 46 has not evolved in the management of long-term associated morbidities [4]. It 47 has primarily focused on the patients' behavioral changes to prevent relapses by 48 adopting correct occupational habits such as a poor diet, physical inactivity, and 49 smoking. These have been associated with metabolic and cardiovascular risk factors 50 including high blood pressure and cholesterol levels in the blood, as well as cardiac 51 arrhythmia and diabetes [1]. In addition, focused physical therapy has enabled 52 functional rehabilitation of muscle movement and mobility, albeit with limited 53 recovery, especially from other common impairments such as speech, language, 54 vision, swallowing, and cognition [5]. 55

Although these efforts have reconfigured neuronal networks disrupted by extensive brain damage, they are insufficient to fully restore function. In this context, 57 biomaterials have assisted the development of advanced therapies such as electrical 58 stimulation and cell-based therapies, which have been employed to remodel neural 59 circuitry and to trigger regeneration of the affected brain tissue. The present chapter 60 describes the existing state-of-the-art for the treatment of stroke and some of the 61 most recent innovations in cell-based therapies and neuromodulation using light 62 and electricity, whose combination is anticipated to be of clinical relevance in the 63 near future. Stroke therapies have mostly relied on non-invasive strategies such 64



Fig. 8.1 Stroke etiology and prevalence. (a) Schematic representation of the main stroke subtypes, which can be classified by the deprivation of brain regions from access to oxygen and nutrients due to either the disruption (hemorrhagic) or occlusion (ischemic) of blood vessels. Adapted from images from Servier Medical Art by Servier (http://smart.servier.com), licensed under a Creative Commons Attribution 3.0 Unported License. (b) Although the overall number of stroke events has decreased in recent years, more than 13 million events were registered in 2016. Hemorrhagic stroke was less frequent than ischemic stroke, and it can be characterized by its onset in the brain or the subarachnoid space [1]. Contrarily, ischemic stroke is most frequently triggered by the rupture of atherosclerotic plaques from major vessels (i.e. large-vessel atherosclerosis, ATH) [6, 7]. Another frequent subtype of ischemic stroke is cardioembolism, which consists of the release of blood clots or atherosclerotic plaques accumulating in the cardiac tissue. Other ischemic stroke subtypes include small vessel occlusion triggered in patients suffering from hypertension or diabetes and rare events caused by non-atherosclerotic pathologies or other unknown factors [6, 7]

as transcranial stimulation of the brain and the administration of medicines to 65 minimize tissue damage. Invasive neuromodulation techniques such as deep brain 66 stimulation are highly effective in remodeling neural circuitry; albeit still generate 67 long-term complications resulting from poor device biocompatibility. We propose 68 the development of novel devices with biodegradable materials and minimally 69 invasive implantation strategies to expand the therapeutic possibilities for stroke. 70 The use of biomaterials to modulate cell activity will be discussed, with particular 71 emphasis on material properties leading to improved biocompatibility and electrical 72 conductivity. 73

8.2 Therapeutic Approaches to Stroke

8.2.1 Stroke Epidemiology and Pathophysiology

A variety of etiological mechanisms may trigger an ischemic stroke. The TOAST 76 study has classified ischemic stroke based on the following causes: large artery 77 atherosclerosis, cardioembolism, small vessel occlusion, stroke of other determined 78 etiology, and stroke of undetermined etiology [6, 7]. Knowledge of these mech- 79 anisms for each patient is crucial to adjust secondary prevention with tailored 80 therapies. Clinically, there are some noticeable symptoms associated with stroke, 81 ranging from a minor central facial palsy to an acute coma. Other symptoms 82 include numbness in one side of the body, difficulty understanding other people, 83 difficulty in seeing with one or both eyes, gait problems and discoordination, 84 dizziness/vertigo, and severe headache. These symptoms correspond to a cerebral 85 loss of function of sudden onset, whose severity depends on the anatomy of the 86 occluded/ruptured artery and collateral systems, as well as the patient's age and 87 gender, and the presence of comorbidities [8]. The common triad of face drooping, 88 arm/leg weakness, and speech difficulties (FAST acronym) should warrant an 89 immediate call for help through pre-hospital emergency systems, as response time 90 is critical at this stage [9]. Indeed, determining etiology and location of the infarct 91 and rapidly restoring an adequate systemic blood pressure and irrigation will dictate 92 the final infarct size and subsequent neurological consequences [8, 10]. 93

Histologically, stroke is characterized by an ischemic core surrounded by a ⁹⁴ "penumbra" region, which can be monitored using non-invasive imaging techniques ⁹⁵ such as computerized tomography (CT) or magnetic resonance imaging (MRI) ⁹⁶ [2, 7]. Although imaging tools are a valuable asset to identify anatomical regions ⁹⁷ that are damaged during and after stroke, the quality of patient recovery requires ⁹⁸ specific functional predictors to guide rehabilitation. Clinical management of stroke ⁹⁹ has relied on biomarkers for the molecular processes taking place in the brain, ¹⁰⁰ including inflammation, hemostasis, and cell death [11]. At the ischemic core, where ¹⁰¹ blood flow is most severely restricted, excitotoxic and necrotic cell death occurs ¹⁰² within minutes due to oxygen and glucose deprivation, which causes glutamate ¹⁰³

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Fig. 8.2 Ischemic stroke management over time. During an ischemic stroke event, the initial priority is to rapidly irrigate the brain tissue deprived from blood circulation. In the following days, oxidative stress and extensive cell death are mitigated by the administration of neuroprotective agents. Continuous monitoring of brain activity is required to prevent secondary stroke events. Finally, long-term rehabilitation and physiotherapy aims to restore brain functions.

release and mitochondrial dysfunction [12]. Activation of apoptosis, necrosis, and 104 autophagy pathways disrupt the blood–brain barrier (BBB) and trigger peripheral 105 immune responses to the lesion site, which further enhance oxidative degradation 106 of several biomolecules, such as proteins, lipids, and DNA. As a result, cell death 107 is progressive toward the penumbra, where collateral blood flow can buffer the 108 effects of tissue damage at the ischemic core [13]. Although elevated serum cytokine 109 levels and increased production of inflammatory mediators in circulating and splenic 110 immune cells can be detected within hours after ischemia [14], there are currently 111 no specific biomarkers to detect brain damage [11]. 112

8.2.2 Clinical Standard of Care

The management of an ischemic stroke is multiphasic and time-bound (Fig. 8.2). 114 First, an acute/early stage prioritizes the reperfusion of the occluded artery, followed by a subacute stage where monitoring, prevention of stroke complications, 116 preservation of the surviving brain, and etiologic investigation take place. Finally, a 117 chronic stage focuses on rehabilitation and prevention of secondary stroke events. 118

Current treatment options in the acute phase, although with a relevant improvement on the clinical outcome, have a limited time window to be applied. Stroke 120 patients may be subjected either to pharmacological treatment for the dissolution 121 of blood clots in ischemic strokes and/or to mechanical removal of the clot by 122 endovascular procedures [15]. Tissue plasminogen activator (tPA) is the only 123 thrombolytic drug that has been clinically approved by both the Food and Drug 124 Administration of the USA (FDA) and the European Medicines Agency (EMA). 125

Patients treated with tPA are at least 30% more likely to have minimal or no 126 disability 3 months after stroke [16]. However, treatment time is crucial for this 127 outcome. No significant improvements were observed when tPA was administered 128 more than 4.5 h after symptoms onset [17, 18]. Systemic delivery of tPA promotes 129 the conversion of plasminogen to plasmin, which will bind and degrade fibrin, 130 dissolving blood clots. Efficacy of tPA treatment can be extended up to 24 h after 131 the development of symptoms by mechanically destroying the blood clot [19]. 132 Thrombectomy is a catheter-based image-guided intervention for the mechanical 133 removal of blood clots in large vessels through aspiration or stent-retrieval. This 134 procedure showed remarkable improvement in the recovery of neuro of patients suffering from large-vessel occlusion [20]. Nonetheless, patient selection 136 and timely reperfusion are crucial for a successful outcome. Only 13%-20% of 137 total acute ischemic stroke patients are eligible for endovascular therapy [21], due 138 to factors such as patient's age, stroke severity, and anatomical location of the 139 occlusion, as well as the history of previous disability/dependence episodes [22]. 140

The aforementioned pharmacological and mechanical therapies rely on the re- 141 implementation of blood flow to stop the onset of tissue damage. In contrast, 142 adjuvant neuroprotective treatments attempt to minimize the signaling pathways 143 that are subsequently activated after loss of blood flow and lead to neuronal 144 death [23]. Currently, there are no approved pharmacological treatments with 145 neuroprotective effects [15]. Nevertheless, several agents have been studied and 146 are under development, particularly now that restoring blood flow to the occluded 147 artery has become clinically established [24]. The aimed neuroprotective strategies 148 are focused on addressing excitotoxicity, i.e. cell death associated with an excess 149 of excitatory neurotransmitters [25], immune and inflammatory responses [26], and 150 apoptosis [27]. Among these, stating are a main group of neuroprotective agents 151 that act inhibiting hydroxylmethylglutaryl coenzyme A reductase, which cause a 152 reduction in low-density lipoprotein (LDL) cholesterol levels. In addition to this 153 anti-thrombotic effect, stating seem to have other roles in the treatment of the 154 pathophysiology of ischemic stroke [28], which have been investigated in clinical 155 trials [29, 30]. 156

Altogether, clinical management of stroke requires comprehensive hospital 157 units with multidisciplinary teams dedicated to mitigate permanent neurological 158 disabilities which, if unrecovered, pose a huge burden to society [31, 32]. However, 159 this strategy has not been fully successful. Recently, there is a shift toward innovative neurorestorative treatments focused on restoring brain tissue and improving 161 neurological function after damage. They aim to solve some of the aforementioned 162 caveats, including the short time window for therapy and the inclusion of patients 163 that were otherwise excluded from a therapeutic solution. 164

8.3 Advanced Therapies for Stroke

8.3.1 Cell-Based Therapies

Due to the limitations of conventional therapies and innovative adjuvant approaches, 167 regenerative medicine has emerged with the aim of restoring brain function in a 168 post-acute stage of stroke. The generation of neurons in some parts of the adult 169 mammalian brain (e.g. the subgranular zone of the hippocampal dentate gyrus and 170 the subventricular zone [SVZ] located outside of the lateral ventricles) provides 171 a possible therapeutic solution for restoring neural function. However, this is still 172 a debated topic following recent evidence with apparently contradicting outcomes 173 [33, 34]. In fact, endogenous repair mechanisms including neurogenesis, synaptoge- 174 nesis, glial cell activation, and angiogenesis are triggered after ischemic stroke [35]. 175 Nevertheless, if any novel neurons are generated, they are not enough to repopulate 176 the injured site. In addition, angiogenesis is compromised in older patients [34], 177 which poses additional barriers to the restoration of lost neural circuitries [36]. Cell- 178 based therapies are therefore positioned to potentiate endogenous mechanisms and 179 overcome pathophysiological boundaries set by ischemic stroke. Two conceptually 180 different approaches for regenerative therapy after stroke involve cell transplantation 181 and cell recruitment (Fig. 8.3a). 182

8.3.1.1 Cell Transplantation

It implies the use of stem/progenitor cells that can be originated from the patient ¹⁸⁴ itself (autologous) or from donors that are genetically similar (allogenic) or identical ¹⁸⁵ (syngeneic). These cells can be derived from either fetal tissues (e.g. umbilical cord ¹⁸⁶ and placenta) or adult tissues (e.g. bone marrow, adipose tissue, olfactory mucosa, ¹⁸⁷ and dental pulp) and have been tested over the last 10 years for the treatment of ¹⁸⁸ ischemic stroke in the clinic [40]. The most advanced technology consists of the ¹⁸⁹ extraction of multipotent adult progenitor cells from the bone marrow of healthy ¹⁹⁰ donors (e.g. MultiStem® from Athersys). An exploratory Phase II clinical trial ¹⁹¹ with MultiStem® pointed a favorable clinical outcome for patients that received ¹⁹² a single dose of the product 24–48 h after the occurrence of the stroke [41]. The ¹⁹³ MASTERS-2 Phase III trial to employ MultiStem® as an "off-shelf" product for ¹⁹⁴ stroke treatment is now underway [42].

With such a variety of cells according to their source and tissue origin, a main 196 challenge toward clinically relevant cell therapies is to generate high amounts of 197 the optimal cell type. Neural stem cells (NSCs) have the capacity to differentiate 198 into neurons, astrocytes, and oligodendrocytes, what makes them good candidates 199 for effective transplantation and attenuation of the cell loss associated with ischemic 200 stroke. Mesenchymal stem cells (MSCs) have been also investigated to arrest strokeassociated cell death [43]. Compared to NSCs, MSCs can be readily isolated 202 from non-invasive tissue sources such as dental tissue and amplified ex vivo for 203

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Fig. 8.3 Remarkable strategies for brain tissue regeneration. (a) Schematic representation of a coronal section of the brain, highlighting the putative reservoirs of NSCs capable of generating new neurons (red). These include the subventricular zone (SVZ), along the lateral wall of the lateral ventricles, and the subgranular zone of the dentate gyrus in the hippocampus. Because the adult brain is not capable of completely restore function after tissue damage, therapeutic approaches to promote neurogenesis consist of stem cell transplantation and the delivery of biomolecules to activate endogenous NSCs. Adapted from Servier Medical Art by Servier (http://smart.servier.com), which is licensed under a Creative Commons Attribution 3.0 Unported License. (b) Human dental stem cells (hDI) revealed superior performance than bone marrow-derived stem cells (hMI)

autologous transplantation. Dental pulp tissue offers very interesting prospects for 204 neurogenesis because it is derived from the ectoderm/neural crest and endogenously 205 mark for several neuronal markers [44]. In addition, dental pulp stem cells were 206 demonstrated to differentiate into functionally active neurons and secrete neurotrophic factors, thus revealing superior therapeutic potential for brain regeneration 208 after stroke than other stem cell sources (Fig. 8.3b) [37]. Clinical investigation of 209 the beneficial effects of intravenously administered dental pulp stem cells is now 210 underway in a Phase I clinical trial [45]. 211

Other cell types of interest to improve neuronal cell function include immune 212 cells, hematopoietic stem cells, and endothelial progenitor cells (EPCs). EPCs have 213 the potential to reduce inflammation and apoptosis, to promote angiogenesis, and 214 even to promote endogenous repair mechanisms. EPCs can be derived from the 215 bone marrow and are classically defined by their surface expression of antigen 216 CD34 [46]. Their presence at the ischemic core is associated with improved clinical 217 outcome after stroke [47], due to their capability of remodeling brain vasculature 218 and promoting angiogenesis [48], which peaks at the subacute phase [49, 50]. 219 These promising results have supported the transplantation of CD34⁺ cells for the 220 treatment of ischemic stroke. Their clinical efficacy is currently under investigation 221 in an ongoing interventional Phase IIa trial [51].

8.3.1.2 Cell Modulation Strategies

Although cell transplantation is a promising strategy for the generation of new 224 neural cells and the replacement of lost neuronal circuitries with appropriate 225 synaptic integration in the host tissue [52–54], there is still no definitive evidence 226 with respect to clinical outcome improvements [40, 55]. This could be due to 227 inefficient cell transplantation, which is still limited by their homing to the injured 228 area [56] and cell survival on the damaged tissue microenvironment [57]. Numerous 229 solutions have been tested to improve engraftment efficiency, from preconditioning 230 or genetically modifying transplanted cells to adopting biomaterials (e.g. scaffolds) 231 in order to facilitate their integration in the brain tissue. Recent approaches have 232

Fig. 8.3 (continued) in promoting neurogenesis in rat brains 28 days after middle cerebral artery occlusion. This was demonstrated by immunohistochemical analysis of proliferating neurons (NeuN⁺) and astrocytes (GFAP⁺), which stained positive for human nucleus (hNuA). Scale bars = 100 μ m. Adapted with permission from SAGE Publishing [37]. (c.1) EVs secreted by bone marrow-derived MSCs can be functionalized with brain-targeting peptides for local delivery of bioactive molecules. Reprinted from [38], Copyright (2018), with permission from Elsevier. (c.2) EVs are nano-sized vehicles which are characterized by the enriched expression of surface markers (e.g. CD63, Alix) and can be loaded with bioactive molecules by electroporation. (c.3) Delivery of microRNA-124 by EVs functionalized with targeting peptide RVG enhanced neurogenesis after ischemic stroke as demonstrated by the expression of the neuronal marker doublecortin (DCX) at the infarct site 7 days after administration. Reprinted from [39], Copyright (2017), with permission from Elsevier

coupled the manipulation of stem cells with electrical stimulation, which led to ²³³ enhanced neurogenesis and angiogenesis [58]. Furthermore, NSCs from the own ²³⁴ patient can be modulated to enhance neurogenesis [59]. We have demonstrated that ²³⁵ polymeric nanoparticles (NPs) could mediate delivery of bioactive molecules to the ²³⁶ SVZ in order to control differentiation of NSCs and EPCs, as well as to promote ²³⁷ cell survival and normalize inflammatory responses occurring during ischemia ²³⁸ [60–62]. NP-based formulations are attractive systems for cell modulation due ²³⁹ to their efficacy, biocompatibility, and chemical versatility. They can be rendered ²⁴⁰ compatible with imaging techniques, such as MRI [63, 64], or responsive to external ²⁴¹ stimuli (e.g. light) to confer spatiotemporal control over drug release to the brain ²⁴² [65, 66].

Besides cell replacement in the damaged brain, stem cell-mediated regenerative 244 processes after stroke have been attributed to a paracrine effect characterized by 245 the release of trophic factors and genetic modulators that activate brain remodeling 246 pathways [67]. These biomolecules were found to be enriched in extracellular 247 vesicles (EVs), which are nano-sized mediators playing key roles in intercellular 248 communication [68]. EVs provide a cell-free option to modulate neural repair and 249 overcome some of the limitations inherent to stem cell transplantation, including 250 their scarcity and immunogenicity, which not only affects cell survival and motility 251 after transplantation but can also cause significant adverse effects. Therapeutic EVs 252 can be produced by MSCs and their content can be modulated for the delivery 253 of proteins, lipids, and nucleic acids to enhance endogenous repair mechanisms 254 (Fig. 8.3c). For instance, we and others have identified a panel of microRNAs 255 associated with good prognosis after ischemic stroke, which affected migration 256 of CD34⁺ cells and their angiogenic activity [48, 49]. Further investigation is 257 warranted to understand the effects of cell source and culture conditions on EVs 258 content and, therefore, in their therapeutic potential. 259

8.3.2 Brain Electrical Stimulation

In addition to replacing damaged tissue with new cells, neurological functions ²⁶¹ can be restored after stroke by restructuring and rewiring functional networks ²⁶² [69, 70]. These restructuring processes are mainly due to the sprouting of spared ²⁶³ axons, which innervate the affected regions, and create new neuronal circuitry ²⁶⁴ [71, 72]. However, the brain alone does not have enough capacity to regenerate ²⁶⁵ and reprogram neuronal circuits to the same complexity as that prior to stroke. ²⁶⁶ Several strategies have been employed to maximize the chances of restoring ²⁶⁷ sensory and motor functions by reestablishing neuronal connections [73, 74]. For ²⁶⁸ instance, electrical stimulation of specific regions of the cortex has been explored ²⁶⁹ to reorganize neural circuitry and restore brain functions after stroke (Fig. 8.4) ²⁷⁰ [75]. Compared to pharmacological therapies, which can indiscriminately affect all ²⁷¹ neurons in the brain, this strategy allows for fewer adverse effects and much lower ²⁷² treatment associated costs [76]. Nevertheless, this has been employed mainly in ²⁷³



Fig. 8.4 Neuromodulation strategies for the management of stroke. (a) Schematic representation of stimulation modalities to modulate brain activity. Non-invasive modalities such as tDCS and transcranial magnetic stimulation (TMS) have been more frequently employed in the clinic. Adapted from Servier Medical Art by Servier (http://smart.servier.com), which is licensed under a Creative Commons Attribution 3.0 Unported License. (b) Functional MRI revealed that repetitive TMS of the contralesional primary motor cortex at 1 Hz inhibited excessive neural activity, which was associated with significant functional improvements. Reprinted from The Lancet [77], Copyright (2014), with permission from Elsevier

patients with significant neurological impairment. Considering the extensive tissue 274 damage in these patients, neuromodulation has been primarily performed using 275 minimally invasive techniques. 276

8.3.2.1 Transcranial Stimulation

Non-invasive modalities such as transcranial direct current stimulation (tDCS) and ²⁷⁸ functional electrical stimulation (FES) consist in the application of electrodes on ²⁷⁹ the skin surfacing the target region of interest. Typically in tDCS, one electrode ²⁸⁰ targets the primary motor cortex, whereas the other acts over the contralateral ²⁸¹ supraorbital region. Based on the choice of anodal or cathodal electrodes [78], ²⁸² tDCS can induce either long-term potentiation or depression of neuronal activity, ²⁸³ respectively, by modulating sodium- and calcium-dependent channels, as well as ²⁸⁴ the NMDA receptor activity [79–81]. Although tDCS was shown to have an effect ²⁸⁵ on upper limb functions in stroke patients, this occurred mostly during follow-up ²⁸⁶ treatments, raising doubts about its long-term clinical efficacy [82].

On its turn, FES elicited moderate improvement in limb function by promoting 288 muscle movement and mobility [83]. Due to the dissipation of the delivered current 289 through the skull, high voltages are required to penetrate the brain tissue with 290 enough power to activate neurons [84]. However, as high voltages were reported to 291 cause patient discomfort, they were replaced by magnetic fields which have greater 292 penetration depth [84]. Fast-oscillating magnetic fields along a copper coil external 293 to the skull generate a strong electric current that can be directed to the motor cortex 294 [84]. Specifically, transcranial magnetic stimulation (TMS) has been applied in the 295 chronic setting of stroke in a strategy for interhemispheric inhibition [85–87]. It 296 consists of exciting the ipsilesional primary motor cortex with high frequencies 297 (>5 Hz) [88–90], whereas the contralesional primary motor cortex is inhibited using 298 low frequencies (<1 Hz) [79, 91]. Other parameters such as stimulation time, coil 299 shape, and magnetic field strength have been optimized to regulate cortical activity 300 [79, 92, 93]. Despite some promising results particularly in the management of 301 discrete neuropsychiatric conditions [94], magnetic stimulation of the brain and 302 peripheral nerves is still at an early stage, and thus it has little clinical evidence 303 of functional improvement in stroke patients [95, 96]. It is still unclear which 304 protocol is more effective for improving motor function after stroke, given the 305 lack of randomized controlled trials and small sample sizes [96]. New protocols 306 have emerged, including the application of intermittent or continuous bursts of even 307 higher frequencies than conventional TMS, thus requiring lower intensities [97, 98]. 308 Such a variety of stimulation protocols warrants careful design of clinical trials to 309 validate their safety and efficacy after stroke. 310

8.3.2.2 Deep Brain Stimulation

Although more invasive, modalities such as deep brain stimulation (DBS) are 312 clinically well-established in movement disorders such as Parkinson's disease, 313 and enable the stimulation of target regions with significant reproducibility [76]. 314 DBS addresses the aforementioned issues of transcranial stimulation by implanting 315 electrodes in regions adjacent to the target site [99]. Medical devices performing 316 electrical stimulation have been tested in the clinic since the 1950s [100] and 317

are successfully employed in the management of several neurological disorders 318 where pharmacological options alone are inefficient, such as epilepsy, dementia, 319 Alzheimer's disease and Parkinson's disease [99–101]. Currently, DBS is approved 320 for the treatment of refractory Parkinson's disease, essential tremor, dystonia, 321 obsessive-compulsive disorders, and drug-resistant partial onset epilepsy [102]. 322 In the context of stroke, two objectives may arise from the use of DBS: the 323 symptomatic treatment of extrapyramidal signs, following the same paradigm as in 324 parkinsonian disorders, and the more conceptual goal of recovering brain function. 325 First clinical evidence compiling several trials with small cohorts suggests that DBS 326 could enhance motor status in stroke patients, particularly from disorders such as 327 tremors, dyskinesia, and dystonia [103]. Such signs and symptoms represent post- 328 stroke maladaptive responses where DBS could potentially have a role. In all these 329 conditions, external electrical fields are thought to activate voltage-sensitive ion 330 channels in neurons, which in turn generate chemical or electrical depolarization at 331 their membranes, with subsequent release of neurotransmitters. As a result, irregular 332 firing patterns in brain regions can be precisely modulated according to stimulus 333 parameters such as signal amplitude, frequency, and duration [101]. 334

Nevertheless, electrical stimulation performed by clinically approved DBS 335 devices is experienced by all local cells, not only the targeted neurons. Other 336 cell types including glia, fibroblasts, endothelium, and immune cells can also 337 respond to these electrical cues, with significant effects in their phenotypes [104]. 338 This could have an impact on the overall process of restoring brain function after 339 stroke. Interestingly, transmembrane voltage for each cell type was associated with 340 their differentiation state, with stem and proliferative cells being less polarized 341 than terminally differentiated cells [104]. Hence, electrical stimulation could force 342 membrane depolarization in neurons and glial cells that populate the infarcted 343 area after stroke and promote tissue regeneration. Post mortem analysis showed that 344 chronic stimulation (0.5–6 years) of the subthalamic nucleus enhanced neurogenesis 345 in the neighboring SVZ in patients suffering from Parkinson's disease [105]. These 346 findings encourage the investigation of potential in situ brain tissue regeneration 347 following electrical stimulation. Yet no clinical trials to date have specifically 348 demonstrated such effect, which could be attributed to the advanced disease 349 progression by the time patients enroll in these studies [106]. 350

8.3.2.3 Limitations of Deep Brain Electrical Stimulation

Indiscriminate stimulation of brain regions through conventional electrical stimulation devices might result in significant adverse effects, as reported in approximately 50–60% of patients and, in most cases, more than once [107–109]. Some of the most common causes of failure were improper electrode localization, inefficient device programming, infections, and hemorrhages resulting from surgical implantation [110]. Electrode positioning can be corrected with the guidance of imaging techniques (e.g. MRI, CT), while correct device programming overcomes issues such as overstimulation of undesired cells with high frequencies, which may 359

impair physiological neuronal communication [111]. The recent development of 360 closed-loop devices that adjust their stimulation parameters according to electro-361 physiological information recorded in real time paves the way for multifunctional 362 neural interfaces, with further improvements expected in the following years [112]. 363 The remaining caveats related to the implantation of DBS devices include their poor 364 long-term stability and need for multiple surgeries to replace the electrodes. 365

Conventional electrodes are typically made of metals such as gold and iridium 366 [113]. Metallic conductors are utilized because of their capability to readily mediate 367 charge transfer between electrons at their interface with ions from the surrounding 368 tissue (Fig. 8.5a). Most metals conduct electricity based on local reduction and 369 oxidation reactions at the electrode surface, in a process known as Faradaic charge 370 conduction. Repeated redox reactions at the metallic surface generate a hydrated 371 oxide film that dramatically increases the amount of electric current that can be 372 transferred to the adjacent tissue [113]. Although this electrochemical process is 373 mostly reversible, changes in the electrolyte composition at the interface with the 374 tissue can limit the rate of Faradaic reactions that can be performed without irre- 375 versibly modifying the material. Otherwise, not only the electrode can be degraded 376 but also induce oxidative stress to the surrounding tissue. Conversely, capacitive 377 charge conduction is a more desirable feature for implanted electrodes, since it 378 involves solely the redistribution of charges at the electrode-electrolyte interface, 379 thus avoiding redox reactions. However, capacitive materials such as titanium nitride 380 suffer from limited charge injection capacity [113]. Pseudocapacitive materials such 381 as platinum and its alloys with iridium have become then clinically adopted because 382 they combine both Faradaic and capacitive conduction, hence increasing charge 383 injection while minimizing redox effects [113]. For further details on electroactive 384 materials with large charge capacity, readers are referred to Chap. 5 in this book. 385

Alongside charge transfer processes, the mechanical properties of the implanted 386 materials are of upmost importance. Despite considerable efforts in the design of 387 sterile, non-toxic materials with long-term chemical and electrical stability, they 388 tend to trigger foreign body response because of their rigidity (>1 GPa) compared 389 to the soft brain tissue (<10 kPa) (Fig. 8.5b) [118]. Mechanical mismatch of the 390 implant promotes adverse biomechanical interactions leading to the formation of 391 glial scars at the electrode interface as soon as few weeks after surgical implantation 392 [118]. Ultimately, the efficacy of electrical stimulation and recording is dampened 393 by the increased distance between the electrode and the target cells, as well as the 394 impedance derived from the scar tissue [119]. Although device architecture can be 395 engineered to minimize biological impact by decreasing local strain imposed by 396 the electrodes [120], there is a clinical need for biocompatible electrodes that can be 397 seamlessly integrated in the brain microenvironment. Electrodes can be incorporated 398 in soft polymer mesh electronics (Fig. 8.5c), which facilitate their implantation by 399 direct injection into the target brain region [115]. Besides being minimally invasive, 400 mesh electronics are mechanically compliant to the brain tissue and, thus, more 401 biocompatible, showing in vivo stability of up to 1 year without gliosis. 402

Additional challenges for neural interfaces include targeted stimulation of 403 specific sites without affecting other physiological functions. These devices should 404



Fig. 8.5 Material properties determine long-term device biocompatibility and performance. (a) Electrical stimulation performed by electrodes depends on their electronic properties. Upon injection of electric current, capacitive materials such as titanium nitride, carbon nanotubes, and graphene generate a double layer at the electrode-electrolyte interface, attracting adsorbed water molecules and ionic species to the electrode surface [113, 114]. Because this process solely involves charge redistribution, the amount of charge injected from the electrode is limited by its surface. Although they enable greater amount of charge injected to the electrolyte, iridium oxide and PEDOT mediate Faradaic processes, which consist of the ejection of electrons from the electrode, leading to changes in the electrolyte composition and pH adjacent to the electrode [113]. Platinum and its alloys are attractive for brain stimulation because they combine capacitive and Faradaic processes, which result in higher charge injection with limited electrode degradation. Although these pseudocapacitive materials generate double layer charging, Faradaic processes may occur when specifically adsorbed ions react with the electrode surface [113]. (b) Typically used materials for implanted electrodes such as silicon, carbon, and metals are very rigid compared to brain tissues, presenting extremely high Young's moduli and bending stiffness values. Adapted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Reviews Neuroscience [115]. Copyright© 2019. (c) Mechanically compliant mesh electronics

be also capable of recording their physiological environment in order to coordinate 405 neural stimulation parameters [119].

8.3.3 Optogenetic Neuromodulation

Exploring the intrinsic electrical properties of neurons, electrical stimulation has 408 remained one of the main strategies to restore functional activity. However, because 409 of its invasiveness, alternative approaches to DBS are being developed. One of 410 the most promising is optogenetics, which combines light and genetic techniques 411 to control and/or monitor cellular activity [121]. Although light has long been 412 known to alter the behavior of neurons [122], this effect was only exploited in 413 2005, following their genetic modification with light-sensitive opsins [123]. Chan- 414 nelrhodopsins (ChR) are rapidly gated light-sensitive cation channels, commonly 415 expressed in algae [124], and have provided unprecedented control over neuronal 416 activity in well-defined neuronal populations with temporal precision. Upon light 417 exposure, neuronal depolarization can be employed to investigate the functions 418 of specific neurological circuitries and the mechanisms underlying neurological 419 disorders [125, 126]. Even though optogenetics has been used mainly as a tool for 420 neuroscience research in animals, therapeutic applications of this technology are 421 under investigation [127–129]. 422

Optogenetic tools have been applied in preclinical models of stroke (Fig. 8.6). 423 In combination with voltage-sensitive dyes, the plasticity of the somatosensory 424 cortex could be monitored after stroke, helping not only to understand the functional impact of the infarction but also to map potential regions of interest for 426 stimulation [132]. Recovery of sensorimotor functions could be achieved after 427 optogenetic stimulation of unaffected regions surrounding the infarcted cortex, such 428 as corticospinal and thalamocortical neurons [130, 133]. In particular, stimulation of 429 the ipsilesional primary motor cortex could contribute to functional recovery after 430 stroke [129]. Repeated stimulation significantly improved neurovascular coupling 431 and enhanced neuronal plasticity in the contralesional cortex. The cerebellum was 432 also demonstrated to be a powerful target for brain stimulation due to the widespread 433 activation of multiple motor and sensory regions via neuronal projections to the 434 thalamus [134, 135]. All these studies have reported that optogenetic stimulation 435 promoted axon growth and subsequent neuronal projections to the damaged site to 436

Fig. 8.5 (continued) are attractive for brain implantation owing to their long-term biocompatibility and minimal inflammatory response. Immunohistochemical staining for Iba-1 (magenta) demonstrated that mesh electronics can be implanted in mice brains for several months and seamlessly integrate in the brain tissue with minimal glial response. Implanted probes were pseudo-colored blue. Scale bars = 100 μ m. (c-top) Adapted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Reviews Materials [116]. Copyright© 2017. (c-bottom) Reprinted from [117], with permission from the National Academy of Sciences



Fig. 8.6 Optogenetic stimulation for the treatment of stroke. (a) Optogenetic stimulation of ChR2-expressing thalamocortical neurons for up to 4 weeks after ischemic stroke significantly contributed to the formation of synaptic boutons, which play an important role in learning and memory processes. Reprinted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Communications [130]. Copyright© 2017. (b.1) Soma-targeted opsins (soCoChR) are selectively expressed in the cell body of neurons. (b.2) Precise activation of soCoChR neurons by two-photon microscopy ($\lambda = 1030$ nm, 100 μ W/ μ m²) without affecting neighboring cells. (b.3) Engineered opsins enabled unprecedented precision over the stimulation of single cells, yielding well-defined action potentials in a given patched cell with minimal detection of action potentials from neighboring cells. Adapted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Neuroscience [131]. Copyright© 2017

remodel neural circuitry. A recent avenue of research resides in the possibility of ⁴³⁷ enhancing neurogenesis in the SVZ. Considering that the striatum has neuronal ⁴³⁸ projections to the physically adjacent SVZ, optogenetic stimulation of striatum ⁴³⁹ glutamatergic neurons enhanced regeneration and functional recovery after ischemic ⁴⁴⁰ stroke by evoking membrane currents and calcium influx in proliferating SVZ ⁴⁴¹ neuroblasts [136]. ⁴⁴²

These promising results are encouraged by technological advances to enhance 443 control over neuronal stimulation. While channelrhodopsins enable precisely timed 444 depolarization of neurons, halorhodopsins derived from archaeal species can be 445 stimulated with light of the same wavelength to hyperpolarize neurons [137]. 446 The combination of these two rhodopsins can be used to accurately and bidirec- 447 tionally control neuronal activity and cells native spiking patterns. Furthermore, 448 spatiotemporal resolution could be enhanced by engineering opsins to potently 449 respond to short light pulses (<1 ms), enabling single-cell stimulation by two- 450 photon microscopy [131]. Other strategies to achieve spatiotemporal resolution over 451 optogenetics include conditional expression of opsins using cell-specific promoters 452 [138], which can be specifically activated using gene editing tools such as the 453 Cre-loxP technology [139–141]. Because some cell-specific promoters have a 454 weak transcriptional activity resulting on reduced levels of opsins in the cell 455 membrane, Cre recombinase can be expressed in a cell-specific manner to enable 456 expression of rhodopsins under the control of stronger ubiquitous promoters. Thus, 457 optogenetic stimulation is controlled spatiotemporally by modulating the activity 458 of Cre recombinase in specific cells, through either chemical [142, 143] or light- 459 inducible [144, 145] Cre-loxP recombination systems. 460

Nevertheless, optogenetics faces considerable hurdles toward its clinical translation. One of them is the requirement of using either blue or green light as a trigger. 462 Since visible light poorly penetrates biological tissues, invasive light sources such 463 as fiber optics and light-emitting diodes have been applied in preclinical models, 464 which may damage local tissues due to the heat dissipated from the light emission 465 point [146]. Recently, a step-function opsin was engineered to respond to blue 466 light with enhanced sensitivity and slower kinetics, which enabled transcranial 467 activation owing to neuron depolarization for longer periods of time. Prolonged light 468 accumulation compensates for its dissipation across biological tissues, allowing for 469 transcranial stimulation in deeper regions of the brain down to 5 mm [147].

Considering the minimal absorbance of hemoglobin and water in this region 471 (650–900 nm), the use of near-infrared (NIR) light is an attractive alternative due 472 to its minimal scattering in biological tissues. NIR light not only penetrates deeper 473 than visible light (up to 2 cm), but can also be less attenuated by the human 474 skull (approximately, 0.5–5% of emitted light) [148]. For instance, lanthanide- 475 doped up-conversion nanoparticles (UCNPs) have enabled deep tissue activation 476 of rhodopsins by emitting visible light after exposure to NIR radiation [149–152]. 477 These nanoparticles have promising optical properties including low autofluores- 478 cence background and minimal photobleaching and heat-mediated photodamage. 479 Hence, UCNPs enable safer and minimally invasive stimulation compared to the 480 use of NIR radiation alone [153] or combined with plasmonic nanoparticles such 481

as gold nanorods to activate heat-sensitive proteins [154]. Moreover, UCNPs can 482 act as remote actuators for transcranial NIR-activation of neuronal depolarization 483 [149, 151, 155], enabling control over animal behavior in optogenetics studies. 484 Finally, their chemical composition can be tuned to modulate light emission in 485 order to selectively activate different channelrhodopsins and enhance the control 486 over specific neural circuits [156]. These strategies open new opportunities to 487 simultaneously control cell activity with spatiotemporal resolution and monitor 488 neural circuits over time to improve recovery. However, the need for long-term 489 expression of light-sensitive proteins, which is typically achieved by lentiviral 490 vectors [128], carries numerous ethical and safety concerns regarding the possible 491 genomic integration of undesired gene products after transfection, as well as 492 potential adverse immune responses.

8.3.4 Coupling Optical and Electrical Stimulation of the Brain 494

Safety concerns related to the clinical use of optogenetics have prompted the investigation of numerous strategies to circumvent the need for genetic modification, while maintaining the capacity of specifically stimulating neurons with unprecedented resolution. This could be achieved by using photoactive nanomaterials and surfaces that generate an electric field when exposed to light, thus resulting in localized neuronal stimulation. This would avoid the need of implantable energy sources commonly used in DBS and prolong device lifetime. Moreover, device implantation would be desirably less invasive, with minimal foreign body response compromising longterm performance. However, this approach has not been investigated in preclinical stroke models yet because there are important biocompatibility considerations to minimize potential adverse effects in patients suffering from severe brain trauma. The section below explores the use of innovative polymers and nanomaterials, and the potential integration of light-responsive materials in such devices.

8.3.4.1 Novel Polymeric Materials for DBS

A main avenue of research consists of the design of minimally invasive devices ⁵⁰⁹ using biodegradable materials (Fig. 8.7). These devices are based on biocompatible ⁵¹⁰ polymers, such as silk fibroin [159] and poly(lactic-co-glycolic acid) (PLGA) [160], ⁵¹¹ and have been already developed for wireless electronic stimulation of peripheral ⁵¹² nerves. This technology operates in a similar fashion to cochlear implants, where ⁵¹³ an external source of radiofrequency signals generates magnetic coupling with an ⁵¹⁴ antenna at the implanted device, which transduces that signal to electric current at ⁵¹⁵ the interfacing electrode. Although its application may be limited by the necessary ⁵¹⁶ power input to cross deeper regions such as those stimulated by DBS devices, the ⁵¹⁷ concept of bioresorbable devices is attractive for rehabilitation regimes in stroke ⁵¹⁸ because it avoids an additional surgical procedure to remove them. For instance, ⁵¹⁹



Fig. 8.7 Biodegradable electrodes enable transient monitoring and stimulation of the brain. (**a.1**) Biodegradability of silicon-based electrodes was tuned by adjusting the composition of PLGA films (50:50), in order to maintain their structural properties in phosphate buffer saline for several days, but were completely degraded within 35 days after subcutaneous implantation in a mouse model. (**a.2**) No signs of inflammatory response to the implant were observed. Reprinted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Communications [157]. Copyright© 2016. (**b.1**) Dissolution profile in aqueous buffer solution (pH 10) at 37°C and (**b.2**) electrophysiological recording of cortical activity in rat brains during sleep and drug-induced epilepsy, compared to commercial stainless steel microwire electrodes. Silicon-based electrodes exhibited high signal-to-noise ratio. Adapted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature

silicon-based electrodes deposited on PLGA films recorded electrophysiological ⁵²⁰ information from the rat cortex with comparable performance to clinically used ⁵²¹ electrodes [158], as well as intracranial pressure and temperature [161]. Other ⁵²² biocompatible polymer substrates and device operation modalities are currently ⁵²³ under investigation to ensure long-term safety and improved electrical stimulation ⁵²⁴ over more conventional methods [162]. ⁵²⁵

Alternatively to biodegradable materials, a variety of biopersistent materials 526 are well-established in the medical device industry. Device miniaturization could 527 minimize their biological impact in the CNS. However, this comes at the expense of 528 greater impedance, which is highly undesired in neural interfaces due to increased 529 noise in recording electrodes and decreased amount of current that can be injected in 530 stimulating electrodes [113, 163]. Impedance can be also detrimental for electrode 531 longevity and biocompatibility because of local generation of heat from stimulating 532 electrodes and potential toxic by-products from electrochemical reactions [113]. 533 Finally, platinum is sensitive to various imaging techniques, producing artifacts in 534 CT and MRI and interfering with optogenetics tools due to its lack of transparency 535 [163]. Transparent materials that are not comprised of heavy elements and have low 536 magnetic susceptibility are therefore preferred. 537

Indium tin oxide (ITO) is a transparent and electrically conductive material 538 that is well-known for its application in touchscreens and solar cells. Despite its 539 attractive features, ITO is expensive and brittle, which limits the available area 540 of the electrode for recording and stimulation [164]. Alternatively, ITO could be 541 deposited on flexible substrates such as parylene, poly(dimethylsiloxane) (PDMS), 542 polymethylmethacrylate (PMMA), polyimide, and SU-8 epoxy [120]. However, 543 ITO deposition requires temperatures that are higher than the glass transition 544 temperature of most flexible polymer substrates [165]. Moreover, ITO has reduced 545 optical transmittance toward the ultraviolet (UV)/blue and IR regions, maybe unsuit- 546 able for optogenetics. Although less conductive than ITO, flexible polymers such 547 as poly(3,4-ethylenedioxythiophene) (PEDOT) surpass these challenges (Fig. 8.8a) 548 [166]. PEDOT is a pseudocapacitive polymer stabilized in aqueous formulations by 549 poly(styrenesulfonate) (PSS), which is also important in charge transfer processes 550 resulting in the oxidation of PEDOT [113]. Despite its high electrical conductivity 551 and low impedance [166], PEDOT:PSS lacks long-term stability in physiological 552 milieu and delaminates from its substrate at higher charge densities [113], thus 553 precluding its application in high-frequency recording and stimulation (Fig. 8.8b). 554

8.3.4.2 Novel Nanomaterials for DBS

Aiming device miniaturization, nanomaterials have been increasingly applied either 556 as an electrode coating for already existing devices or as electrodes themselves 557 (Fig. 8.8b–c) [163, 170]. Owing to the network comprised by π electrons resulting 558 from the *sp*² hybridization of carbon atoms, carbon nanomaterials such as carbon 559 nanotubes (CNTs) and graphene have emerged as promising candidates for neural 560 interfaces due to their high capacitive charge conductivity and physicochemical 561







Fig. 8.8 Optically compatible materials for brain stimulation. (a) PEDOT:PSS electrodes showed comparable electrocorticography differences to clinically used platinum electrodes in recording brain activity of awake and unconscious rats. PEDOT:PSS maintained its sensitivity irrespective of electrode size, thus enabling device miniaturization. Reproduced from [166], with permission from John Wiley and Sons. © 2017 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim. (b.1) PEDOT:PSS-coated platinum–iridium (PtIr) electrodes show poor stability under prolonged continuous overpulsing at 1 kHz, demonstrated by the increased impedance comparable to uncoated PtIr electrodes. (b.2) CNT fibers mediated capacitive charge conduction and showed greater stability, (b.3) but their rigidity triggered significant glial response 6 weeks after implantation. (c.1) Transparent graphene-based electrodes enabled multimodal imaging to monitor brain activity

stability [163]. For instance, microelectrodes containing vertically aligned CNTs 562 enabled highly sensitive electrochemical measurements and precise stimulation of 563 brain regions at the nanotube tip [168, 171, 172], with CNT coatings enhancing 564 the electrode stability [172, 173]. In addition, the well-defined electronic energy 565 levels of single-walled CNTs (also known as Van Hove singularities) could guide the 566 design of electrodes with minimal light-induced artifacts during optogenetics stimulation and record electrophysiological activity with high fidelity [174]. However, 568 biomedical research involving CNTs has become somewhat controversial [175]. For 569 instance, a type of long multi-walled CNT fibers with high aspect ratio (MWCNT-7) has been classified as "potentially carcinogenic to humans" based on extensive 571 preclinical evidence of tumor formation due to excessive fibrotic and inflammatory 572 responses [176]. 573

Sharing similar electronic features with CNTs, graphene has emerged as a strong 574 candidate for the development of neural interfaces [114]. Despite its potentially 575 slow degradation profile [177], graphene is more flexible and biocompatible than 576 CNTs, evidenced by the lack of significant fibrosis in multiple tissues after different 577 administration routes [178, 179]. In fact, graphene substrates were shown to improve 578 neural cell growth and differentiation by potentiating electric circuits [180–182]. 579 Moreover, the application of graphene as surface coatings not only protected 580 metal electrodes from corrosive electrochemical reactions at their surface, but also 581 shielded them from electromagnetic interference during MRI, hence minimizing 582 image artifacts [183]. Such compatibility with functional MRI has facilitated the 583 mechanistic study of the therapeutic effects of DBS in Parkinsonian rats using 584 graphene-based fiber electrodes (Fig. 8.8c) [167].

Altogether, these properties enabled graphene to be employed in flexible interfaces for multimodal imaging, which couple recording neural activity with high sensitivity and spatiotemporal resolution. For instance, graphene-based transistor arrays designed for electrocorticography were demonstrated to map electrical set in the brain with greater spatial resolution and lower electronic noise than clinically used platinum and gold [184, 185]. Furthermore, a neural interface comprised of graphene-based sensing and stimulating electrodes was shown to regulate thalamocortical circuits and effectively correct abnormal epileptic activity using high-frequency discharges, after epidural implantation [186]. Graphene-based electrode arrays have been also developed to couple optogenetics stimulation with set electrophysiological recording [165, 187]. Despite superior performance compared set to the set of the s

Fig. 8.8 (continued) and (**c.2**) minimal artifacts in fluorescence imaging compared to clinically used platinum-based electrodes. (**c.3**) Graphene fiber electrodes insulated with Parylene C enabled brain stimulation of the subthalamic nucleus of rat brains with minimal interference in MRI. (**c.4**) Graphene exhibits lower electrical impedance than PtIr and greater charge injection by capacitive charge conduction, thus demonstrating superior performance for brain stimulation. (b1,c3,c4) Adapted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature, Nature Communications [167]. Copyright© 2020. (b2,b3) Adapted with permission from [168]. Copyright (2015) American Chemical Society. (c1,c2) Adapted with permission from [169]. Copyright (2018) American Chemical Society

to platinum, graphene electrodes could suffer from artifacts derived from photoelectric effects upon exposure to blue light. As these artifacts were mostly limited to the immediate vicinity of the irradiated electrode, this phenomenon was attributed to the photovoltaic effect, which is characterized by the generation of electric current upon light exposure. Also, similarly to what is commonly observed in metals, light-induced artifacts depended on incident laser power and exposure time. The observed light-induced artifacts could compromise the use of graphene electrodes in combination with optogenetics tools. Nevertheless, this intrinsic capability of generating electricity upon light exposure could offer a promising alternative to optogenetics by avoiding the need of genetic modifications. To this regard, Savchenko et al. discovered that graphene substrates could elicit cell contraction upon light stimulation [188]. Consistent with the aforementioned photoelectric effect, light stimulation elicited capacitive charge injection. In these studies, cellular activity was manipulated by adjusting light intensity rather than wavelength. 610

Alternatively, silicon nanowires (SiNWs) have been also recently explored 611 toward the development of photoresponsive electrodes mediating optoelectronic 612 stimulation of cardiomyocytes and neurons [189–191]. SiNWs convert light into 613 electricity via photothermal and photoelectrochemical reactions catalyzed by atomic 614 gold used to nucleate and generate these nanostructures. In addition, conductive 615 polymers have been employed in the preclinical development of retinal implants and 616 could provide a platform for optoelectronic stimulation [192]. Further investigation 617 on their photosensitivity, as well as their long-term biocompatibility and stability, is 618 warranted to determine their clinical applicability. 619

8.4 Conclusions and Future Perspectives

Recent improvements in critical care of acute ischemic stroke have saved the lives 621 of millions of patients worldwide. However, most survivors experience noticeable 622 deficits in neurological function, which could affect independence in their daily 623 lives. Novel therapies and devices have been developed with the aim of resolving or 624 attenuating these disabilities. 625

Stem cell transplantation has been the most investigated strategy to date for 626 restoring brain functions. However, key factors determining the success of this strategy remain unknown. First, the influence of donor cell type and tissue origin for the 628 transplant needs to be considered to ensure their integration in the injured brain site. 629 Furthermore, the patient clinical history (e.g. age, sex, presence of comorbidities, 630 and recent surgical procedures such as recanalization), delivery method for the 631 treatment (e.g. intravenous, intra-arterial, and stereotaxic), and timeline may also 632 play important roles in choosing the appropriate regime. Transplanted stem cells are 633 more effective when delivered at early stages to modulate tissue regeneration and 634 reintegration in the neuronal circuitry. However, the exacerbated immune response 635 to traumatic injuries may limit their efficacy. In this sense, clinical evidence shows 636 limited efficacy of stem cells in improving neuronal function after stroke. This could 637

be explained by late interventions performed at subacute and chronic stages after 638 stroke, when neuronal circuitry has been already reestablished [40, 70]. Further 639 investigation is required to evaluate whether immune and angiogenic responses 640 dominating the subacute stage could have a beneficial impact on neurogenesis 641 and synaptogenesis [193, 194]. Considering the high cost of cell transplantation, 642 the delivery of EVs arises as an attractive cell-free option to mimic some of the 643 beneficial effects of stem cells. However, this therapeutic strategy requires further 644 development and testing [68].

Medical devices for brain stimulation are expected to undergo significant 646 technological development in the following years, following the clinical acceptance 647 of different materials from the conventionally used metals as electrodes. Silicon- 648 and graphene-based nanomaterials rank among the most promising candidates 649 for bioelectronics, owing to their biocompatibility. However, current fabrication 650 processes are laborious and involve high temperatures which are not conducive 651 to their application in flexible polymer substrates. Cost-effective procedures such 652 as inkjet printing should yield electrically conductive nanomaterials which can 653 be formulated to facilitate their incorporation in soft interfaces, thus making 654 them more accessible [195, 196]. Nonetheless, the effects of long-term exposure 655 to these nanomaterials require extensive assessment of device biocompatibility 656 along its life cycle, including the careful characterization of dissolution and/or 657 degradation by-products. Covalent functionalization and chemical doping strategies 658 will provide added control over nanomaterial biocompatibility and biodegradability 659 for biomedical applications [197-200]. 660

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